

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Macquarie	Macquarie Asset Strategy Fund Class A	466000759	WASAX	5.75		.25	1.06000		SELL ONLY
Macquarie	Macquarie Asset Strategy Fund Class C	466000734	WASCX		1.00	1.00	1.81000		SELL ONLY
Macquarie	Macquarie Asset Strategy Fund Class I	466001864	IVAEX				0.81000		SELL ONLY
Macquarie	Macquarie Asset Strategy Fund Class R	466000114	IASRX			.50	1.31000		SELL ONLY
Macquarie	Macquarie Asset Strategy Fund Class R6	46600A104	IASTX				0.78000		SELL ONLY
Macquarie	Macquarie Asset Strategy Fund Class Y	466000726	WASYX			.25	1.06000		SELL ONLY
Macquarie	Macquarie Balanced Fund Class A	465898625	IBNAX	5.75		.25	1.08000		BUY,SELL
Macquarie	Macquarie Balanced Fund Class C	465898591	IBNCX		1.00	1.00	1.83000		SELL ONLY
Macquarie	Macquarie Balanced Fund Class I	465898146	IYBIX				0.83000		SELL ONLY
Macquarie	Macquarie Balanced Fund Class R	465899375	IYBFX			.50	1.33000		SELL ONLY
Macquarie	Macquarie Balanced Fund Class R6	46600A203	IBARX				0.78000		SELL ONLY
Macquarie	Macquarie Balanced Fund Class Y	465898583	IBNYX			.25	1.08000		SELL ONLY
Macquarie	Macquarie Climate Solutions Fund Class A	466000395	IEYAX	5.75		.25	1.43000		SELL ONLY
Macquarie	Macquarie Climate Solutions Fund Class C	466000379	IEYCX		1.00	1.00	2.18000		SELL ONLY
Macquarie	Macquarie Climate Solutions Fund Class I	466000148	IVEIX				1.18000		SELL ONLY
Macquarie	Macquarie Climate Solutions Fund Class R	465899367	IYEFX			.50	1.68000		SELL ONLY
Macquarie	Macquarie Climate Solutions Fund Class R6	46600A807	IENRX				1.07000		SELL ONLY
Macquarie	Macquarie Climate Solutions Fund Class Y	466000361	IEYYX			.25	1.43000		SELL ONLY
Macquarie	Macquarie Core Equity Fund Class A	466000106	WCEAX	5.75		.25	1.00000		BUY,SELL
Macquarie	Macquarie Core Equity Fund Class C	466000304	WTRCX		1.00	1.00	1.75000		SELL ONLY
Macquarie	Macquarie Core Equity Fund Class I	466000163	ICIEX				0.75000		SELL ONLY
Macquarie	Macquarie Core Equity Fund Class R	465899573	IYCEX			.50	1.25000		SELL ONLY
Macquarie	Macquarie Core Equity Fund Class R6	46600A401	ICEQX				0.70000		SELL ONLY

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Macquarie	Macquarie Core Equity Fund Class Y	466000403	WCEYX			.25	1.00000		SELL ONLY
Macquarie	Macquarie Corporate Bond Fund Class A	245908785	DGCAX	4.50		.25	0.92000		SELL ONLY
Macquarie	Macquarie Corporate Bond Fund Class C	245908769	DGCCX		1.00	1.00	1.67000		SELL ONLY
Macquarie	Macquarie Corporate Bond Fund Class R	245908744	DGCRX			.50	1.17000		SELL ONLY
Macquarie	Macquarie Corporate Bond Fund Class R6	24610J100	DGCZX				0.58000		SELL ONLY
Macquarie	Macquarie Corporate Bond Fund Institutional Class	245908751	DGCIX				0.67000		SELL ONLY
Macquarie	Macquarie Diversified Income Fund Class A	246248744	DPDFX	4.50		.25	0.86000		BUY,SELL
Macquarie	Macquarie Diversified Income Fund Class C	246248595	DPCFX		1.00	1.00	1.61000		SELL ONLY
Macquarie	Macquarie Diversified Income Fund Class R	246248553	DPRFX			.50	1.11000		SELL ONLY
Macquarie	Macquarie Diversified Income Fund Class R6	245917612	DPZRX				0.52000		SELL ONLY
Macquarie	Macquarie Diversified Income Fund Institutional Class	246248587	DPFFX				0.61000		SELL ONLY
Macquarie	Macquarie Emerging Markets Debt Corporate Fund Class A	246094841	DEDAX	4.50		.25	1.27000		SELL ONLY
Macquarie	Macquarie Emerging Markets Debt Corporate Fund Class C	246094833	DEDCX		1.00	1.00	2.02000		SELL ONLY
Macquarie	Macquarie Emerging Markets Debt Corporate Fund Class Institutional	246094817	DEDIX				1.02000		SELL ONLY
Macquarie	Macquarie Emerging Markets Fund Class A	245914841	DEMAX	5.75		.25	1.59000		BUY,SELL
Macquarie	Macquarie Emerging Markets Fund Class C	245914825	DEMCX		1.00	1.00	2.34000		SELL ONLY
Macquarie	Macquarie Emerging Markets Fund Class R	245914569	DEMRX			.50	1.84000		SELL ONLY
Macquarie	Macquarie Emerging Markets Fund Class R6	245914510	DEMZX				1.22000		SELL ONLY

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Macquarie	Macquarie Emerging Markets Fund Institutional Class	245914817	DEMIX				1.34000		SELL ONLY
Macquarie	Macquarie Extended Duration Bond Fund Class A	245908835	DEEAX	4.50		.25	0.98000		SELL ONLY
Macquarie	Macquarie Extended Duration Bond Fund Class C	245908819	DEECX		1.00	1.00	1.73000		SELL ONLY
Macquarie	Macquarie Extended Duration Bond Fund Class Institutional	245908793	DEEIX				0.73000		SELL ONLY
Macquarie	Macquarie Extended Duration Bond Fund Class R	245908728	DEERX			.50	1.23000		SELL ONLY
Macquarie	Macquarie Extended Duration Bond Fund Class R6	245908629	DEZRX				0.62000		SELL ONLY
Macquarie	Macquarie Floating Rate Fund Class A	245908660	DDFAX	2.75		.25	0.94000		SELL ONLY
Macquarie	Macquarie Floating Rate Fund Class C	245908652	DDFCX		1.00	1.00	1.69000		SELL ONLY
Macquarie	Macquarie Floating Rate Fund Class R	245908645	DDFFX			.50	1.19000		SELL ONLY
Macquarie	Macquarie Floating Rate Fund Class R6	245908595	DDFZX				0.61000		SELL ONLY
Macquarie	Macquarie Floating Rate Fund Institutional Class	245908637	DDFLX				0.69000		SELL ONLY
Macquarie	Macquarie Global Allocation Class A	46600G788	IWGAX	5.75		.25	1.23000		SELL ONLY
Macquarie	Macquarie Global Allocation Class C	46600G762	IWGCX		1.00	1.00	1.98000		SELL ONLY
Macquarie	Macquarie Global Allocation Class I	46600G747	IWGIX				0.98000		SELL ONLY
Macquarie	Macquarie Global Allocation Class R6	46600G739	IWGNX				0.83000		SELL ONLY
Macquarie	Macquarie Global Bond Fund Class A	465899748	IVSAX	4.50		.25	1.24000		BUY,SELL
Macquarie	Macquarie Global Bond Fund Class C	465899722	IVSCX		1.00	1.00	2.06000		SELL ONLY
Macquarie	Macquarie Global Bond Fund Class I	465899714	IVSIX				0.75000		SELL ONLY
Macquarie	Macquarie Global Bond Fund Class R	465899516	IYGOX			.50	1.31000		SELL ONLY
Macquarie	Macquarie Global Bond Fund Class R6	46600A872	IVBDX				0.73000		SELL ONLY
Macquarie	Macquarie Global Bond Fund Class Y	465899698	IVSYX			.25	0.99000		SELL ONLY
Macquarie	Macquarie Global Growth Fund Class A	465897502	IVINX	5.75		.24	1.19000		BUY,SELL
Macquarie	Macquarie Global Growth Fund Class C	465897585	IVNCX		1.00	1.00	1.95000		SELL ONLY
Macquarie	Macquarie Global Growth Fund Class I	465898724	IGIIX				0.95000		SELL ONLY
Macquarie	Macquarie Global Growth Fund Class R	465899425	IYIGX			.50	1.45000		SELL ONLY

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Macquarie	Macquarie Global Growth Fund Class R6	46600A815	ITGRX				0.92000		SELL ONLY
Macquarie	Macquarie Global Growth Fund Class Y	465897114	IVIYX			.25	1.20000		SELL ONLY
Macquarie	Macquarie Global Listed Real Assets Fund A Class	246248868	DPREX	5.75		.25	1.38000		SELL ONLY
Macquarie	Macquarie Global Listed Real Assets Fund C Class	246248793	DPRCX		1.00	1.00	2.13000		SELL ONLY
Macquarie	Macquarie Global Listed Real Assets Fund Institutional Class	246248777	DPRSX				1.13000		SELL ONLY
Macquarie	Macquarie Global Listed Real Assets Fund R Class	246248561	DPRRX			.50	1.63000		SELL ONLY
Macquarie	Macquarie Global Listed Real Assets Fund R6 Class	246248454	DPRDX				1.07000		SELL ONLY
Macquarie	Macquarie Growth and Income Fund Class A	24611D870	FGINX	5.75		.25	1.02000		SELL ONLY
Macquarie	Macquarie Growth and Income Fund Class R6	24611D854	FGIQX				0.70000		SELL ONLY
Macquarie	Macquarie Growth and Income Fund Institutional Class	24611D862	FGIPX				0.77000		SELL ONLY
Macquarie	Macquarie Healthcare Fund Class A	24610E101	DLHAX	5.75		.25	1.25000		BUY,SELL
Macquarie	Macquarie Healthcare Fund Class C	24610E200	DLHCX		1.00	1.00	2.00000		SELL ONLY
Macquarie	Macquarie Healthcare Fund Class I	24610E408	DLHIX				1.00000		SELL ONLY
Macquarie	Macquarie Healthcare Fund Class R	24610E309	DLRHX			.50	1.50000		SELL ONLY
Macquarie	Macquarie High Income Fund Class A	466000668	WHIAX	4.50		.25	1.02000		SELL ONLY
Macquarie	Macquarie High Income Fund Class C	466000643	WRHIX		1.00	1.00	1.81000		SELL ONLY
Macquarie	Macquarie High Income Fund Class I	466000122	IVHIX				0.75000		SELL ONLY
Macquarie	Macquarie High Income Fund Class R	465899490	IYHIX			.50	1.28000		SELL ONLY
Macquarie	Macquarie High Income Fund Class R6	46600A831	IHIFX				0.64000		SELL ONLY
Macquarie	Macquarie High Income Fund Class Y	466000635	WHIYX			.25	1.00000		SELL ONLY
Macquarie	Macquarie International Core Equity Fund Class A	465897353	VIAX	5.75		.25	1.26000		BUY,SELL
Macquarie	Macquarie International Core Equity Fund Class C	465897338	IVIFX		1.00	1.00	2.01000		SELL ONLY
Macquarie	Macquarie International Core Equity Fund Class I	465899706	ICEIX				1.01000		SELL ONLY

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Macquarie	Macquarie International Core Equity Fund Class R	465899433	IYITX			.50	1.51000		SELL ONLY
Macquarie	Macquarie International Core Equity Fund Class R6	46600A823	IINCX				0.93000		SELL ONLY
Macquarie	Macquarie International Core Equity Fund Class Y	465898682	IVVYX			.25	1.26000		SELL ONLY
Macquarie	Macquarie Large Cap Growth Fund Class A	466000627	WLGAX	5.75		.25	0.99000		BUY,SELL
Macquarie	Macquarie Large Cap Growth Fund Class C	466000593	WLG CX			1.00	1.74000		SELL ONLY
Macquarie	Macquarie Large Cap Growth Fund Class I	466001203	IYGIX				0.74000		SELL ONLY
Macquarie	Macquarie Large Cap Growth Fund Class R	466000429	WLGRX			.50	1.24000		SELL ONLY
Macquarie	Macquarie Large Cap Growth Fund Class R6	46600A799	ILGRX				0.65000		SELL ONLY
Macquarie	Macquarie Large Cap Growth Fund Class Y	466000585	WLG YX			.25	0.99000		SELL ONLY
Macquarie	Macquarie Limited-Term Diversified Income Fund Class A	245912308	DTRIX	2.75		.25	0.95000		BUY,SELL
Macquarie	Macquarie Limited-Term Diversified Income Fund Class C	245912704	DTICX		1.00	1.00	1.70000		SELL ONLY
Macquarie	Macquarie Limited-Term Diversified Income Fund Class R	245912803	DLTRX			.50	1.20000		SELL ONLY
Macquarie	Macquarie Limited-Term Diversified Income Fund Class R6	245912886	DLTZX				0.65000		SELL ONLY
Macquarie	Macquarie Limited-Term Diversified Income Fund Institutional Class	245912506	DTINX				0.70000		SELL ONLY
Macquarie	Macquarie Mid Cap Growth Fund Class A	466000577	WMGA X	5.75		.25	1.23000		BUY,SELL
Macquarie	Macquarie Mid Cap Growth Fund Class C	466000551	WMGC X		1.00	1.00	1.98000		SELL ONLY
Macquarie	Macquarie Mid Cap Growth Fund Class I	466001609	IYMIX				0.98000		SELL ONLY
Macquarie	Macquarie Mid Cap Growth Fund Class R	466000411	WMGR X			.50	1.48000		SELL ONLY

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Macquarie	Macquarie Mid Cap Growth Fund Class R6	46600A765	IGRFX				0.86000		SELL ONLY
Macquarie	Macquarie Mid Cap Growth Fund Class Y	466000544	WMGYX			.25	1.23000		SELL ONLY
Macquarie	Macquarie Mid Cap Income Opportunities Fund Class A	46600B102	IVOAX	5.75		.25	1.25000		BUY,SELL
Macquarie	Macquarie Mid Cap Income Opportunities Fund Class C	46600B201	IVOCX		1.00	1.00	2.00000		SELL ONLY
Macquarie	Macquarie Mid Cap Income Opportunities Fund Class I	46600B409	IVOIX				1.00000		SELL ONLY
Macquarie	Macquarie Mid Cap Income Opportunities Fund Class R	46600B508	IVORX			.50	1.50000		SELL ONLY
Macquarie	Macquarie Mid Cap Income Opportunities Fund Class R6	46600B607	IVOSX				0.93000		SELL ONLY
Macquarie	Macquarie Mid Cap Income Opportunities Fund Class Y	46600B706	IVOYX			.25	1.25000		SELL ONLY
Macquarie	Macquarie Minnesota High-Yield Municipal Bond Fund Class C	928928282	DVMMX		1.00	1.00	1.75000		SELL ONLY
Macquarie	Macquarie Minnesota High-Yield Municipal Bond Fund Institutional Class	928928175	DMHIX				0.75000		SELL ONLY
Macquarie	Macquarie Multi-Asset Income Fund Class A	46600B847	IMAAAX	5.75		.25	1.54000		BUY,SELL
Macquarie	Macquarie Multi-Asset Income Fund Class C	46600B839	IMACX		1.00	1.00	2.29000		SELL ONLY
Macquarie	Macquarie Multi-Asset Income Fund Class I	46600B821	IMAIAX				1.29000		SELL ONLY
Macquarie	Macquarie Multi-Asset Income Fund Class R6	46600B813	IMURX				1.21000		SELL ONLY
Macquarie	Macquarie Multi-Asset Income Fund Class Y	46600B797	IMAYX			.25	1.54000		SELL ONLY
Macquarie	Macquarie National High Yield Municipal Bond Fund Class C	928928225	DVHCX		1.00	1.00	1.62000		SELL ONLY
Macquarie	Macquarie National High Yield Municipal Bond Fund Institutional Class	24610H302	DVHIX				0.62000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Macquarie	Macquarie Natural Resources Fund Class A	465897429	IGNAX	5.75		.25	1.68000		SELL ONLY
Macquarie	Macquarie Natural Resources Fund Class C	465897395	IGNCX		1.00	1.00	2.45000		SELL ONLY
Macquarie	Macquarie Natural Resources Fund Class I	465899508	IGNIX				1.17000		SELL ONLY
Macquarie	Macquarie Natural Resources Fund Class R	465898310	IGNRX			.50	1.69000		SELL ONLY
Macquarie	Macquarie Natural Resources Fund Class R6	46600A849	INRSX				1.10000		SELL ONLY
Macquarie	Macquarie Natural Resources Fund Class Y	465897122	IGNYX			.25	1.41000		SELL ONLY
Macquarie	Macquarie Opportunity Fund Class A	24611D771	FIUSX	5.75		.25	1.19000		SELL ONLY
Macquarie	Macquarie Opportunity Fund Class C	24611D383	FIVCX		1.00	1.00	1.94000		SELL ONLY
Macquarie	Macquarie Opportunity Fund Class R	24611D375	FIZRX			.50	1.44000		SELL ONLY
Macquarie	Macquarie Opportunity Fund Class R6	24611D755	FIVVX				0.87000		SELL ONLY
Macquarie	Macquarie Opportunity Fund Institutional Class	24611D763	FIVUX				0.94000		SELL ONLY
Macquarie	Macquarie Real Estate Securities Fund Class A	465898476	IRSAX	5.75		.25	1.57000		BUY,SELL
Macquarie	Macquarie Real Estate Securities Fund Class C	465898450	IRSCX		1.00	1.00	2.76000		SELL ONLY
Macquarie	Macquarie Real Estate Securities Fund Class I	465899839	IREIX				1.09000		SELL ONLY
Macquarie	Macquarie Real Estate Securities Fund Class R	465898294	IRSRX			.50	1.65000		SELL ONLY
Macquarie	Macquarie Real Estate Securities Fund Class R6	46600A757	IRSEX				1.06000		SELL ONLY
Macquarie	Macquarie Real Estate Securities Fund Class Y	465898443	IRSYX			.25	1.29000		SELL ONLY
Macquarie	Macquarie Science and Technology Fund Class A	466000718	WSTAX	5.75		.25	1.16000		BUY,SELL
Macquarie	Macquarie Science and Technology Fund Class C	466000684	WSTCX		1.00	1.00	1.91000		SELL ONLY
Macquarie	Macquarie Science and Technology Fund Class I	466001807	ISTIX				0.91000		SELL ONLY

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Macquarie	Macquarie Science and Technology Fund Class R	466000437	WSTRX			.50	1.41000		SELL ONLY
Macquarie	Macquarie Science and Technology Fund Class R6	46600A740	ISTNX				0.84000		SELL ONLY
Macquarie	Macquarie Science and Technology Fund Class Y	466000676	WSTYX			.25	1.16000		SELL ONLY
Macquarie	Macquarie Small Cap Core Fund Class A	24610B883	DCCAX	5.75		.25	1.09000		SELL ONLY
Macquarie	Macquarie Small Cap Core Fund Class C	24610B867	DCCCX		1.00	1.00	1.84000		SELL ONLY
Macquarie	Macquarie Small Cap Core Fund Class R	24610B834	DCCRX			.50	1.34000		SELL ONLY
Macquarie	Macquarie Small Cap Core Fund Class R6	24610B826	DCZRX				0.72000		SELL ONLY
Macquarie	Macquarie Small Cap Core Fund Institutional Class	24610B859	DCCIX				0.84000		SELL ONLY
Macquarie	Macquarie Small Cap Growth Fund Class A	466000502	WSGAX	5.75		.25	1.25000		BUY,SELL
Macquarie	Macquarie Small Cap Growth Fund Class C	466000700	WRGCX		1.00	1.00	2.00000		SELL ONLY
Macquarie	Macquarie Small Cap Growth Fund Class Institutional	466001872	IYSIX				1.00000		SELL ONLY
Macquarie	Macquarie Small Cap Growth Fund Class R	466000445	WGRX			.50	1.50000		SELL ONLY
Macquarie	Macquarie Small Cap Growth Fund Class R6	46600A732	IRGFX				0.91000		SELL ONLY
Macquarie	Macquarie Small Cap Growth Fund Class Y	466000809	WSCYX			.25	1.25000		SELL ONLY
Macquarie	Macquarie Small Cap Value Fund Class A	246097109	DEVLX	5.75		.25	1.11000		BUY,SELL
Macquarie	Macquarie Small Cap Value Fund Class C	246097406	DEVX		1.00	1.00	1.86000		SELL ONLY
Macquarie	Macquarie Small Cap Value Fund Class R	246097505	DVLRX			.50	1.36000		SELL ONLY
Macquarie	Macquarie Small Cap Value Fund Class R6	24610B818	DVZRX				0.71000		SELL ONLY

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Macquarie	Macquarie Small Cap Value Fund Institutional Class	246097208	DEVIX				0.86000		SELL ONLY
Macquarie	Macquarie Smid Cap Core Fund Class A	465898435	IYSAX	5.75		.25	1.27000		BUY,SELL
Macquarie	Macquarie Smid Cap Core Fund Class C	465898419	IYSCX		1.00	1.00	2.02000		SELL ONLY
Macquarie	Macquarie Smid Cap Core Fund Class I	465899813	IVVIX				1.02000		SELL ONLY
Macquarie	Macquarie Smid Cap Core Fund Class R	465899540	IYSMX			.50	1.52000		SELL ONLY
Macquarie	Macquarie Smid Cap Core Fund Class R6	46600A724	ISPVX				0.99000		SELL ONLY
Macquarie	Macquarie Smid Cap Core Fund Class Y	465898393	IYSYX			.25	1.27000		SELL ONLY
Macquarie	Macquarie Strategic Income Fund Class A	246094205	DEGGX	4.50		.25	1.12000		BUY,SELL
Macquarie	Macquarie Strategic Income Fund Class C	246094700	DUGCX		1.00	1.00	1.87000		SELL ONLY
Macquarie	Macquarie Strategic Income Fund Class R	246094809	DUGRX			.50	1.37000		SELL ONLY
Macquarie	Macquarie Strategic Income Fund Institutional Class	246094502	DUGIX				0.87000		SELL ONLY
Macquarie	Macquarie Systematic Emerging Markets Equity Fund Class A	465897866	IPOAX	5.75		.25	1.51000		BUY,SELL
Macquarie	Macquarie Systematic Emerging Markets Equity Fund Class C	465897643	IPOCX		1.00	1.00	2.26000		SELL ONLY
Macquarie	Macquarie Systematic Emerging Markets Equity Fund Class I	465899854	IPOIX				1.26000		SELL ONLY
Macquarie	Macquarie Systematic Emerging Markets Equity Fund Class R	465899383	IYPCX			.50	1.76000		SELL ONLY
Macquarie	Macquarie Systematic Emerging Markets Equity Fund Class R6	46600A708	IMEGX				1.11000		SELL ONLY
Macquarie	Macquarie Systematic Emerging Markets Equity Fund Class Y	465898674	IPOYX			.25	1.51000		SELL ONLY
Macquarie	Macquarie Tax Free Minnesota Fund Institutional Class	928918705	DMNIX				0.72000		SELL ONLY

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Macquarie	Macquarie Tax-Free Arizona Fund Class A	928916204	VAZIX	4.50		.25	1.12000		BUY,SELL
Macquarie	Macquarie Tax-Free Arizona Fund Class C	928916501	DVACX		1.00	1.00	1.87000		SELL ONLY
Macquarie	Macquarie Tax-Free Arizona Fund Institutional Class	928916873	DAZIX				0.87000		SELL ONLY
Macquarie	Macquarie Tax-Free California Fund Class A	928928829	DVTAX	4.50		.25	1.00000		SELL ONLY
Macquarie	Macquarie Tax-Free California Fund Class C	928928795	DVFTX		1.00	1.00	1.75000		SELL ONLY
Macquarie	Macquarie Tax-Free California Fund Institutional Class	928928167	DCTIX				0.75000		SELL ONLY
Macquarie	Macquarie Tax-Free Colorado Fund Class C	92907R101	DVCTX		1.00	1.00	1.73000		SELL ONLY
Macquarie	Macquarie Tax-Free Colorado Fund Institutional Class	92907R200	DCOIX				0.73000		SELL ONLY
Macquarie	Macquarie Tax-Free Idaho Fund Class C	928928803	DVICX		1.00	1.00	1.78000		SELL ONLY
Macquarie	Macquarie Tax-Free Idaho Fund Institutional Class	928928159	DTIDX				0.78000		SELL ONLY
Macquarie	Macquarie Tax-Free Minnesota Fund Class C	928918408	DMOCX		1.00	1.00	1.72000		SELL ONLY
Macquarie	Macquarie Tax-Free New York Fund Class A	928928274	FTNYX	4.50		.25	0.97000		SELL ONLY
Macquarie	Macquarie Tax-Free New York Fund Class C	928928258	DVFNX		1.00	1.00	1.72000		SELL ONLY
Macquarie	Macquarie Tax-Free New York Fund Institutional Class	928928142	DTNIX				0.72000		SELL ONLY
Macquarie	Macquarie Tax-Free Oregon Fund Class A	245912712	FTORX	4.50		.25	1.19000		SELL ONLY
Macquarie	Macquarie Tax-Free Oregon Fund Institutional Class	245912696	FTOTX				0.94000		SELL ONLY
Macquarie	Macquarie Tax-Free Pennsylvania Fund Class C	233216308	DPTCX		1.00	1.00	1.68000		SELL ONLY
Macquarie	Macquarie Tax-Free Pennsylvania Fund Institutional Class	24609H701	DTPIX				0.68000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Macquarie	Macquarie Tax-Free USA Fund Class C	245909700	DUSCX		1.00	1.00	1.64000		SELL ONLY
Macquarie	Macquarie Tax-Free USA Fund Institutional Class	24610H104	DTFIX				0.64000		SELL ONLY
Macquarie	Macquarie Tax-Free USA Intermediate Fund Class A	245909304	DMUSX	2.75		.25	0.87000		SELL ONLY
Macquarie	Macquarie Tax-Free USA Intermediate Fund Class C	245909882	DUICX		1.00	1.00	1.62000		SELL ONLY
Macquarie	Macquarie Tax-Free USA Intermediate Fund Institutional Class	24610H203	DUSIX				0.62000		SELL ONLY
Macquarie	Macquarie Ultrashort Fund Institutional Class	245910500	DULTX				0.66000		SELL ONLY
Macquarie	Macquarie Value Fund Class A	24610C881	DDVAX	5.75		.25	0.94000		BUY,SELL
Macquarie	Macquarie Value Fund Class C	24610C865	DDVCX		1.00	1.00	1.69000		SELL ONLY
Macquarie	Macquarie Value Fund Class R	245907860	DDVRX			.50	1.19000		SELL ONLY
Macquarie	Macquarie Value Fund Class R6	24610C840	DDZRX				0.61000		SELL ONLY
Macquarie	Macquarie Value Fund Institutional Class	24610C857	DDVIX				0.69000		SELL ONLY
Macquarie	Macquarie Wealth Builder Fund Class A	24610B107	DDIAX	5.75		.25	1.07000		SELL ONLY
Macquarie	Macquarie Wealth Builder Fund Class C	24610B305	DDICX		1.00	1.00	1.82000		SELL ONLY
Macquarie	Macquarie Wealth Builder Fund Class R	24610B842	DDDRX			.50	1.32000		SELL ONLY
Macquarie	Macquarie Wealth Builder Fund Class R6	24610B776	DDERX				0.77000		SELL ONLY
Macquarie	Macquarie Wealth Builder Fund Institutional Class	24610B404	DDIIX				0.82000		SELL ONLY
Madison Funds	Madison Aggressive Allocation Fund Class A	557492576	MAGSX	5.75		.25	1.00000		SELL ONLY
Madison Funds	Madison Conservative Allocation Fund Class A	557492642	MCNAX	5.75		.25	0.99000		SELL ONLY
Madison Funds	Madison Core Bond Fund Class A	557492303	MBOAX	4.50		.25	0.85000		SELL ONLY
Madison Funds	Madison Core Bond Fund Class I	557492253	MBOIX				0.50000		SELL ONLY
Madison Funds	Madison Core Bond Fund Class R6	557492196	MBORX				0.42000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Madison Funds	Madison Core Bond Fund Class Y	557492501	MBOYX				0.60000		SELL ONLY
Madison Funds	Madison Covered Call & Equity Income Fund Class A	557492857	MENAX	5.75		.25	1.29000		SELL ONLY
Madison Funds	Madison Covered Call & Equity Income Fund Class I	557492212	MENIX				0.99000		SELL ONLY
Madison Funds	Madison Covered Call & Equity Income Fund Class R6	557492824	MENRX				0.91000		SELL ONLY
Madison Funds	Madison Covered Call & Equity Income Fund Class Y	557492832	MENYX				1.04000		SELL ONLY
Madison Funds	Madison Diversified Income Fund Class A	557492600	MBLAX	5.75		.25	1.16000		SELL ONLY
Madison Funds	Madison Dividend Income Fund Cass R6	557492188	MADRX				0.73000		SELL ONLY
Madison Funds	Madison Dividend Income Fund Class A	557492311	MADAX	5.75		.25	1.16000		SELL ONLY
Madison Funds	Madison Dividend Income Fund Class I	557492295	MDMIX				0.81000		SELL ONLY
Madison Funds	Madison Dividend Income Fund Class Y	557492477	BHBFX				0.91000		SELL ONLY
Madison Funds	Madison High Quality Bond Fund Class I	557492220	MIIRX				0.41000		SELL ONLY
Madison Funds	Madison High Quality Bond Fund Class Y	557492527	MIIBX				0.50000		SELL ONLY
Madison Funds	Madison Large Cap Fund Class A	557492394	MNVAX	5.75		.25	1.16000		SELL ONLY
Madison Funds	Madison Large Cap Fund Class I	557492287	MIVIX				0.81000		SELL ONLY
Madison Funds	Madison Large Cap Fund Class R6	557492386	MNVRX				0.73000		SELL ONLY
Madison Funds	Madison Large Cap Fund Class Y	557492493	MINVX				0.91000		SELL ONLY
Madison Funds	Madison Mid Cap Fund Class A	557492741	MERAX	5.75		.25	1.36000		BUY,SELL
Madison Funds	Madison Mid Cap Fund Class I	557492279	MDCIX				0.81000		SELL ONLY
Madison Funds	Madison Mid Cap Fund Class R6	557492485	MMCR X				0.73000		SELL ONLY
Madison Funds	Madison Mid Cap Fund Class Y	557492725	GTSGX				0.91000		SELL ONLY
Madison Funds	Madison Moderate Allocation Fund Class A	557492618	MMDAX	5.75		.25	1.00000		SELL ONLY
Madison Funds	Madison Small Cap Fund Class A	557492717	MASMX	5.75		.25	1.35000		SELL ONLY
Madison Funds	Madison Small Cap Fund Class I	557492261	MSCIX				1.00000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Madison Funds	Madison Small Cap Fund Class R6	557492170	MSCRX				0.92000		SELL ONLY
Madison Funds	Madison Small Cap Fund Class Y	557492683	BVAOX				1.10000		SELL ONLY
Madison Funds	Madison Tax-Free National Fund Class Y	557492410	GTFHX				0.76000		SELL ONLY
Mairs & Power	Mairs & Power Balanced Fund	89834G695	MAPOX				0.71000		SELL ONLY
Mairs & Power	Mairs & Power Growth Fund	89834G711	MPGFX				0.64000		SELL ONLY
Mairs & Power	Mairs & Power Small Cap Fund	89834G687	MSCFX				0.94000	1.00	SELL ONLY
Manning & Napier	Manning & Napier Core Bond Series Class I	56382R720	EXCIX				0.46000		SELL ONLY
Manning & Napier	Manning & Napier Core Bond Series Class S	563821404	EXCRX			.25	1.04000		SELL ONLY
Manning & Napier	Manning & Napier Core Bond Series Class Z	56382R233	MCBZX				0.34000		SELL ONLY
Manning & Napier	Manning & Napier Disciplined Value Series Class I	56382P658	MNDFX				0.55000		SELL ONLY
Manning & Napier	Manning & Napier Disciplined Value Series Class S	56382P476	MDFSX			.25	0.75000		SELL ONLY
Manning & Napier	Manning & Napier Disciplined Value Series Class Z	56382R274	MDVZX				0.45000		SELL ONLY
Manning & Napier	Manning & Napier Diversified Tax Exempt Series Fund Class A	563821677	EXDVX				0.42000		SELL ONLY
Manning & Napier	Manning & Napier Equity Series Class S	563821602	EXEYX				1.29000		SELL ONLY
Manning & Napier	Manning & Napier High Yield Bond Series Class I	56382P195	MNHAX				0.59000		SELL ONLY
Manning & Napier	Manning & Napier High Yield Bond Series Class S	56382P583	MNHYX			.25	0.91000		SELL ONLY
Manning & Napier	Manning & Napier High Yield Bond Series Class Z	56382R241	MHYZX				0.48000		SELL ONLY
Manning & Napier	Manning & Napier Overseas Series Class I	563821503	EXOSX				0.79000		SELL ONLY
Manning & Napier	Manning & Napier Overseas Series Class S	56382R480	MNOSX			.25	1.07000		SELL ONLY
Manning & Napier	Manning & Napier Overseas Series Class Z	56382R472	MNOZX				0.71000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Manning & Napier	Manning & Napier Pro-Blend Conservative Term Series Class I	56382P690	MNCIX				0.65000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Conservative Term Series Class L	56382P633	MNCCX			1.00	1.61000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Conservative Term Series Class R	563821628	MNCRX			.50	1.10000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Conservative Term Series Class S	563821651	EXDAX			.25	0.90000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Extended Term Series Class I	56382P674	MNBIX				0.77000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Extended Term Series Class L	56382P617	MNECX			1.00			SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Extended Term Series Class R	563821446	MNBRX			.50	1.27000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Extended Term Series Class S	563821768	MNBAX			.25	1.02000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Maximum Term Series Class I	56382P666	MNHIX				0.86000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Maximum Term Series Class L	56382P591	MNHXC			1.00	1.82000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Maximum Term Series Class R	563821560	MNHRX			.50	1.30000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Maximum Term Series Class S	563821594	EXHAX			.25	1.10000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Moderate Term Series Class I	56382P682	MNMIX				0.86000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Moderate Term Series Class L	56382P625	MNMCX			1.00	1.81000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Moderate Term Series Class R	563821487	MNMRX			.50	1.28000		SELL ONLY
Manning & Napier	Manning & Napier Pro-Blend Moderate Term Series Class S	563821776	EXBAX			.25	1.06000		SELL ONLY
Manning & Napier	Manning & Napier Rainier International Discovery Series Class I	56382R688	RAIIX				1.16000		SELL ONLY
Manning & Napier	Manning & Napier Rainier International Discovery Series Class S	56382R670	RISAX			.25	1.41000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Manning & Napier	Manning & Napier Rainier International Discovery Series Class Z	56382R662	RAIRX				1.06000		SELL ONLY
Manning & Napier	Manning & Napier Unconstrained Bond Series Class I	56382P112	MNCPX				0.47000		SELL ONLY
Manning & Napier	Manning & Napier Unconstrained Bond Series Class S	563821206	EXCPX			.25	0.78000		SELL ONLY
Marsico Investment Fund	Marsico Focus Fund	573012101	MFOCX			.25	1.25000		SELL ONLY
Marsico Investment Fund	Marsico Focus Fund Institutional Class	573012804	MIFOX			.00	0.98000		SELL ONLY
Marsico Investment Fund	Marsico Global Fund	573012606	MGLBX			.25	1.44000		SELL ONLY
Marsico Investment Fund	Marsico Global Fund Institutional Class	573012853	MIGOX			.00	1.13000		SELL ONLY
Marsico Investment Fund	Marsico Growth Fund	573012200	MGRIX			.25	1.33000		SELL ONLY
Marsico Investment Fund	Marsico Growth Fund Institutional Class	573012887	MIGWX			.00	1.08000		SELL ONLY
Marsico Investment Fund	Marsico International Opportunities Fund	573012408	MIOFX			.25	1.73000		SELL ONLY
Marsico Investment Fund	Marsico International Opportunities Fund Institutional Class	573012861	MIIOX			.00	1.75000		SELL ONLY
Marsico Investment Fund	Marsico Midcap Growth Focus Fund	573012309	MXXIX			.25	1.39000		SELL ONLY
Marsico Investment Fund	Marsico Midcap Growth Focus Fund Institutional Class	573012879	MIDFX			.00	1.15000		SELL ONLY
MD Sass	Integrity Short Term Government Fund Class A	45890C630	MDSAX	2.00		.25	1.19000		SELL ONLY
MD Sass	Integrity Short Term Government Fund Class I	45890C622	MDSIX				0.94000		SELL ONLY
Meridian	Meridian Contrarian Fund A Class	589619865	MFCAX	5.75		.25	1.45000	2.00	SELL ONLY
Meridian	Meridian Contrarian Fund Class C	589619774	MFCCX		1.00	1.00	2.25000		SELL ONLY
Meridian	Meridian Contrarian Fund Investor Class	589619873	MFCIX			.00	1.21000	2.00	SELL ONLY
Meridian	Meridian Contrarian Fund Legacy Class	589619204	MVALX				1.18000	2.00	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Meridian	Meridian Growth Fund? A Class	589619808	MRAGX	5.75		.25	1.21000	2.00	SELL ONLY
Meridian	Meridian Growth Fund? Class C	589619782	MRCGX		1.00	1.00	1.92000		SELL ONLY
Meridian	Meridian Growth Fund? Institutional Class	589619881	MRRGX				0.88000	2.00	SELL ONLY
Meridian	Meridian Growth Fund? Investor Class	589619709	MRIGX			.00	0.97000	2.00	SELL ONLY
Meridian	Meridian Growth Fund? Legacy Class	589619105	MERDX				0.91000	2.00	SELL ONLY
Meridian	Meridian Hedged Equity Fund? A Class	589619501	MRAEX	5.75		.25	1.79000	2.00	SELL ONLY
Meridian	Meridian Hedged Equity Fund? Class C	589619790	MRCEX		1.00	1.00	2.55000		SELL ONLY
Meridian	Meridian Hedged Equity Fund? Investor Class	589619402	MRIEX			.00	1.46000	2.00	SELL ONLY
Meridian	Meridian Hedged Equity Fund? Legacy Class	589619303	MEIFX				1.47000	2.00	SELL ONLY
Meridian	Meridian Small Cap Growth Fund A Class	589619832	MSGAX	5.75		.25	1.60000	2.00	SELL ONLY
Meridian	Meridian Small Cap Growth Fund Class C	589619766	MSGCX		1.00	1.00	2.29000		SELL ONLY
Meridian	Meridian Small Cap Growth Fund Institutional Class	589619824	MSGRX				1.20000	2.00	SELL ONLY
Meridian	Meridian Small Cap Growth Fund Investor Shares	589619840	MISGX			.00	1.28000	2.00	SELL ONLY
Meridian	Meridian Small Cap Growth Fund Legacy Class	589619816	MSGGX				1.26000	2.00	SELL ONLY
MFS	MFS Aggressive Growth Allocation Fund Class A	55273G686	MAAGX	5.75		.25	1.01000		BUY,SELL
MFS	MFS Aggressive Growth Allocation Fund Class B	55273G678	MBAGX		4.00	1.00	1.76000		SELL ONLY
MFS	MFS Aggressive Growth Allocation Fund Class C	55273G660	MCAGX		1.00	1.00	1.76000		SELL ONLY
MFS	MFS Aggressive Growth Allocation Fund Class I	55273G652	MIAGX				0.76000		SELL ONLY
MFS	MFS Aggressive Growth Allocation Fund Class R1	55273G132	MAAFX			1.00	1.76000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Aggressive Growth Allocation Fund Class R2	55273G371	MAWA X			.50	1.26000		SELL ONLY
MFS	MFS Aggressive Growth Allocation Fund Class R3	55273G124	MAAHX			.25	1.01000		SELL ONLY
MFS	MFS Aggressive Growth Allocation Fund Class R6	552743437	AGGPX				0.68000		SELL ONLY
MFS	MFS Alabama Municipal Bond Fund Class B	55273N780	MBABX		4.00	1.00	1.84000		SELL ONLY
MFS	MFS Alabama Municipal Bond Fund Class I	55273N392	MLALX				0.84000		SELL ONLY
MFS	MFS Alabama Municipal Bond Fund Class R6	55273N269	MPOLX				0.77000		SELL ONLY
MFS	MFS Arkansas Municipal Bond Fund Class B	55273N772	MBARX		4.00	1.00	1.69000		SELL ONLY
MFS	MFS Arkansas Municipal Bond Fund Class I	55273N426	MARLX				0.69000		SELL ONLY
MFS	MFS Arkansas Municipal Bond Fund Class R6	55273N251	MPRAX				0.62000		SELL ONLY
MFS	MFS Blended Research Core Equity Fund Class A	55273W400	MUEAX	5.75		.25	0.81000		BUY,SELL
MFS	MFS Blended Research Core Equity Fund Class B	55273W509	MUSBX		4.00	1.00	1.56000		SELL ONLY
MFS	MFS Blended Research Core Equity Fund Class C	55273W608	MUECX		1.00	1.00	1.56000		SELL ONLY
MFS	MFS Blended Research Core Equity Fund Class I	55273W103	MUSEX				0.56000		SELL ONLY
MFS	MFS Blended Research Core Equity Fund Class R1	55273W541	MUERX			1.00	1.56000		SELL ONLY
MFS	MFS Blended Research Core Equity Fund Class R2	55273W533	MUESX			.50	1.06000		SELL ONLY
MFS	MFS Blended Research Core Equity Fund Class R3	55273W525	MUETX			.25	0.81000		SELL ONLY
MFS	MFS Blended Research Core Equity Fund Class R6	55273W483	MUEVX				0.46000		SELL ONLY
MFS	MFS Blended Research Emerging Markets Equity Fund Class A	552987331	BRKAX	5.75		.25	1.95000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Blended Research Emerging Markets Equity Fund Class B	552987323	BRKBX		4.00	1.00	2.70000		SELL ONLY
MFS	MFS Blended Research Emerging Markets Equity Fund Class C	552987315	BRKCX			1.00	2.70000		SELL ONLY
MFS	MFS Blended Research Emerging Markets Equity Fund Class I	552987299	BRKIX				1.70000		SELL ONLY
MFS	MFS Blended Research Emerging Markets Equity Fund Class R1	552987281	BRKRX			1.00	2.70000		SELL ONLY
MFS	MFS Blended Research Emerging Markets Equity Fund Class R2	552987273	BRKSX			.50	2.20000		SELL ONLY
MFS	MFS Blended Research Emerging Markets Equity Fund Class R3	552987265	BRKTX			.25	1.95000		SELL ONLY
MFS	MFS Blended Research Emerging Markets Equity Fund Class R6	552987240	BRKVX				1.61000		SELL ONLY
MFS	MFS Blended Research Growth Equity Fund Class A	552743874	BRWAX	5.75		.25	0.85000		BUY,SELL
MFS	MFS Blended Research Growth Equity Fund Class B	552743866	BRWBX		4.00	1.00	1.60000		SELL ONLY
MFS	MFS Blended Research Growth Equity Fund Class C	552743858	BRWCX		1.00	1.00	1.60000		SELL ONLY
MFS	MFS Blended Research Growth Equity Fund Class I	552743841	BRWJX				0.60000		SELL ONLY
MFS	MFS Blended Research Growth Equity Fund Class R1	552743833	BRWRX			1.00	1.60000		SELL ONLY
MFS	MFS Blended Research Growth Equity Fund Class R2	552743825	BRWSX			.50	1.10000		SELL ONLY
MFS	MFS Blended Research Growth Equity Fund Class R3	552743817	BRWTX			.25	0.85000		SELL ONLY
MFS	MFS Blended Research Growth Equity Fund Class R6	552743783	BRWVX				0.53000		SELL ONLY
MFS	MFS Blended Research International Equity Fund Class A	552987539	BRXAX	5.75		.25	0.92000		BUY,SELL
MFS	MFS Blended Research International Equity Fund Class B	552987521	BRXBX		4.00	1.00	1.67000		SELL ONLY
MFS	MFS Blended Research International Equity Fund Class C	552987513	BRXCX		1.00	1.00	1.67000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Blended Research International Equity Fund Class I	552987497	BRXIX				0.67000		SELL ONLY
MFS	MFS Blended Research International Equity Fund Class R1	552987489	BRXRX			1.00	1.67000		SELL ONLY
MFS	MFS Blended Research International Equity Fund Class R2	552987471	BRXSX			.50	1.17000		SELL ONLY
MFS	MFS Blended Research International Equity Fund Class R3	552987463	BRXTX			.25	0.92000		SELL ONLY
MFS	MFS Blended Research International Equity Fund Class R6	552987448	BRXVX				0.59000		SELL ONLY
MFS	MFS Blended Research Mid Cap Equity Fund A	552743643	BMSFX	5.75		.25	0.93000		BUY,SELL
MFS	MFS Blended Research Mid Cap Equity Fund B	552743635	BMSBX		4.00	1.00	1.68000		SELL ONLY
MFS	MFS Blended Research Mid Cap Equity Fund C	552743627	BMSDX		1.00	1.00	1.68000		SELL ONLY
MFS	MFS Blended Research Mid Cap Equity Fund I	552743619	BMSLX				0.68000		SELL ONLY
MFS	MFS Blended Research Mid Cap Equity Fund R1	552743593	BMSRX			1.00	1.68000		SELL ONLY
MFS	MFS Blended Research Mid Cap Equity Fund R2	552743585	BMSSX			.50	1.18000		SELL ONLY
MFS	MFS Blended Research Mid Cap Equity Fund R3	552743577	BMSTX			.25	0.93000		SELL ONLY
MFS	MFS Blended Research Mid Cap Equity Fund R6	552743551	BMSYX				0.58000		SELL ONLY
MFS	MFS Blended Research Small Cap Equity Fund Class A	552743775	BRSDX	5.75		.25	1.13000		BUY,SELL
MFS	MFS Blended Research Small Cap Equity Fund Class B	552743767	BRSBX		4.00	1.00	1.88000		SELL ONLY
MFS	MFS Blended Research Small Cap Equity Fund Class C	552743759	BRSHX		1.00	1.00	1.88000		SELL ONLY
MFS	MFS Blended Research Small Cap Equity Fund Class I	552743742	BRSJX				0.88000		SELL ONLY
MFS	MFS Blended Research Small Cap Equity Fund Class R1	552743734	BRSPX			1.00	1.88000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Blended Research Small Cap Equity Fund Class R2	552743726	BRSSX			.50	1.38000		SELL ONLY
MFS	MFS Blended Research Small Cap Equity Fund Class R3	552743718	BRSTX			.25	1.13000		SELL ONLY
MFS	MFS Blended Research Small Cap Equity Fund Class R6	552743684	BRSYX				0.78000		SELL ONLY
MFS	MFS Blended Research Value Equity Fund Class A	552746224	BRUDX	5.75		.25	0.85000		BUY,SELL
MFS	MFS Blended Research Value Equity Fund Class B	552746216	BRUEX		4.00	1.00	1.60000		SELL ONLY
MFS	MFS Blended Research Value Equity Fund Class C	552746190	BRUGX		1.00	1.00	1.60000		SELL ONLY
MFS	MFS Blended Research Value Equity Fund Class I	552746182	BRUHX				0.60000		SELL ONLY
MFS	MFS Blended Research Value Equity Fund Class R1	552746174	BRUJX			1.00	1.60000		SELL ONLY
MFS	MFS Blended Research Value Equity Fund Class R2	552746166	BRUKX			.50	1.10000		SELL ONLY
MFS	MFS Blended Research Value Equity Fund Class R3	552746158	BRULX			.25	0.85000		SELL ONLY
MFS	MFS Blended Research Value Equity Fund Class R6	552746133	BRUNX				0.53000		SELL ONLY
MFS	MFS California Municipal Bond Fund Class B	55273N582	MBCAX		4.00	1.00	1.61000		SELL ONLY
MFS	MFS California Municipal Bond Fund Class C	55273N558	MCCAX		1.00	1.00	1.61000		SELL ONLY
MFS	MFS California Municipal Bond Fund Class I	55273N418	MCAVX				0.61000		SELL ONLY
MFS	MFS California Municipal Bond Fund Class R6	55273N244	MPOAX				0.54000		SELL ONLY
MFS	MFS Commodity Strategy Fund Class A	55276T859	MCSAX	5.75		.25	1.17000		BUY,SELL
MFS	MFS Commodity Strategy Fund Class B	55276T818	MCSFX		4.00	1.00	1.92000		SELL ONLY
MFS	MFS Commodity Strategy Fund Class C	55276T792	MCSHX		1.00	1.00	1.92000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Commodity Strategy Fund Class I	55276T842	MCSIX				0.92000		SELL ONLY
MFS	MFS Commodity Strategy Fund Class R1	55276T784	MCSNX			1.00	1.92000		SELL ONLY
MFS	MFS Commodity Strategy Fund Class R2	55276T776	MCSOX			.50	1.42000		SELL ONLY
MFS	MFS Commodity Strategy Fund Class R3	55276T768	MCSQX			.25	1.17000		SELL ONLY
MFS	MFS Commodity Strategy Fund Class R6	55276T826	MCSRX				0.83000		SELL ONLY
MFS	MFS Conservative Allocation Fund Class A	55273G827	MACFX	5.75		.25	0.83000		BUY,SELL
MFS	MFS Conservative Allocation Fund Class B	55273G819	MACBX		4.00	1.00	1.58000		SELL ONLY
MFS	MFS Conservative Allocation Fund Class C	55273G793	MACVX		1.00	1.00	1.58000		SELL ONLY
MFS	MFS Conservative Allocation Fund Class I	55273G785	MACIX				0.58000		SELL ONLY
MFS	MFS Conservative Allocation Fund Class R1	552746406	MACKX			1.00	1.58000		SELL ONLY
MFS	MFS Conservative Allocation Fund Class R2	55273G355	MCARX			.50	1.08000		SELL ONLY
MFS	MFS Conservative Allocation Fund Class R3	552746505	MACNX			.25	0.83000		SELL ONLY
MFS	MFS Conservative Allocation Fund Class R6	552743429	MACQX				0.52000		SELL ONLY
MFS	MFS Core Bond Fund A	55279J346	MCBEX	4.25		.25	1.28000		SELL ONLY
MFS	MFS Core Bond Fund C	55279J338	MCBCX		1.00	1.00	2.03000		SELL ONLY
MFS	MFS Core Bond Fund I	55279J320	MCBFX				1.03000		SELL ONLY
MFS	MFS Core Bond Fund R1	55279J312	MCBGX			1.00	2.03000		SELL ONLY
MFS	MFS Core Bond Fund R2	55279J296	MCBHX			.50	1.53000		SELL ONLY
MFS	MFS Core Bond Fund R3	55279J288	MCBJX			.25	1.28000		SELL ONLY
MFS	MFS Core Bond Fund R6	55279J254	MCBMX				1.00000		SELL ONLY
MFS	MFS Core Equity Fund Class A	552983884	MRGAX	5.75		.25	0.90000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Core Equity Fund Class B	552983827	MRGBX		4.00	1.00	1.65000		SELL ONLY
MFS	MFS Core Equity Fund Class C	552983819	MRGCX		1.00	1.00	1.65000		SELL ONLY
MFS	MFS Core Equity Fund Class I	552983686	MRGRX				0.65000		SELL ONLY
MFS	MFS Core Equity Fund Class R1	55273H726	MRGGX			1.00	1.65000		SELL ONLY
MFS	MFS Core Equity Fund Class R2	552983165	MRERX			.50	1.15000		SELL ONLY
MFS	MFS Core Equity Fund Class R3	55273H718	MRGHX			.25	0.90000		SELL ONLY
MFS	MFS Core Equity Fund Class R6	55273H320	MRGKX				0.57000		SELL ONLY
MFS	MFS Corporate Bond Fund Class A	55272P208	MFBFX	4.25		.25	0.78000		BUY,SELL
MFS	MFS Corporate Bond Fund Class B	55272P307	MFBBX		4.00	1.00	1.53000		SELL ONLY
MFS	MFS Corporate Bond Fund Class C	55272P703	MFBCX		1.00	1.00	1.53000		SELL ONLY
MFS	MFS Corporate Bond Fund Class I	55272P877	MBDIX				0.53000		SELL ONLY
MFS	MFS Corporate Bond Fund Class R1	55272P166	MFBGX			1.00	1.53000		SELL ONLY
MFS	MFS Corporate Bond Fund Class R2	55272P356	MBRRX			.50	1.03000		SELL ONLY
MFS	MFS Corporate Bond Fund Class R3	55272P158	MFBHX			.25	0.78000		SELL ONLY
MFS	MFS Corporate Bond Fund Class R6	55274K702	MFBKX				0.43000		SELL ONLY
MFS	MFS Diversified Income Fund Class A	552982837	DIFAX	4.25		.25	0.95000		BUY,SELL
MFS	MFS Diversified Income Fund Class C	552982829	DIFCX		1.00	1.00	1.70000		SELL ONLY
MFS	MFS Diversified Income Fund Class I	552982811	DIFIX				0.70000		SELL ONLY
MFS	MFS Diversified Income Fund Class R1	552982795	DIFDX			1.00	1.70000		SELL ONLY
MFS	MFS Diversified Income Fund Class R2	552982787	DIFEX			.50	1.20000		SELL ONLY
MFS	MFS Diversified Income Fund Class R3	552982779	DIFFX			.25	0.95000		SELL ONLY
MFS	MFS Diversified Income Fund Class R6	552982738	DIFHX				0.61000		SELL ONLY
MFS	MFS Emerging Markets Debt Fund Class A	55273E673	MEDAX	4.25		.25	1.07000		BUY,SELL
MFS	MFS Emerging Markets Debt Fund Class B	55273E665	MEDBX		4.00	1.00	1.82000		SELL ONLY
MFS	MFS Emerging Markets Debt Fund Class C	55273E657	MEDCX		1.00	1.00	1.82000		SELL ONLY
MFS	MFS Emerging Markets Debt Fund Class I	55273E640	MEDIX				0.82000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Emerging Markets Debt Fund Class R1	552743403	MEDDX			1.00	1.82000		SELL ONLY
MFS	MFS Emerging Markets Debt Fund Class R2	552743502	MEDEX			.50	1.32000		SELL ONLY
MFS	MFS Emerging Markets Debt Fund Class R3	552743601	MEDFX			.25	1.07000		SELL ONLY
MFS	MFS Emerging Markets Debt Fund Class R6	552746364	MEDHX				0.71000		SELL ONLY
MFS	MFS Emerging Markets Debt Local Currency Fund Class A	552746455	EMLAX	4.25		.25	1.26000		BUY,SELL
MFS	MFS Emerging Markets Debt Local Currency Fund Class B	552746448	EMLBX		4.00	1.00	2.01000		SELL ONLY
MFS	MFS Emerging Markets Debt Local Currency Fund Class C	552746430	EMLCX		1.00	1.00	2.01000		SELL ONLY
MFS	MFS Emerging Markets Debt Local Currency Fund Class I	552746422	EMLIX				1.01000		SELL ONLY
MFS	MFS Emerging Markets Debt Local Currency Fund Class R1	552746414	EMLJX			1.00	2.01000		SELL ONLY
MFS	MFS Emerging Markets Debt Local Currency Fund Class R2	552746398	EMLKX			.50	1.51000		SELL ONLY
MFS	MFS Emerging Markets Debt Local Currency Fund Class R3	552746380	EMLLX			.25	1.26000		SELL ONLY
MFS	MFS Emerging Markets Debt Local Currency Fund Class R6	552746323	EMLNX				0.91000		SELL ONLY
MFS	MFS Emerging Markets Equity Fund Class A	55273E509	MEMAX	5.75		.25	1.36000		BUY,SELL
MFS	MFS Emerging Markets Equity Fund Class B	55273E608	MEMBX		4.00	1.00	2.11000		SELL ONLY
MFS	MFS Emerging Markets Equity Fund Class C	55273E889	MEMCX		1.00	1.00	2.11000		SELL ONLY
MFS	MFS Emerging Markets Equity Fund Class I	55273E855	MEMIX				1.11000		SELL ONLY
MFS	MFS Emerging Markets Equity Fund Class R1	552746760	MEMRX			1.00	2.11000		SELL ONLY
MFS	MFS Emerging Markets Equity Fund Class R2	552746752	MEMFX			.50	1.61000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Emerging Markets Equity Fund Class R3	552746745	MEMGX			.25	1.36000		SELL ONLY
MFS	MFS Emerging Markets Equity Fund Class R6	552746331	MEMJX				0.95000		SELL ONLY
MFS	MFS Emerging Markets Equity Research Fund A	552988537	EEMPX	5.75		.25	12.11000		SELL ONLY
MFS	MFS Emerging Markets Equity Research Fund C	552988529	EEMRX		1.00	1.00	12.86000		SELL ONLY
MFS	MFS Emerging Markets Equity Research Fund I	552988511	EEMIX				11.86000		SELL ONLY
MFS	MFS Emerging Markets Equity Research Fund R1	552988495	EEMDX			1.00	12.86000		SELL ONLY
MFS	MFS Emerging Markets Equity Research Fund R2	552988487	EEMEX			.50	12.36000		SELL ONLY
MFS	MFS Emerging Markets Equity Research Fund R3	552988479	EEMFX			.25	12.11000		SELL ONLY
MFS	MFS Emerging Markets Equity Research Fund R6	552988453	EEMHX				11.85000		SELL ONLY
MFS	MFS Equity Income Fund Class A	552988636	EQNAX	5.75		.25	0.95000		BUY,SELL
MFS	MFS Equity Income Fund Class B	552988628	EQNBX		4.00	1.00	1.70000		SELL ONLY
MFS	MFS Equity Income Fund Class C	552988610	EQNCX		1.00	1.00	1.70000		SELL ONLY
MFS	MFS Equity Income Fund Class I	552988594	EQNIX				0.70000		SELL ONLY
MFS	MFS Equity Income Fund Class R1	552988586	EQNRX			1.00	1.70000		SELL ONLY
MFS	MFS Equity Income Fund Class R2	552988578	EQNSX			.50	1.20000		SELL ONLY
MFS	MFS Equity Income Fund Class R3	552988560	EQNTX			.25	0.95000		SELL ONLY
MFS	MFS Equity Income Fund Class R6	552988545	EQNVX				0.63000		SELL ONLY
MFS	MFS Georgia Municipal Bond Fund Class B	55273N756	MBGAX		4.00	1.00	1.73000		SELL ONLY
MFS	MFS Georgia Municipal Bond Fund Class I	55273N384	MGATX				0.73000		SELL ONLY
MFS	MFS Georgia Municipal Bond Fund Class R6	55273N236	MPGOX				0.68000		SELL ONLY
MFS	MFS Global Alternative Strategy Fund Class A	55276T107	DVRAX	5.75		.25	1.57000		SELL ONLY
MFS	MFS Global Alternative Strategy Fund Class B	55276T206	DVRBX		4.00	1.00	2.32000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Global Alternative Strategy Fund Class C	55276T305	DVRCX		1.00	1.00	2.32000		SELL ONLY
MFS	MFS Global Alternative Strategy Fund Class I	55276T404	DVRIX				1.32000		SELL ONLY
MFS	MFS Global Alternative Strategy Fund Class R1	55276T701	DVRFX			1.00	2.32000		SELL ONLY
MFS	MFS Global Alternative Strategy Fund Class R2	55276T883	DVRHX			.50	1.82000		SELL ONLY
MFS	MFS Global Alternative Strategy Fund Class R3	55276T875	DVRJX			.25	1.57000		SELL ONLY
MFS	MFS Global Alternative Strategy Fund Class R6	55276T834	DVRLX				1.22000		SELL ONLY
MFS	MFS Global Equity Fund Class A	552986507	MWEF X	5.75		.25	1.18000		BUY,SELL
MFS	MFS Global Equity Fund Class B	552986606	MWEB X		4.00	1.00	1.93000		SELL ONLY
MFS	MFS Global Equity Fund Class C	552986887	MWEC X		1.00	1.00	1.93000		SELL ONLY
MFS	MFS Global Equity Fund Class I	552986861	MWEIX				0.93000		SELL ONLY
MFS	MFS Global Equity Fund Class R1	552986762	MWEG X			1.00	1.93000		SELL ONLY
MFS	MFS Global Equity Fund Class R2	552986796	MEQRX			.50	1.43000		SELL ONLY
MFS	MFS Global Equity Fund Class R3	552986754	MWEH X			.25	1.18000		SELL ONLY
MFS	MFS Global Equity Fund Class R6	552986622	MWEM X				0.84000		SELL ONLY
MFS	MFS Global Growth Fund Class A	552989303	MWOF X	5.75		.25	1.32000		BUY,SELL
MFS	MFS Global Growth Fund Class B	552989402	MWOB X		4.00	1.00	2.07000		SELL ONLY
MFS	MFS Global Growth Fund Class C	552989501	MWOC X		1.00	1.00	2.07000		SELL ONLY
MFS	MFS Global Growth Fund Class I	552989808	MWOIX				1.07000		SELL ONLY
MFS	MFS Global Growth Fund Class R1	552989832	MWOG X			1.00	2.07000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Global Growth Fund Class R2	552989840	MGWR X			.50	1.57000		SELL ONLY
MFS	MFS Global Growth Fund Class R3	552989824	MWOH X			.25	1.32000		SELL ONLY
MFS	MFS Global Growth Fund Class R6	552989782	MWOK X				0.99000		SELL ONLY
MFS	MFS Global High Yield Fund Class A	552984700	MHOAX	4.25		.25	1.26000		BUY,SELL
MFS	MFS Global High Yield Fund Class B	552984809	MHOBX		4.00	1.00	2.01000		SELL ONLY
MFS	MFS Global High Yield Fund Class C	552984882	MHOCX		1.00	1.00	2.01000		SELL ONLY
MFS	MFS Global High Yield Fund Class I	552984874	MHOIX				1.01000		SELL ONLY
MFS	MFS Global High Yield Fund Class R1	552984759	MHORX			1.00	2.01000		SELL ONLY
MFS	MFS Global High Yield Fund Class R2	552984742	MHOSX			.50	1.51000		SELL ONLY
MFS	MFS Global High Yield Fund Class R3	552984734	MHOTX			.25	1.26000		SELL ONLY
MFS	MFS Global High Yield Fund Class R6	552984676	MHOVX				0.91000		SELL ONLY
MFS	MFS Global New Discovery Fund Class A	552987646	GLNAX	5.75		.25	1.81000		BUY,SELL
MFS	MFS Global New Discovery Fund Class B	552987638	GLNBX		4.00	1.00	2.56000		SELL ONLY
MFS	MFS Global New Discovery Fund Class C	552987620	GLNCX		1.00	1.00	2.56000		SELL ONLY
MFS	MFS Global New Discovery Fund Class I	552987612	GLNIX				1.56000		SELL ONLY
MFS	MFS Global New Discovery Fund Class R1	552987596	GLNJX			1.00	2.56000		SELL ONLY
MFS	MFS Global New Discovery Fund Class R2	552987588	GLNKX			.50	2.06000		SELL ONLY
MFS	MFS Global New Discovery Fund Class R3	552987570	GLNLX			.25	1.81000		SELL ONLY
MFS	MFS Global New Discovery Fund Class R6	552987547	GLNNX				1.46000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Global Opportunistic Bond Fund Class A	552746638	MGBAX	4.25		.25	0.95000		BUY,SELL
MFS	MFS Global Opportunistic Bond Fund Class B	552746620	MGBBX		4.00	1.00	1.70000		SELL ONLY
MFS	MFS Global Opportunistic Bond Fund Class C	552746612	MGBDX		1.00	1.00	1.70000		SELL ONLY
MFS	MFS Global Opportunistic Bond Fund Class I	552746596	MGBJX				0.70000		SELL ONLY
MFS	MFS Global Opportunistic Bond Fund Class R1	552746588	MGBKX			1.00	1.70000		SELL ONLY
MFS	MFS Global Opportunistic Bond Fund Class R2	552746570	MGBLX			.50	1.20000		SELL ONLY
MFS	MFS Global Opportunistic Bond Fund Class R3	552746562	MGBMX			.25	0.95000		SELL ONLY
MFS	MFS Global Opportunistic Bond Fund Class R6	552743882	MGBOX				0.62000		SELL ONLY
MFS	MFS Global Real Estate Fund Class A	552982753	MGLAX	5.75		.25	1.20000		BUY,SELL
MFS	MFS Global Real Estate Fund Class B	552982688	MGLDX		4.00	1.00	1.95000		SELL ONLY
MFS	MFS Global Real Estate Fund Class C	552982670	MGLCX		1.00	1.00	1.95000		SELL ONLY
MFS	MFS Global Real Estate Fund Class I	552982746	MGLIX				0.95000		SELL ONLY
MFS	MFS Global Real Estate Fund Class R1	552982662	MGLJX			1.00	1.95000		SELL ONLY
MFS	MFS Global Real Estate Fund Class R2	552982654	MGLKX			.50	1.45000		SELL ONLY
MFS	MFS Global Real Estate Fund Class R3	552982647	MGLLX			.25	1.20000		SELL ONLY
MFS	MFS Global Real Estate Fund Class R6	552982720	MGLRX				0.86000		SELL ONLY
MFS	MFS Global Total Return Fund Class A	552986101	MFWTX	5.75		.25	1.19000		BUY,SELL
MFS	MFS Global Total Return Fund Class B	552986200	MFWBX		4.00	1.00	1.94000		SELL ONLY
MFS	MFS Global Total Return Fund Class C	552986804	MFWCX		1.00	1.00	1.94000		SELL ONLY
MFS	MFS Global Total Return Fund Class I	552986853	MFWIX				0.94000		SELL ONLY
MFS	MFS Global Total Return Fund Class R1	552986739	MFWGX			1.00	1.94000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Global Total Return Fund Class R2	552986788	MGBRX			.50	1.44000		SELL ONLY
MFS	MFS Global Total Return Fund Class R3	552986721	MFWHX			.25	1.19000		SELL ONLY
MFS	MFS Global Total Return Fund Class R6	552986614	MFWLX				0.85000		SELL ONLY
MFS	MFS Government Securities Fund Class A	552982100	MFGSX	4.25		.25	0.77000		BUY,SELL
MFS	MFS Government Securities Fund Class B	552982209	MFGBX		4.00	1.00	1.52000		SELL ONLY
MFS	MFS Government Securities Fund Class C	552982308	MFGDX		1.00	1.00	1.52000		SELL ONLY
MFS	MFS Government Securities Fund Class I	552982407	MGSIX				0.52000		SELL ONLY
MFS	MFS Government Securities Fund Class R1	552982878	MFGGX			1.00	1.52000		SELL ONLY
MFS	MFS Government Securities Fund Class R2	552982886	MGVSX			.50	1.02000		SELL ONLY
MFS	MFS Government Securities Fund Class R3	552982860	MFGHX			.25	0.77000		SELL ONLY
MFS	MFS Government Securities Fund Class R6	552982712	MFGKX				0.42000		SELL ONLY
MFS	MFS Growth Allocation Fund Class A	55273G736	MAGWX	5.75		.25	0.97000		BUY,SELL
MFS	MFS Growth Allocation Fund Class B	55273G728	MBGWX		4.00	1.00	1.72000		SELL ONLY
MFS	MFS Growth Allocation Fund Class C	55273G710	MCGWX		1.00	1.00	1.72000		SELL ONLY
MFS	MFS Growth Allocation Fund Class I	55273G694	MGWIX				0.72000		SELL ONLY
MFS	MFS Growth Allocation Fund Class R1	552746109	MAGMX			1.00	1.72000		SELL ONLY
MFS	MFS Growth Allocation Fund Class R2	55273G363	MGALX			.50	1.22000		SELL ONLY
MFS	MFS Growth Allocation Fund Class R3	552746208	MAGEX			.25	0.97000		SELL ONLY
MFS	MFS Growth Allocation Fund Class R6	552743411	MAGQX				0.64000		SELL ONLY
MFS	MFS Growth Fund Class A	552985103	MFEGX	5.75		.25	0.84000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Growth Fund Class B	552985202	MEGBX		4.00	1.00	1.59000		SELL ONLY
MFS	MFS Growth Fund Class C	552985889	MFECX		1.00	1.00	1.59000		SELL ONLY
MFS	MFS Growth Fund Class I	552985863	MFEIX				0.59000		SELL ONLY
MFS	MFS Growth Fund Class R1	552985731	MFELX			1.00	1.59000		SELL ONLY
MFS	MFS Growth Fund Class R2	552985749	MEGRX			.50	1.09000		SELL ONLY
MFS	MFS Growth Fund Class R3	552985723	MFEHX			.25	0.84000		SELL ONLY
MFS	MFS Growth Fund Class R6	552985673	MFEKX				0.50000		SELL ONLY
MFS	MFS High Income Fund Class A	552984106	MHITX	4.25		.25	0.88000		BUY,SELL
MFS	MFS High Income Fund Class B	552984205	MHIBX		4.00	1.00	1.63000		SELL ONLY
MFS	MFS High Income Fund Class C	552984502	MHICX		1.00	1.00	1.63000		SELL ONLY
MFS	MFS High Income Fund Class I	552984601	MHIIX				0.63000		SELL ONLY
MFS	MFS High Income Fund Class R1	552984791	MHIGX			1.00	1.63000		SELL ONLY
MFS	MFS High Income Fund Class R2	552984817	MIHRX			.50	1.13000		SELL ONLY
MFS	MFS High Income Fund Class R3	552984783	MHIHX			.25	0.88000		SELL ONLY
MFS	MFS High Income Fund Class R6	552984684	MHIKX				0.52000		SELL ONLY
MFS	MFS Income Fund Class A	552989105	MFIOX	4.25		.25	0.84000		BUY,SELL
MFS	MFS Income Fund Class B	552989204	MIOBX		4.00	1.00	1.59000		SELL ONLY
MFS	MFS Income Fund Class C	552989600	MIOCX		1.00	1.00	1.59000		SELL ONLY
MFS	MFS Income Fund Class I	552989709	MFIIX				0.59000		SELL ONLY
MFS	MFS Income Fund Class R6	552989774	MFIWX				0.50000		SELL ONLY
MFS	MFS Inflation-Adjusted Bond Fund Class A	55272P414	MIAAX	4.25		.25	0.82000		BUY,SELL
MFS	MFS Inflation-Adjusted Bond Fund Class B	55272P349	MIABX		4.00	1.00	1.57000		SELL ONLY
MFS	MFS Inflation-Adjusted Bond Fund Class C	55272P331	MIACX		1.00	1.00	1.57000		SELL ONLY
MFS	MFS Inflation-Adjusted Bond Fund Class I	55272P398	MIAIX				0.57000		SELL ONLY
MFS	MFS Inflation-Adjusted Bond Fund Class R1	55272P190	MIALX			1.00	1.57000		SELL ONLY
MFS	MFS Inflation-Adjusted Bond Fund Class R2	55272P315	MIATX			.50	1.07000		SELL ONLY
MFS	MFS Inflation-Adjusted Bond Fund Class R3	55272P182	MIAHX			.25	0.82000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Inflation-Adjusted Bond Fund Class R6	55274K884	MIAXX				0.49000		SELL ONLY
MFS	MFS International Diversification Fund Class A	55273G330	MDIDX	5.75		.25	1.09000		BUY,SELL
MFS	MFS International Diversification Fund Class B	55273G322	MDIFX		4.00	1.00	1.84000		SELL ONLY
MFS	MFS International Diversification Fund Class C	55273G314	MDIGX		1.00	1.00	1.84000		SELL ONLY
MFS	MFS International Diversification Fund Class I	55273G298	MDIJX				0.84000		SELL ONLY
MFS	MFS International Diversification Fund Class R1	55273G165	MDIOX			1.00	1.84000		SELL ONLY
MFS	MFS International Diversification Fund Class R2	55273G272	MDIKX			.50	1.34000		SELL ONLY
MFS	MFS International Diversification Fund Class R3	55273G157	MDIHX			.25	1.09000		SELL ONLY
MFS	MFS International Diversification Fund Class R6	552743544	MDIZX				0.74000		SELL ONLY
MFS	MFS International Equity Fund Class A	55293K105	MIEJX	5.75		.25	1.04000		BUY,SELL
MFS	MFS International Equity Fund Class I	55293K204	MIEKX				0.79000		SELL ONLY
MFS	MFS International Equity Fund Class R6	552966806	MIEIX				0.70000		SELL ONLY
MFS	MFS International Growth Fund Class A	55273E103	MGRAX	5.75		.25	1.10000		BUY,SELL
MFS	MFS International Growth Fund Class B	55273E202	MGRBX		4.00	1.00	1.85000		SELL ONLY
MFS	MFS International Growth Fund Class C	55273E863	MGRCX		1.00	1.00	1.85000		SELL ONLY
MFS	MFS International Growth Fund Class I	55273E848	MQGIX				0.85000		SELL ONLY
MFS	MFS International Growth Fund Class R1	552746729	MGRRX			1.00	1.85000		SELL ONLY
MFS	MFS International Growth Fund Class R2	552746711	MGRQX			.50	1.35000		SELL ONLY
MFS	MFS International Growth Fund Class R3	552746695	MGRTX			.25	1.10000		SELL ONLY
MFS	MFS International Growth Fund Class R6	552746356	MGRDX				0.73000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS International Intrinsic Value Fund Class A	55273E301	MGIAX	5.75		.25	1.06000		BUY,SELL
MFS	MFS International Intrinsic Value Fund Class B	55273E400	MGIBX		4.00	1.00	1.81000		SELL ONLY
MFS	MFS International Intrinsic Value Fund Class C	55273E871	MGICX		1.00	1.00	1.81000		SELL ONLY
MFS	MFS International Intrinsic Value Fund Class I	55273E822	MINIX				0.81000		SELL ONLY
MFS	MFS International Intrinsic Value Fund Class R1	552746679	MINRX			1.00	1.81000		SELL ONLY
MFS	MFS International Intrinsic Value Fund Class R2	552746661	MINFX			.50	1.31000		SELL ONLY
MFS	MFS International Intrinsic Value Fund Class R3	552746653	MINGX			.25	1.06000		SELL ONLY
MFS	MFS International Intrinsic Value Fund Class R6	552746349	MINJX				0.70000		SELL ONLY
MFS	MFS International Large Cap Value Fund Class A	552743528	MKVBX	5.75	1.00	.25	1.05000		BUY,SELL
MFS	MFS International Large Cap Value Fund Class C	552743510	MKVCX		1.00	1.00	1.80000		SELL ONLY
MFS	MFS International Large Cap Value Fund Class I	552743494	MKVIX				0.80000		SELL ONLY
MFS	MFS International Large Cap Value Fund Class R1	552743486	MKVDX			1.00	1.80000		SELL ONLY
MFS	MFS International Large Cap Value Fund Class R2	552743478	MKVEX			.50	1.30000		SELL ONLY
MFS	MFS International Large Cap Value Fund Class R3	552743460	MKVFX			.25	1.05000		SELL ONLY
MFS	MFS International Large Cap Value Fund Class R6	552743445	MKVHX				0.75000		SELL ONLY
MFS	MFS International New Discovery Fund Class A	552981888	MIDAX	5.75		.25	1.31000		BUY,SELL
MFS	MFS International New Discovery Fund Class B	552981870	MIDBX		4.00	1.00	2.06000		SELL ONLY
MFS	MFS International New Discovery Fund Class C	552981862	MIDCX		1.00	1.00	2.06000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS International New Discovery Fund Class I	552981854	MWNIX				1.06000		SELL ONLY
MFS	MFS International New Discovery Fund Class R1	552981516	MIDGX			1.00	2.06000		SELL ONLY
MFS	MFS International New Discovery Fund Class R2	552981565	MIDRX			.50	1.56000		SELL ONLY
MFS	MFS International New Discovery Fund Class R3	552981490	MIDHX			.25	1.31000		SELL ONLY
MFS	MFS International New Discovery Fund Class R6	552981383	MIDLX				0.92000		SELL ONLY
MFS	MFS Intrinsic Value Fund A	552988446	UIVVX	5.75		.25	6.46000		SELL ONLY
MFS	MFS Intrinsic Value Fund C	552988438	UIVCX		1.00	1.00	7.21000		SELL ONLY
MFS	MFS Intrinsic Value Fund I	552988420	UIVIX				6.21000		SELL ONLY
MFS	MFS Intrinsic Value Fund R1	552988412	UIVMX			1.00	7.21000		SELL ONLY
MFS	MFS Intrinsic Value Fund R2	552988396	UIVNX			.50	6.71000		SELL ONLY
MFS	MFS Intrinsic Value Fund R3	552988388	UIVPX			.25	6.46000		SELL ONLY
MFS	MFS Intrinsic Value Fund R6	552988362	UIVRX				6.19000		SELL ONLY
MFS	MFS Lifetime 2025 Fund Class A	55279J882	LTTAX	5.75		.25	0.94000		BUY,SELL
MFS	MFS Lifetime 2025 Fund Class B	55279J874	LTTBX		4.00	1.00	1.69000		SELL ONLY
MFS	MFS Lifetime 2025 Fund Class C	55279J866	LTTCX		1.00	1.00	1.69000		SELL ONLY
MFS	MFS Lifetime 2025 Fund Class I	55279J858	LTTIX				0.69000		SELL ONLY
MFS	MFS Lifetime 2025 Fund Class R1	55279J841	LTTRX			1.00	1.69000		SELL ONLY
MFS	MFS Lifetime 2025 Fund Class R2	55279J833	LTTSX			.50	1.19000		SELL ONLY
MFS	MFS Lifetime 2025 Fund Class R3	55279J825	LTTTX			.25	0.94000		SELL ONLY
MFS	MFS Lifetime 2025 Fund Class R6	55275E259	LTTKX				0.55000		SELL ONLY
MFS	MFS Lifetime 2030 Fund Class A	55275E671	MLTAX	5.75		.25	0.95000		BUY,SELL
MFS	MFS Lifetime 2030 Fund Class B	55275E663	MLTBX		4.00	1.00	1.70000		SELL ONLY
MFS	MFS Lifetime 2030 Fund Class C	55275E655	MLTCX		1.00	1.00	1.70000		SELL ONLY
MFS	MFS Lifetime 2030 Fund Class I	55275E648	MLTIX				0.70000		SELL ONLY
MFS	MFS Lifetime 2030 Fund Class R1	55275E630	MLTEX			1.00	1.70000		SELL ONLY
MFS	MFS Lifetime 2030 Fund Class R2	55275E614	MLTGX			.50	1.20000		SELL ONLY
MFS	MFS Lifetime 2030 Fund Class R3	55275E598	MLTHX			.25	0.95000		SELL ONLY
MFS	MFS Lifetime 2030 Fund Class R6	55275E242	MLTKX				0.56000		SELL ONLY
MFS	MFS Lifetime 2035 Fund Class A	55279J791	LFEAX	5.75		.25	1.00000		BUY,SELL
MFS	MFS Lifetime 2035 Fund Class B	55279J783	LFEBX		4.00	1.00	1.75000		SELL ONLY
MFS	MFS Lifetime 2035 Fund Class C	55279J775	LFECX		1.00	1.00	1.75000		SELL ONLY
MFS	MFS Lifetime 2035 Fund Class I	55279J767	LFEDX				0.75000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Lifetime 2035 Fund Class R1	55279J759	LFERX			1.00	1.75000		SELL ONLY
MFS	MFS Lifetime 2035 Fund Class R2	55279J742	LFESX			.50	1.25000		SELL ONLY
MFS	MFS Lifetime 2035 Fund Class R3	55279J734	LFETX			.25	1.00000		SELL ONLY
MFS	MFS Lifetime 2035 Fund Class R6	55275E234	LFEKX				0.61000		SELL ONLY
MFS	MFS Lifetime 2040 Fund Class A	55275E572	MLFAX	5.75		.25	1.01000		BUY,SELL
MFS	MFS Lifetime 2040 Fund Class B	55275E564	MLFBX		4.00	1.00	1.76000		SELL ONLY
MFS	MFS Lifetime 2040 Fund Class C	55275E556	MLFCX		1.00	1.00	1.76000		SELL ONLY
MFS	MFS Lifetime 2040 Fund Class I	55275E549	MLFIX				0.76000		SELL ONLY
MFS	MFS Lifetime 2040 Fund Class R1	55275E531	MLFEX			1.00	1.76000		SELL ONLY
MFS	MFS Lifetime 2040 Fund Class R2	55275E515	MLFGX			.50	1.26000		SELL ONLY
MFS	MFS Lifetime 2040 Fund Class R3	55275E499	MLFHX			.25	1.01000		SELL ONLY
MFS	MFS Lifetime 2040 Fund Class R6	55275E226	MLFKX				0.62000		SELL ONLY
MFS	MFS Lifetime 2045 Fund Class A	55279J718	LTMAX	5.75		.25	1.05000		BUY,SELL
MFS	MFS Lifetime 2045 Fund Class B	55279J692	LTMBX		4.00	1.00	1.80000		SELL ONLY
MFS	MFS Lifetime 2045 Fund Class C	55279J684	LTMDX		1.00	1.00	1.80000		SELL ONLY
MFS	MFS Lifetime 2045 Fund Class I	55279J676	LTMKX				0.80000		SELL ONLY
MFS	MFS Lifetime 2045 Fund Class R1	55279J668	LTMRX			1.00	1.80000		SELL ONLY
MFS	MFS Lifetime 2045 Fund Class R2	55279J650	LTMSX			.50	1.30000		SELL ONLY
MFS	MFS Lifetime 2045 Fund Class R3	55279J643	LTMTX			.25	1.05000		SELL ONLY
MFS	MFS Lifetime 2045 Fund Class R6	55275E218	LTMLX				0.65000		SELL ONLY
MFS	MFS Lifetime 2050 Fund Class A	55275E382	MFFSX	5.75		.25	1.06000		BUY,SELL
MFS	MFS Lifetime 2050 Fund Class B	55275E374	MFFRX		4.00	1.00	1.81000		SELL ONLY
MFS	MFS Lifetime 2050 Fund Class C	55275E366	MFFDX		1.00	1.00	1.81000		SELL ONLY
MFS	MFS Lifetime 2050 Fund Class I	55275E358	MFFIX				0.81000		SELL ONLY
MFS	MFS Lifetime 2050 Fund Class R1	55275E341	MFFMX			1.00	1.81000		SELL ONLY
MFS	MFS Lifetime 2050 Fund Class R2	55275E333	MFFNX			.50	1.31000		SELL ONLY
MFS	MFS Lifetime 2050 Fund Class R3	55275E325	MFFOX			.25	1.06000		SELL ONLY
MFS	MFS Lifetime 2050 Fund Class R6	55275E184	MFFKX				0.66000		SELL ONLY
MFS	MFS Lifetime 2055 Fund Class A	55279J627	LFIAX	5.75		.25	1.10000		BUY,SELL
MFS	MFS Lifetime 2055 Fund Class B	55279J619	LFIBX		4.00	1.00	1.85000		SELL ONLY
MFS	MFS Lifetime 2055 Fund Class C	55279J593	LFICX		1.00	1.00	1.85000		SELL ONLY
MFS	MFS Lifetime 2055 Fund Class I	55279J585	LFIIX				0.85000		SELL ONLY
MFS	MFS Lifetime 2055 Fund Class R1	55279J577	LFIRX			1.00	1.85000		SELL ONLY
MFS	MFS Lifetime 2055 Fund Class R2	55279J569	LFISX			.50	1.35000		SELL ONLY
MFS	MFS Lifetime 2055 Fund Class R3	55279J551	LFITX			.25	1.10000		SELL ONLY
MFS	MFS Lifetime 2055 Fund Class R6	55275E176	LFIKX				0.70000		SELL ONLY
MFS	MFS Lifetime 2060 Fund Class A	55279J536	MFJAX	5.75		.25	1.18000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Lifetime 2060 Fund Class B	55279J528	MFJBX		4.00	1.00	1.93000		SELL ONLY
MFS	MFS Lifetime 2060 Fund Class C	55279J510	MFJCX			1.00	1.93000		SELL ONLY
MFS	MFS Lifetime 2060 Fund Class I	55279J494	MFJIX				0.93000		SELL ONLY
MFS	MFS Lifetime 2060 Fund Class R1	55279J486	MFJEX			1.00	1.93000		SELL ONLY
MFS	MFS Lifetime 2060 Fund Class R2	55279J478	MFJGX			.50	1.43000		SELL ONLY
MFS	MFS Lifetime 2060 Fund Class R3	55279J460	MFJTX			.25	1.18000		SELL ONLY
MFS	MFS Lifetime 2060 Fund Class R6	55279J445	MFJKX				0.79000		SELL ONLY
MFS	MFS Lifetime 2065 Class A	55279J437	LFTFX	5.75		.25	2.84000		BUY,SELL
MFS	MFS Lifetime 2065 Class C	55279J429	LFTGX		1.00	1.00	3.59000		SELL ONLY
MFS	MFS Lifetime 2065 Class I	55279J411	LFTHX				2.59000		SELL ONLY
MFS	MFS Lifetime 2065 Class R1	55279J395	LFTJX			1.00	3.59000		SELL ONLY
MFS	MFS Lifetime 2065 Class R2	55279J387	LFTKX			.50	3.09000		SELL ONLY
MFS	MFS Lifetime 2065 Class R3	55279J379	LFTLX			.25	2.84000		SELL ONLY
MFS	MFS Lifetime 2065 Class R6	55279J353	LFTNX				2.49000		SELL ONLY
MFS	MFS Lifetime Income Fund Class A	55275E101	MLLAX	4.25		.25	0.88000		BUY,SELL
MFS	MFS Lifetime Income Fund Class B	55275E200	MLLBX		4.00	1.00	1.63000		SELL ONLY
MFS	MFS Lifetime Income Fund Class C	55275E309	MLLCX		1.00	1.00	1.63000		SELL ONLY
MFS	MFS Lifetime Income Fund Class I	55275E408	MLLIX				0.63000		SELL ONLY
MFS	MFS Lifetime Income Fund Class R1	55275E507	MLLEX			1.00	1.63000		SELL ONLY
MFS	MFS Lifetime Income Fund Class R2	55275E705	MLLGX			.50	1.13000		SELL ONLY
MFS	MFS Lifetime Income Fund Class R3	55275E804	MLLHX			.25	0.88000		SELL ONLY
MFS	MFS Lifetime Income Fund Class R6	55275E275	MLLKX				0.52000		SELL ONLY
MFS	MFS Limited Maturity Fund Class A	55272P109	MQLFX	2.50		.25	0.74000		BUY,SELL
MFS	MFS Limited Maturity Fund Class B	55272P406	MQLBX		4.00	1.00	1.49000		SELL ONLY
MFS	MFS Limited Maturity Fund Class C	55272P802	MQLCX		1.00	1.00	1.49000		SELL ONLY
MFS	MFS Limited Maturity Fund Class I	55272P869	MQLIX				0.49000		SELL ONLY
MFS	MFS Limited Maturity Fund Class R1	55272P299	MQLGX			1.00	1.49000		SELL ONLY
MFS	MFS Limited Maturity Fund Class R2	55272P380	MLMRX			.50	0.99000		SELL ONLY
MFS	MFS Limited Maturity Fund Class R3	55272P281	MQLHX			.25	0.74000		SELL ONLY
MFS	MFS Limited Maturity Fund Class R6	55274K801	MQLKX				0.41000		SELL ONLY
MFS	MFS Low Volatility Equity Fund Class A	55273H312	MLVAX	5.75		.25	0.94000		BUY,SELL
MFS	MFS Low Volatility Equity Fund Class B	55273H296	MLVBX		4.00	1.00	1.69000		SELL ONLY
MFS	MFS Low Volatility Equity Fund Class C	55273H288	MLVGX		1.00	1.00	1.69000		SELL ONLY
MFS	MFS Low Volatility Equity Fund Class I	55273H270	MLVHX				0.69000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Low Volatility Equity Fund Class R1	55273H262	MLVMX			1.00	1.69000		SELL ONLY
MFS	MFS Low Volatility Equity Fund Class R2	55273H254	MLVOX			.50	1.19000		SELL ONLY
MFS	MFS Low Volatility Equity Fund Class R3	55273H247	MLVPX			.25	0.94000		SELL ONLY
MFS	MFS Low Volatility Equity Fund Class R6	55273H221	MLVTX				0.61000		SELL ONLY
MFS	MFS Low Volatility Global Equity Fund Class A	55273H213	MVGAX	5.75		.25	1.07000		BUY,SELL
MFS	MFS Low Volatility Global Equity Fund Class B	55273H197	MVGBX		4.00	1.00	1.82000		SELL ONLY
MFS	MFS Low Volatility Global Equity Fund Class C	55273H189	MVG CX		1.00	1.00	1.82000		SELL ONLY
MFS	MFS Low Volatility Global Equity Fund Class I	55273H171	MVGIX				0.82000		SELL ONLY
MFS	MFS Low Volatility Global Equity Fund Class R1	55273H163	MVGJX			1.00	1.82000		SELL ONLY
MFS	MFS Low Volatility Global Equity Fund Class R2	55273H155	MVGKX			.50	1.32000		SELL ONLY
MFS	MFS Low Volatility Global Equity Fund Class R3	55273H148	MVGLX			.25	1.07000		SELL ONLY
MFS	MFS Low Volatility Global Equity Fund Class R6	55273H122	MVGNX				0.73000		SELL ONLY
MFS	MFS Managed Wealth Fund Class A	552746315	MNWA X	5.75		.25	1.79000		SELL ONLY
MFS	MFS Managed Wealth Fund Class B	552746299	MNWB X		4.00	1.00	2.54000		SELL ONLY
MFS	MFS Managed Wealth Fund Class C	552746281	MNWC X		1.00	1.00	2.54000		SELL ONLY
MFS	MFS Managed Wealth Fund Class I	552746273	MNWX				1.54000		SELL ONLY
MFS	MFS Managed Wealth Fund Class R1	552746265	MNWR X			1.00	2.54000		SELL ONLY
MFS	MFS Managed Wealth Fund Class R2	552746257	MNWS X			.50	2.04000		SELL ONLY
MFS	MFS Managed Wealth Fund Class R3	552746240	MNWT X			.25	1.79000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Managed Wealth Fund Class R6	552743536	MNWZ X				1.47000		SELL ONLY
MFS	MFS Maryland Municipal Bond Fund Class B	55273N723	MBMDX		4.00	1.00	1.74000		SELL ONLY
MFS	MFS Maryland Municipal Bond Fund Class I	55273N376	MMDIX				0.74000		SELL ONLY
MFS	MFS Maryland Municipal Bond Fund Class R6	55273N228	MPMDX				0.67000		SELL ONLY
MFS	MFS Massachusetts Investors Growth Stock Fund Class A	575719109	MIGFX	5.75		.25	0.71000		BUY,SELL
MFS	MFS Massachusetts Investors Growth Stock Fund Class B	575719208	MIGBX		4.00	1.00	1.46000		SELL ONLY
MFS	MFS Massachusetts Investors Growth Stock Fund Class C	575719307	MIGDX		1.00	1.00	1.46000		SELL ONLY
MFS	MFS Massachusetts Investors Growth Stock Fund Class I	575719406	MGTIX				0.46000		SELL ONLY
MFS	MFS Massachusetts Investors Growth Stock Fund Class R1	575719869	MIGMX			1.00	1.46000		SELL ONLY
MFS	MFS Massachusetts Investors Growth Stock Fund Class R2	575719877	MIRGX			.50	0.96000		SELL ONLY
MFS	MFS Massachusetts Investors Growth Stock Fund Class R3	575719851	MIGHX			.25	0.71000		SELL ONLY
MFS	MFS Massachusetts Investors Growth Stock Fund Class R6	575719828	MIGNX				0.37000		SELL ONLY
MFS	MFS Massachusetts Investors Trust Class A	575736103	MITTX	5.75		.25	0.71000		BUY,SELL
MFS	MFS Massachusetts Investors Trust Class B	575736202	MITBX		4.00	1.00	1.46000		SELL ONLY
MFS	MFS Massachusetts Investors Trust Class C	575736301	MITCX		1.00	1.00	1.46000		SELL ONLY
MFS	MFS Massachusetts Investors Trust Class I	575736400	MITIX				0.46000		SELL ONLY
MFS	MFS Massachusetts Investors Trust Class R1	575736855	MITGX			1.00	1.46000		SELL ONLY
MFS	MFS Massachusetts Investors Trust Class R2	575736863	MIRTX			.50	0.96000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Massachusetts Investors Trust Class R3	575736848	MITHX			.25	0.71000		SELL ONLY
MFS	MFS Massachusetts Investors Trust Class R6	575736814	MITJX				0.38000		SELL ONLY
MFS	MFS Massachusetts Municipal Bond Fund Class B	55273N731	MBMAX		4.00	1.00	1.62000		SELL ONLY
MFS	MFS Massachusetts Municipal Bond Fund Class I	55273N368	MTALX				0.62000		SELL ONLY
MFS	MFS Massachusetts Municipal Bond Fund Class R6	55273N210	MPMAX				0.55000		SELL ONLY
MFS	MFS Mid Cap Growth Fund Class A	552987703	OTCAX	5.75		.25	1.04000		BUY,SELL
MFS	MFS Mid Cap Growth Fund Class B	552987802	OTCBX		4.00	1.00	1.79000		SELL ONLY
MFS	MFS Mid Cap Growth Fund Class C	552987885	OTCCX		1.00	1.00	1.79000		SELL ONLY
MFS	MFS Mid Cap Growth Fund Class I	552987877	OTCIX				0.79000		SELL ONLY
MFS	MFS Mid Cap Growth Fund Class R1	552987810	OTCGX			1.00	1.79000		SELL ONLY
MFS	MFS Mid Cap Growth Fund Class R2	552987828	MCPRX			.50	1.29000		SELL ONLY
MFS	MFS Mid Cap Growth Fund Class R3	552987794	OTCHX			.25	1.04000		SELL ONLY
MFS	MFS Mid Cap Growth Fund Class R6	552987554	OTCKX				0.67000		SELL ONLY
MFS	MFS Mid Cap Value Fund Class A	55272P638	MVCAX	5.75		.25	1.00000		BUY,SELL
MFS	MFS Mid Cap Value Fund Class B	55272P620	MCBVX		4.00	1.00	1.75000		SELL ONLY
MFS	MFS Mid Cap Value Fund Class C	55272P612	MVCCX		1.00	1.00	1.75000		SELL ONLY
MFS	MFS Mid Cap Value Fund Class I	55272P596	MCVIX				0.75000		SELL ONLY
MFS	MFS Mid Cap Value Fund Class R1	55273W582	MVCGX			1.00	1.75000		SELL ONLY
MFS	MFS Mid Cap Value Fund Class R2	55273W590	MCVRX			.50	1.25000		SELL ONLY
MFS	MFS Mid Cap Value Fund Class R3	55273W574	MVCHX			.25	1.00000		SELL ONLY
MFS	MFS Mid Cap Value Fund Class R6	55273W475	MVCKX				0.63000		SELL ONLY
MFS	MFS Mississippi Municipal Bond Fund Class B	55273N715	MBMSX		4.00	1.00	1.78000		SELL ONLY
MFS	MFS Mississippi Municipal Bond Fund Class I	55273N350	MMSTX				0.78000		SELL ONLY
MFS	MFS Mississippi Municipal Bond Fund Class R6	55273N194	MPMSX				0.72000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Moderate Allocation Fund Class A	55273G777	MAMAX	5.75		.25	0.90000		BUY,SELL
MFS	MFS Moderate Allocation Fund Class B	55273G769	MMABX		4.00	1.00	1.65000		SELL ONLY
MFS	MFS Moderate Allocation Fund Class C	55273G751	MMACX		1.00	1.00	1.65000		SELL ONLY
MFS	MFS Moderate Allocation Fund Class I	55273G744	MMAIX				0.65000		SELL ONLY
MFS	MFS Moderate Allocation Fund Class R1	552746703	MAMFX			1.00	1.65000		SELL ONLY
MFS	MFS Moderate Allocation Fund Class R2	55273G348	MARRX			.50	1.15000		SELL ONLY
MFS	MFS Moderate Allocation Fund Class R3	552746802	MAMHX			.25	0.90000		SELL ONLY
MFS	MFS Moderate Allocation Fund Class R6	552743395	MAMPX				0.58000		SELL ONLY
MFS	MFS Municipal High Income Fund Class B	552984403	MMHBX		4.00	1.00	1.67000		SELL ONLY
MFS	MFS Municipal High Income Fund Class C	552984866	MMHCX		1.00	1.00	1.67000		SELL ONLY
MFS	MFS Municipal High Income Fund Class I	552984692	MMIIX				0.67000		SELL ONLY
MFS	MFS Municipal High Income Fund Class R6	552984650	MMHKX				0.59000		SELL ONLY
MFS	MFS Municipal Income Fund Class A1	55273N459	MMIDX	4.25			0.52000		SELL ONLY
MFS	MFS Municipal Income Fund Class B	55273N566	MMIBX		4.00	1.00	1.52000		SELL ONLY
MFS	MFS Municipal Income Fund Class B1	55273N442	MMIGX		4.00	1.00	1.52000		SELL ONLY
MFS	MFS Municipal Income Fund Class C	55273N525	MMICX		1.00	1.00	1.52000		SELL ONLY
MFS	MFS Municipal Income Fund Class I	55273N434	MIMIX				0.52000		SELL ONLY
MFS	MFS Municipal Income Fund Class R6	55273N186	MPMNX				0.45000		SELL ONLY
MFS	MFS Municipal Intermediate Fund Class C	55283C204	MIUCX		1.00	1.00	1.60000		SELL ONLY
MFS	MFS Municipal Intermediate Fund Class I	55283C303	MIUIX				0.60000		SELL ONLY
MFS	MFS Municipal Intermediate Fund Class R6	55283C402	MIURX				0.53000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Municipal Limited Maturity Fund Class B	55272P604	MTLBX		4.00	1.00	1.47000		SELL ONLY
MFS	MFS Municipal Limited Maturity Fund Class C	55272P885	MTLCX		1.00	1.00	1.47000		SELL ONLY
MFS	MFS Municipal Limited Maturity Fund Class I	55274K504	MTLIX				0.47000		SELL ONLY
MFS	MFS Municipal Limited Maturity Fund Class R6	55274K744	MTLRX				0.40000		SELL ONLY
MFS	MFS New Discovery Fund Class A	552983553	MNDAX	5.75		.25	1.29000		BUY,SELL
MFS	MFS New Discovery Fund Class B	552983546	MNDBX		4.00	1.00	2.04000		SELL ONLY
MFS	MFS New Discovery Fund Class C	552983538	MNDCX		1.00	1.00	2.04000		SELL ONLY
MFS	MFS New Discovery Fund Class I	552983520	MNDIX				1.04000		SELL ONLY
MFS	MFS New Discovery Fund Class R1	55273H759	MNDGX			1.00	2.04000		SELL ONLY
MFS	MFS New Discovery Fund Class R2	552983173	MNDRX			.50	1.54000		SELL ONLY
MFS	MFS New Discovery Fund Class R3	55273H742	MNDHX			.25	1.29000		SELL ONLY
MFS	MFS New Discovery Fund Class R6	55273H346	MNDKX				0.91000		SELL ONLY
MFS	MFS New Discovery Value Fund Class A	55278M100	NDVAX	5.75		.25	1.20000		BUY,SELL
MFS	MFS New Discovery Value Fund Class B	55278M209	NDVBX		4.00	1.00	1.95000		SELL ONLY
MFS	MFS New Discovery Value Fund Class C	55278M308	NDVCX		1.00	1.00	1.95000		SELL ONLY
MFS	MFS New Discovery Value Fund Class I	55278M407	NDVIX				0.95000		SELL ONLY
MFS	MFS New Discovery Value Fund Class R1	55278M506	NDVRX			1.00	1.95000		SELL ONLY
MFS	MFS New Discovery Value Fund Class R2	55278M605	NDVSX			.50	1.45000		SELL ONLY
MFS	MFS New Discovery Value Fund Class R3	55278M704	NDVTX			.25	1.20000		SELL ONLY
MFS	MFS New Discovery Value Fund Class R6	552982696	NDVVX				0.85000		SELL ONLY
MFS	MFS New York Municipal Bond Fund Class B	55273N681	MBNYX		4.00	1.00	1.70000		SELL ONLY
MFS	MFS New York Municipal Bond Fund Class C	55273N467	MCNYX		1.00	1.00	1.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS New York Municipal Bond Fund Class I	55273N343	MNYLX				0.70000		SELL ONLY
MFS	MFS New York Municipal Bond Fund Class R6	55273N178	MPNYX				0.62000		SELL ONLY
MFS	MFS North Carolina Municipal Bond Fund Class B	55273N699	MBNCX		4.00	1.00	1.61000		SELL ONLY
MFS	MFS North Carolina Municipal Bond Fund Class C	55273N541	MCNCX		1.00	1.00	1.61000		SELL ONLY
MFS	MFS North Carolina Municipal Bond Fund Class I	55273N335	MNCLX				0.61000		SELL ONLY
MFS	MFS North Carolina Municipal Bond Fund Class R6	55273N160	MPNCX				0.54000		SELL ONLY
MFS	MFS Pennsylvania Municipal Bond Fund Class B	55273N673	MBPAX		4.00	1.00	1.70000		SELL ONLY
MFS	MFS Pennsylvania Municipal Bond Fund Class I	55273N327	MPALX				0.70000		SELL ONLY
MFS	MFS Pennsylvania Municipal Bond Fund Class R6	55273N152	MPPAX				0.63000		SELL ONLY
MFS	MFS Research Fund Class A	552981102	MFRFX	5.75		.25	0.77000		BUY,SELL
MFS	MFS Research Fund Class B	552981201	MFRBX		4.00	1.00	1.52000		SELL ONLY
MFS	MFS Research Fund Class C	552981508	MFRCX		1.00	1.00	1.52000		SELL ONLY
MFS	MFS Research Fund Class I	552981706	MRFIX				0.52000		SELL ONLY
MFS	MFS Research Fund Class R1	552981540	MFRLX			1.00	1.52000		SELL ONLY
MFS	MFS Research Fund Class R2	552981573	MSRRX			.50	1.02000		SELL ONLY
MFS	MFS Research Fund Class R3	552981532	MFRHX			.25	0.77000		SELL ONLY
MFS	MFS Research Fund Class R6	552981391	MFRKX				0.46000		SELL ONLY
MFS	MFS Research International Fund Class A	552983512	MRSAX	5.75		.25	0.99000		BUY,SELL
MFS	MFS Research International Fund Class B	552983496	MRIBX		4.00	1.00	1.74000		SELL ONLY
MFS	MFS Research International Fund Class C	552983488	MRICX		1.00	1.00	1.74000		SELL ONLY
MFS	MFS Research International Fund Class I	552983470	MRSIX				0.74000		SELL ONLY
MFS	MFS Research International Fund Class R1	55273H551	MRSGX			1.00	1.74000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Research International Fund Class R2	552983124	MRSRX			.50	1.24000		SELL ONLY
MFS	MFS Research International Fund Class R3	55273H544	MRSRX			.25	0.99000		SELL ONLY
MFS	MFS Research International Fund Class R6	55273H361	MRSKX				0.64000		SELL ONLY
MFS	MFS South Carolina Municipal Bond Fund Class B	55273N665	MBSCX		4.00	1.00	1.64000		SELL ONLY
MFS	MFS South Carolina Municipal Bond Fund Class I	55273N319	MTSCX				0.64000		SELL ONLY
MFS	MFS South Carolina Municipal Bond Fund Class R6	55273N145	MPOCX				0.58000		SELL ONLY
MFS	MFS Technology Fund Class A	552983462	MTCAX	5.75		.25	1.14000		BUY,SELL
MFS	MFS Technology Fund Class B	552983454	MTCBX		4.00	1.00	1.89000		SELL ONLY
MFS	MFS Technology Fund Class C	552983447	MTCCX		1.00	1.00	1.89000		SELL ONLY
MFS	MFS Technology Fund Class I	552983439	MTCIX				0.89000		SELL ONLY
MFS	MFS Technology Fund Class R1	55273H585	MTCKX			1.00	1.89000		SELL ONLY
MFS	MFS Technology Fund Class R2	552983132	MTERX			.50	1.39000		SELL ONLY
MFS	MFS Technology Fund Class R3	55273H577	MTCHX			.25	1.14000		SELL ONLY
MFS	MFS Technology Fund Class R6	55273H338	MTCLX				0.80000		SELL ONLY
MFS	MFS Total Return Bond Fund Class A	55272P810	MRBFX	4.25		.25	0.78000		BUY,SELL
MFS	MFS Total Return Bond Fund Class B	55272P794	MRBBX		4.00	1.00	1.53000		SELL ONLY
MFS	MFS Total Return Bond Fund Class C	55272P786	MRBCX		1.00	1.00	1.53000		SELL ONLY
MFS	MFS Total Return Bond Fund Class I	55272P778	MRBIX				0.53000		SELL ONLY
MFS	MFS Total Return Bond Fund Class R1	55272P265	MRBGX			1.00	1.53000		SELL ONLY
MFS	MFS Total Return Bond Fund Class R2	55272P372	MRRRX			.50	1.03000		SELL ONLY
MFS	MFS Total Return Bond Fund Class R3	55272P257	MRBHX			.25	0.78000		SELL ONLY
MFS	MFS Total Return Bond Fund Class R6	55274K603	MRBKX				0.43000		SELL ONLY
MFS	MFS Total Return Fund Class A	552981300	MSFRX	5.75		.25	0.72000		BUY,SELL
MFS	MFS Total Return Fund Class B	552981409	MTRBX		4.00	1.00	1.47000		SELL ONLY
MFS	MFS Total Return Fund Class C	552981607	MTRCX		1.00	1.00	1.47000		SELL ONLY
MFS	MFS Total Return Fund Class I	552981805	MTRIX				0.47000		SELL ONLY
MFS	MFS Total Return Fund Class R1	552981474	MSFFX			1.00	1.47000		SELL ONLY
MFS	MFS Total Return Fund Class R2	552981557	MTRRX			.50	0.97000		SELL ONLY
MFS	MFS Total Return Fund Class R3	552981466	MSFHX			.25	0.72000		SELL ONLY
MFS	MFS Total Return Fund Class R6	552981375	MSFKX				0.40000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
MFS	MFS Utilities Fund Class A	552986309	MMUFX	5.75		.25	1.01000		BUY,SELL
MFS	MFS Utilities Fund Class B	552986408	MMUBX		4.00	1.00	1.76000		SELL ONLY
MFS	MFS Utilities Fund Class C	552986705	MMUCX		1.00	1.00	1.76000		SELL ONLY
MFS	MFS Utilities Fund Class I	552986879	MMUIX				0.76000		SELL ONLY
MFS	MFS Utilities Fund Class R1	552986697	MMUGX			1.00	1.76000		SELL ONLY
MFS	MFS Utilities Fund Class R2	552986770	MURRX			.50	1.26000		SELL ONLY
MFS	MFS Utilities Fund Class R3	552986689	MMUHX			.25	1.01000		SELL ONLY
MFS	MFS Utilities Fund Class R6	552986598	MMUKX				0.67000		SELL ONLY
MFS	MFS Value Fund Class A	552983801	MEIAX	5.75		.25	0.80000		BUY,SELL
MFS	MFS Value Fund Class B	552983843	MFEBX		4.00	1.00	1.55000		SELL ONLY
MFS	MFS Value Fund Class C	552983835	MEICX		1.00	1.00	1.55000		SELL ONLY
MFS	MFS Value Fund Class I	552983694	MEIIX				0.55000		SELL ONLY
MFS	MFS Value Fund Class R1	55273H650	MEIGX			1.00	1.55000		SELL ONLY
MFS	MFS Value Fund Class R2	552983116	MVRRX			.50	1.05000		SELL ONLY
MFS	MFS Value Fund Class R3	55273H643	MEIHX			.25	0.80000		SELL ONLY
MFS	MFS Value Fund Class R6	55273H353	MEIKX				0.45000		SELL ONLY
MFS	MFS Virginia Municipal Bond Fund Class B	55273N632	MBVAX		4.00	1.00	1.63000		SELL ONLY
MFS	MFS Virginia Municipal Bond Fund Class C	55273N533	MVACX		1.00	1.00	1.63000		SELL ONLY
MFS	MFS Virginia Municipal Bond Fund Class I	55273N285	MIVAX				0.63000		SELL ONLY
MFS	MFS Virginia Municipal Bond Fund Class R6	55273N129	MPVOX				0.55000		SELL ONLY
MFS	MFS West Virginia Municipal Bond Fund Class B	55273N616	MBWVX		4.00	1.00	1.78000		SELL ONLY
MFS	MFS West Virginia Municipal Bond Fund Class I	55273N277	MWVIX				0.78000		SELL ONLY
MFS	MFS West Virginia Municipal Bond Fund Class R6	55273N111	MPWVX				0.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Morgan Stanley	Morgan Stanley Developing Opportunity Portfolio Class A	61768B851	MDOAX	5.25		.25	1.99000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Developing Opportunity Portfolio Class C	61768B844	MDOBX		1.00	1.00	2.74000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Developing Opportunity Portfolio Class I	61768B869	MDOEX				1.69000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Developing Opportunity Portfolio Class R6	61768B836	MDODX				29.92000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Emerging Markets ex China Portfolio Class A	61768B620	MSDQX	5.25	1.00	.25	10.80000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Emerging Markets ex China Portfolio Class C	61768B612	MSDOX		1.00	1.00	11.57000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Emerging Markets ex China Portfolio Class I	61768B638	MSDUX				7.05000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Emerging Markets ex China Portfolio Class R6	61768B596	MSDMX				10.54000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Europe Opportunity Fund Inc. Class A	616939104	EUGAX	5.25		.25	1.62000		SELL ONLY
Morgan Stanley	Morgan Stanley Europe Opportunity Fund Inc. Class C	616939708	MSEEX		1.00	1.00	2.53000		SELL ONLY
Morgan Stanley	Morgan Stanley Europe Opportunity Fund Inc. Class I	616939401	EUGDX				1.36000		SELL ONLY
Morgan Stanley	Morgan Stanley Europe Opportunity Fund Inc. Class L	616939302	EUGCX			.75	2.35000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Endurance Portfolio Class A	61766J138	MSJAX	5.25		.25	1.95000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Endurance Portfolio Class C	61766J120	MSJCX		1.00	1.00	3.03000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Endurance Portfolio Class I	61766J146	MSJIX				1.62000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Endurance Portfolio Class R6	61766J112	MSJSX				17.07000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Fixed Income Opportunities Fund Class A	616961108	DINAX	3.25		.25	0.85000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Fixed Income Opportunities Fund Class C	61765E106	MSIPX		1.00	1.00	1.60000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Morgan Stanley	Morgan Stanley Global Fixed Income Opportunities Fund Class I	616961405	DINDX				0.62000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Fixed Income Opportunities Fund Class IR	616961603	MFIRX				19.12000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Fixed Income Opportunities Fund Class L	616961306	DINCX			.50	1.21000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Fixed Income Opportunities Fund Class R6	61762E109	MGFOX				0.49000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Permanence Portfolio Class A	61768B208	MGKAX	5.25		.25	4.69000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Permanence Portfolio Class C	61768B307	MGKCX		1.00	1.00	5.69000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Permanence Portfolio Class I	61768B109	MGKIX				4.40000		SELL ONLY
Morgan Stanley	Morgan Stanley Global Permanence Portfolio Class R6	61768B406	MGKQX				21.25000		SELL ONLY
Morgan Stanley	Morgan Stanley Insight Fund Class A	61747T106	CPOAX	5.25		.25	1.15000		BUY,SELL
Morgan Stanley	Morgan Stanley Insight Fund Class C	61762C202	MSCMX		1.00	1.00	1.92000		SELL ONLY
Morgan Stanley	Morgan Stanley Insight Fund Class I	61747T403	CPODX				0.93000		SELL ONLY
Morgan Stanley	Morgan Stanley Insight Fund Class IR	61747T502	MBIRX				14.61000		SELL ONLY
Morgan Stanley	Morgan Stanley Insight Fund Class L	61747T304	CPOCX			.75	1.64000		SELL ONLY
Morgan Stanley	Morgan Stanley Insight Fund Class R6	61762C103	MCRTX				0.80000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Corporate Bond Portfolio Class A	617440490	MIGAX	3.25		.25	1.12000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Corporate Bond Portfolio Class C	617455787	MSBOX		1.00	1.00	2.02000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Corporate Bond Portfolio Class I	617440805	MPFDX				0.95000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Corporate Bond Portfolio Class L	617440276	MGILX			.50	1.57000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Discovery Portfolio Class A	617440599	MACGX	5.25		.25	1.02000		BUY,SELL
Morgan Stanley	Morgan Stanley Institutional Fund Trust Discovery Portfolio Class C	617455746	MSMFX		1.00	1.00	1.80000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Discovery Portfolio Class I	617440508	MPEGX				0.76000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Morgan Stanley	Morgan Stanley Institutional Fund Trust Discovery Portfolio Class L	617440169	MSKLX			.75	1.55000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Discovery Portfolio Class R6	617455308	MMCGX				0.65000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Dynamic Value Portfolio Class A	617455282	MAAUX	5.25		.25	1.15000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Dynamic Value Portfolio Class I	617455290	MAAQX				0.80000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Dynamic Value Portfolio Class R6	617455266	MAADX				0.71000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Global Strategist Portfolio Class A	617440557	MBAAX	5.25		.25	1.01000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Global Strategist Portfolio Class C	617455779	MSSOX		1.00	1.00	1.98000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Global Strategist Portfolio Class Institutional	617440839	MPBAX				0.81000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Global Strategist Portfolio Class L	617440185	MSDLX			.75	1.53000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Global Strategist Portfolio Class R6	617455720	MGPOX				0.68000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust High Yield Portfolio Class A	617440219	MSYPX	3.25		.25	1.39000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust High Yield Portfolio Class C	617455761	MSHDX		1.00	1.00	2.19000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust High Yield Portfolio Class I	617440235	MSYIX				1.16000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust High Yield Portfolio Class IR	617455522	MRHYX				18.85000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust High Yield Portfolio Class L	617440227	MSYLX			.50	2.96000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust High Yield Portfolio Class R6	617455407	MSHYX				1.06000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Ultra-Short Income Portfolio Class A	617455670	MUAIX			.25	0.55000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund Trust Ultra-Short Income Portfolio Class IR	617455696	MULSX				0.30000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Morgan Stanley	Morgan Stanley Institutional Fund Trust Ultra-Short Income Portfolio Institutional Class	617455688	MUIIX			.05	0.35000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Advantage Portfolio Class A	61756E776	MAPPX	5.25		.25	1.26000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Advantage Portfolio Class C	61760X547	MSPRX		1.00	1.00	2.01000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Advantage Portfolio Class I	61756E784	MPAIX				1.01000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Advantage Portfolio Class L	61756E750	MAPLX			.75	1.92000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Advantage Portfolio Class R6	61760X729	MAD SX				0.90000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Asia Opportunity Portfolio Class A	61760X240	MSAUX	5.25		.25	1.53000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Asia Opportunity Portfolio Class C	61760X232	MSAW X		1.00	1.00	2.26000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Asia Opportunity Portfolio Class I	61760X224	MSAQX				1.22000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Asia Opportunity Portfolio Class R6	61760X216	MSAYX				1.17000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Emerging Markets Leaders Portfolio Class A	61760X596	MELAX	5.25		.25	1.40000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Emerging Markets Leaders Portfolio Class C	61760X273	MEMLX		1.00	1.00	2.16000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Emerging Markets Leaders Portfolio Class I	61760X612	MELIX				1.13000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Emerging Markets Leaders Portfolio Class R6	61760X570	MELSX				1.08000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Emerging Markets Portfolio Class A	61744J580	MMKBX	5.25		.25	1.41000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Emerging Markets Portfolio Class C	61760X497	MSEPX		1.00	1.00	3.10000	2.00	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Emerging Markets Portfolio Class I	61744J820	MGEMX				1.09000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Emerging Markets Portfolio Class IR	61766J260	MRGEX				22.91000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Emerging Markets Portfolio Class L	61756E289	MSELX			.75	3.16000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Emerging Markets Portfolio Class R6	61760X794	MMMPX				0.97000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Concentrated Portfolio Class A	61766J609	MLNAX	5.25		.25	1.41000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Concentrated Portfolio Class C	61766J708	MLNCX		1.00	1.00	2.17000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Concentrated Portfolio Class I	61766J500	MLNIX				1.12000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Concentrated Portfolio Class R6	61766J807	MLNSX				19.78000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Core Portfolio Class A	61766J872	MLMAX	5.25		.25	2.32000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Core Portfolio Class C	61766J864	MLMCX		1.00	1.00	3.12000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Core Portfolio Class I	61766J880	MLMIX				1.99000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Core Portfolio Class R6	61766J856	MLMSX				16.70000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Franchise Portfolio Class A	61744J275	MSFBX	5.25		.25	1.17000		BUY,SELL
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Franchise Portfolio Class C	61760X463	MSGFX		1.00	1.00	1.91000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Franchise Portfolio Class I	61744J283	MSFAX				0.92000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Franchise Portfolio Class L	61756E263	MSFLX			.75	1.67000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Franchise Portfolio Class R6	61760X265	MGISX				0.83000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Insight Portfolio Class A	61756E578	MIGPX	5.25		.25	1.69000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Insight Portfolio Class C	61760X489	MSPTX		1.00	1.00	2.44000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Insight Portfolio Class I	61756E594	MIGIX				1.37000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Insight Portfolio Class L	61756E586	MIGLX			.75	3.18000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Opportunity Portfolio Class A	61756E685	MGGPX	5.25		.25	1.25000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Opportunity Portfolio Class C	61760X448	MSOPX		1.00	1.00	1.96000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Opportunity Portfolio Class I	61756E693	MGGIX				0.95000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Opportunity Portfolio Class IR	61766J336	MGORX				0.86000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Opportunity Portfolio Class L	61756E669	MGGLX			.75	1.80000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Opportunity Portfolio Class R6	61760X786	MGTSX				0.88000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Stars Portfolio Class A	61760X646	MGQAX	5.25		.25	1.46000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Stars Portfolio Class C	61760X430	MSGQX		1.00	1.00	2.18000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Stars Portfolio Class I	61760X653	MGQIX				1.15000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Stars Portfolio Class L	61760X638	MGQLX			.75	2.02000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Stars Portfolio Class R6	61760X620	MGQSX				1.07000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Growth Portfolio Class A	61744J564	MSEGX	5.25		.25	0.82000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Growth Portfolio Class C	61760X414	MSGUX		1.00	1.00	1.57000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Growth Portfolio Class I	61744J861	MSEQX				0.56000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Growth Portfolio Class L	61756E156	MSHLX			.75	1.31000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Growth Portfolio Class R6	61760X711	MGRPX				0.51000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Inception Portfolio Class A	61744J614	MSSMX	5.25		.25	1.36000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Inception Portfolio Class C	61760X299	MSCOX		1.00	1.00	2.22000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Inception Portfolio Class I	61744J309	MSSGX				1.11000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Inception Portfolio Class L	61756E396	MSSLX			.75	2.55000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Inception Portfolio Class R6	61756E149	MFLX				1.11000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Advantage Portfolio Class A	61756E446	MFAPX	5.25		.25	1.27000		BUY,SELL
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Advantage Portfolio Class C	61760X380	MSIAX		1.00	1.00	1.97000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Advantage Portfolio Class I	61756E461	MFAIX				1.00000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Advantage Portfolio Class L	61756E453	MSALX			.75	2.26000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Advantage Portfolio Class R6	61766J344	IDVSX				0.89000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Equity Portfolio Class A	61744J499	MIQBX	5.25		.25	1.38000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Equity Portfolio Class C	61760X372	MSECX		1.00	1.00	2.32000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Equity Portfolio Class I	61744J408	MSIQX				1.02000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Equity Portfolio Class L	61756E248	MSQLX			.75	1.84000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Equity Portfolio Class R6	61760X760	MIQPX				0.93000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Opportunity Portfolio Class A	61756E826	MIOPX	5.25		.25	1.26000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Opportunity Portfolio Class C	61760X364	MSOCX		1.00	1.00	1.99000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Opportunity Portfolio Class I	61756E834	MIOIX				0.94000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Opportunity Portfolio Class IR	61766J328	MRNPX				0.92000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Opportunity Portfolio Class L	61756E792	MIOLX			.75	3.03000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. International Opportunity Portfolio Class R6	61760X752	MNOPX				0.96000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Next Gen Emerging Markets Portfolio Class A	61760X810	MFMPX	5.25		.25	2.84000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Next Gen Emerging Markets Portfolio Class C	61760X331	MSFEX		1.00	1.00	4.20000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Next Gen Emerging Markets Portfolio Class I	61760X836	MF MIX				2.47000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Next Gen Emerging Markets Portfolio Class L	61760X828	MFMLX			.75	4.38000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Next Gen Emerging Markets Portfolio Class R6	61760X562	MSRFX				8.23000	2.00	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Passport Overseas Equity Portfolio class A	61744J655	MSIBX	5.25		.25	1.28000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Passport Overseas Equity Portfolio class C	61760X554	MSAAX			1.00	1.00	2.74000	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Passport Overseas Equity Portfolio class I	61744J846	MSACX					1.02000	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Passport Overseas Equity Portfolio class IR	61768B885	MAIHX					19.62000	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Passport Overseas Equity Portfolio class L	61756E313	MSLLX			.75	1.84000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Passport Overseas Equity Portfolio class R6	61768B877	MAIJX					12.52000	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Permanence Portfolio Class A	61768B810	MSHNX	5.25		.25	8.09000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Permanence Portfolio Class I	61768B828	MSHMX				7.44000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Permanence Portfolio Class R6	61768B786	MSHPX				11.18000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. US Core Portfolio Class A	61766J831	MUOAX	5.25		.25	1.16000		BUY,SELL
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. US Core Portfolio Class C	61766J823	MUOCX			1.00	1.00	1.92000	SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. US Core Portfolio Class I	61766J849	MUOIX				0.91000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. US Core Portfolio Class R6	61766J815	MUOSX				19.75000		SELL ONLY
Morgan Stanley	Morgan Stanley Institutional Fund, Inc. Global Insight Portfolio Class R6	61768B778	MGZZX				51.28000		SELL ONLY
Morgan Stanley	Morgan Stanley Long Duration Government Opportunities Fund Class A	616969101	USGAX	3.25		.25	1.17000		SELL ONLY
Morgan Stanley	Morgan Stanley Long Duration Government Opportunities Fund Class C	616969507	MSGVX			1.00	1.00	1.90000	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Morgan Stanley	Morgan Stanley Long Duration Government Opportunities Fund Class I	616969408	USGDX				0.91000		SELL ONLY
Morgan Stanley	Morgan Stanley Long Duration Government Opportunities Fund Class L	616969309	USGCX			.50	1.46000		SELL ONLY
Morgan Stanley	Morgan Stanley Mortgage Securities Trust Class A	616962106	MTGAX	3.25		.25	1.08000		SELL ONLY
Morgan Stanley	Morgan Stanley Mortgage Securities Trust Class C	616962502	MSMTX		1.00	1.00	1.87000		SELL ONLY
Morgan Stanley	Morgan Stanley Mortgage Securities Trust Class I	616962403	MTGDX				0.81000		SELL ONLY
Morgan Stanley	Morgan Stanley Mortgage Securities Trust Class L	616962304	MTGCX			.50	2.24000		SELL ONLY
Morgan Stanley	Morgan Stanley Mortgage Securities Trust Class R6	616962700	MORG X				30.00000		SELL ONLY
Morgan Stanley	Morgan Stanley Multi-Asset Real Return Portfolio A	61766J179	MRJAX	5.25		.25	2.38000		SELL ONLY
Morgan Stanley	Morgan Stanley Multi-Asset Real Return Portfolio C	61766J161	MRJCX		1.00	1.00	3.10000		SELL ONLY
Morgan Stanley	Morgan Stanley Multi-Asset Real Return Portfolio I	61766J187	MRJIX				2.04000		SELL ONLY
Morgan Stanley	Morgan Stanley Multi-Asset Real Return Portfolio R6	61766J153	MRJSX				17.87000		SELL ONLY
Morgan Stanley	Morgan Stanley Vitality Portfolio Class A	61768B661	MSVEX	5.25		.25	20.21000		SELL ONLY
Morgan Stanley	Morgan Stanley Vitality Portfolio Class I	61768B679	MSVDX				13.74000		SELL ONLY
Morgan Stanley	Morgan Stanley Vitality Portfolio Class R6	61768B646	MSVOX				20.55000		SELL ONLY
Nationwide	Nationwide Amundi Strategic Income Fund Class A	63868C623	NWXEX	2.25		.25	1.15000		SELL ONLY
Nationwide	Nationwide Amundi Strategic Income Fund Class R6	63868C599	NWXGX				0.65000		SELL ONLY
Nationwide	Nationwide Amundi Strategic Income Fund Institutional Service Class	63868C581	NWXHX				0.77000		SELL ONLY
Nationwide	Nationwide Bailard Cognitive Value Fund Class A	63868B807	NWXHD	5.75		.25	1.43000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nationwide	Nationwide Bailard Cognitive Value Fund Class R6	63868B864	NWHG X				0.93000		SELL ONLY
Nationwide	Nationwide Bailard Cognitive Value Fund Institutional Service Class	63868B856	NWHH X				1.18000		SELL ONLY
Nationwide	Nationwide Bailard International Equities Fund Class A	63868B849	NWHJX	5.75		.25	1.20000		SELL ONLY
Nationwide	Nationwide Bailard International Equities Fund Class R6	63868B815	NWHM X				0.88000		SELL ONLY
Nationwide	Nationwide Bailard International Equities Fund Institutional Service Class	63868B799	NWHN X				0.94000		SELL ONLY
Nationwide	Nationwide Bailard Technology and Science Fund Class A	63868B781	NWHO X	5.75		.25	1.20000		SELL ONLY
Nationwide	Nationwide Bailard Technology and Science Fund Class R6	63868B757	NWHTX				0.88000		SELL ONLY
Nationwide	Nationwide Bailard Technology and Science Fund Institutional Service Class	63868B740	NWHU X				1.01000		SELL ONLY
Nationwide	Nationwide BNY Mellon Core Plus Bond Fund Class A	63868B500	NWCP X	4.25		.25	1.67000		SELL ONLY
Nationwide	Nationwide BNY Mellon Core Plus Bond Fund Class Institutional Service	63868B609	NWCS X				1.39000		SELL ONLY
Nationwide	Nationwide BNY Mellon Core Plus Bond Fund Class R6	63868B708	NWCIX				1.29000		SELL ONLY
Nationwide	Nationwide BNY Mellon Dynamic U.S. Core Fund Class A	63867R282	NMFAX	5.75		.25	0.87000		SELL ONLY
Nationwide	Nationwide BNY Mellon Dynamic U.S. Core Fund Class R	63867R241	GGFRX			.50	1.28000		SELL ONLY
Nationwide	Nationwide BNY Mellon Dynamic U.S. Core Fund Class R6	63867R258	MUIGX				0.53000		SELL ONLY
Nationwide	Nationwide BNY Mellon Dynamic U.S. Core Fund Eagle Class	63868D878	NWAEX				0.63000		SELL ONLY
Nationwide	Nationwide BNY Mellon Dynamic U.S. Core Fund Institutional Service Class	63867V192	NGISX				0.69000		SELL ONLY
Nationwide	Nationwide BNY Mellon Dynamic U.S. Equity Income Fund Class A	63868D811	NWALX	5.75		.25	1.20000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nationwide	Nationwide BNY Mellon Dynamic U.S. Equity Income Fund Class K	63868D795	NWAM X			.10	0.80000		SELL ONLY
Nationwide	Nationwide BNY Mellon Dynamic U.S. Equity Income Fund Class R6	63868D787	NWAN X				0.70000		SELL ONLY
Nationwide	Nationwide BNY Mellon Dynamic U.S. Equity Income Fund Eagle Class	63868D761	NWAPX				0.80000		SELL ONLY
Nationwide	Nationwide BNY Mellon Dynamic U.S. Equity Income Fund Institutional Service Class	63868D779	NWAO X				0.95000		SELL ONLY
Nationwide	Nationwide Bond Index Fund Class A	63867R886	GBIAX	2.25		.25	0.72000		SELL ONLY
Nationwide	Nationwide Bond Index Fund Class R6	63867R845	GBXIX				0.30000		SELL ONLY
Nationwide	Nationwide Bond Index Fund Institutional Service Class	63868C524	NWXO X				0.55000		SELL ONLY
Nationwide	Nationwide Destination 2030 Fund Class A	63867N711	NWIAX	5.75		.25	0.96000		SELL ONLY
Nationwide	Nationwide Destination 2030 Fund Class R	63867N679	NWBIX			.50	1.21000		SELL ONLY
Nationwide	Nationwide Destination 2030 Fund Class R6	63867N653	NWIIX				0.46000		SELL ONLY
Nationwide	Nationwide Destination 2030 Fund Institutional Service Class	63867N661	NWISX				0.71000		SELL ONLY
Nationwide	Nationwide Destination 2035 Fund Class A	63867N646	NWLAX	5.75		.25	0.96000		SELL ONLY
Nationwide	Nationwide Destination 2035 Fund Class R	63867N612	NWLBX			.50	1.21000		SELL ONLY
Nationwide	Nationwide Destination 2035 Fund Class R6	63867N588	NWLIX				0.46000		SELL ONLY
Nationwide	Nationwide Destination 2035 Fund Institutional Service Class	63867N596	NWLSX				0.71000		SELL ONLY
Nationwide	Nationwide Destination 2040 Fund Class A	63867N570	NWMA X	5.75		.25	0.96000		SELL ONLY
Nationwide	Nationwide Destination 2040 Fund Class R	63867N547	NWMD X			.50	1.21000		SELL ONLY
Nationwide	Nationwide Destination 2040 Fund Class R6	63867N521	NWMH X				0.46000		SELL ONLY
Nationwide	Nationwide Destination 2040 Fund Institutional Service Class	63867N539	NWMS X				0.71000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nationwide	Nationwide Destination 2045 Fund Class A	63867N513	NWNA X	5.75		.25	0.96000		SELL ONLY
Nationwide	Nationwide Destination 2045 Fund Class R	63867N471	NWNB X			.50	1.21000		SELL ONLY
Nationwide	Nationwide Destination 2045 Fund Class R6	63867N455	NWNIX				0.46000		SELL ONLY
Nationwide	Nationwide Destination 2045 Fund Institutional Service Class	63867N463	NWNS X				0.71000		SELL ONLY
Nationwide	Nationwide Destination 2050 Fund Class A	63867N448	NWOA X	5.75		.25	0.96000		SELL ONLY
Nationwide	Nationwide Destination 2050 Fund Class R	63867N414	NWOB X			.50	1.21000		SELL ONLY
Nationwide	Nationwide Destination 2050 Fund Class R6	63867N380	NWOIX				0.46000		SELL ONLY
Nationwide	Nationwide Destination 2050 Fund Institutional Service Class	63867N398	NWOS X				0.71000		SELL ONLY
Nationwide	Nationwide Destination 2055 Fund Class A	63867N224	NTDAX	5.75		.25	0.96000		SELL ONLY
Nationwide	Nationwide Destination 2055 Fund Class R	63867N182	NTDTX			.50	1.21000		SELL ONLY
Nationwide	Nationwide Destination 2055 Fund Class R6	63867N174	NTDIX				0.46000		SELL ONLY
Nationwide	Nationwide Destination 2055 Fund Institutional Service Class	63867N166	NTDSX				0.71000		SELL ONLY
Nationwide	Nationwide Destination 2060 Fund Class A	63867N158	NWWR X	5.75		.25	0.96000		SELL ONLY
Nationwide	Nationwide Destination 2060 Fund Class R	63867N133	NWWT X			.50	1.21000		SELL ONLY
Nationwide	Nationwide Destination 2060 Fund Class R6	63867N125	NWWU X				0.46000		SELL ONLY
Nationwide	Nationwide Destination 2060 Fund Institutional Service Class	63867N117	NWWV X				0.71000		SELL ONLY
Nationwide	Nationwide Destination 2065 Fund Class A	63868D753	NWAQ X	5.75		.25	0.95000		SELL ONLY
Nationwide	Nationwide Destination 2065 Fund Class R	63868D746	NWAR X			.50	1.20000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nationwide	Nationwide Destination 2065 Fund Class R6	63868D738	NWASX				0.45000		SELL ONLY
Nationwide	Nationwide Destination 2065 Fund Institutional Service Class	63868D720	NWATX				0.70000		SELL ONLY
Nationwide	Nationwide Destination Retirement Fund Class A	63867N703	NWEAX	5.75		.25	0.96000		SELL ONLY
Nationwide	Nationwide Destination Retirement Fund Class R	63867N877	NWEBX			.50	1.21000		SELL ONLY
Nationwide	Nationwide Destination Retirement Fund Class R6	63867N851	NWEIX				0.46000		SELL ONLY
Nationwide	Nationwide Destination Retirement Fund Institutional Service Class	63867N869	NWESX				0.71000		SELL ONLY
Nationwide	Nationwide Fund Class A	63867R654	NWFAX	5.75		.25	0.92000		SELL ONLY
Nationwide	Nationwide Fund Class R	63867R613	GNWR X			.50	1.34000		SELL ONLY
Nationwide	Nationwide Fund Class R6	63868D803	NWABX				0.59000		SELL ONLY
Nationwide	Nationwide Fund Institutional Service Class	63867R621	MUIFX				0.67000		SELL ONLY
Nationwide	Nationwide Geneva Mid Cap Growth Fund Class A	63868B732	NWHV X	5.75		.25	1.10000		BUY,SELL
Nationwide	Nationwide Geneva Mid Cap Growth Fund Class R6	63868B716	NWKAX				0.75000		SELL ONLY
Nationwide	Nationwide Geneva Mid Cap Growth Fund Institutional Service Class	63868B690	NWHY X				0.85000		SELL ONLY
Nationwide	Nationwide Geneva Small Cap Growth Fund Class A	63868B682	NWHZX	5.75		.25	1.20000		SELL ONLY
Nationwide	Nationwide Geneva Small Cap Growth Fund Class R6	63868B666	NWKC X				0.83000		SELL ONLY
Nationwide	Nationwide Geneva Small Cap Growth Fund Institutional Service Class	63868B658	NWKD X				0.95000		SELL ONLY
Nationwide	Nationwide Global Sustainable Equity Fund Class A	63867V184	GGEAX	5.75		.25	1.11000		SELL ONLY
Nationwide	Nationwide Global Sustainable Equity Fund Class R6	63867V150	GGEIX				0.77000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nationwide	Nationwide Global Sustainable Equity Fund Institutional Service Class	63867V168	GGESX				1.02000		SELL ONLY
Nationwide	Nationwide GQG US Quality Equity Fund Class A	63868D712	NWAU X	5.75		.25	1.11000		SELL ONLY
Nationwide	Nationwide GQG US Quality Equity Fund Class R6	63868D696	NWAVX				0.61000		SELL ONLY
Nationwide	Nationwide GQG US Quality Equity Fund Eagle Class	63868D670	NWAYX				0.71000		SELL ONLY
Nationwide	Nationwide Inflation-Protected Securities Fund Class A	63867R142	NIFAX	2.25		.25	0.79000		SELL ONLY
Nationwide	Nationwide Inflation-Protected Securities Fund Class R6	63867R134	NIFIX				0.37000		SELL ONLY
Nationwide	Nationwide Inflation-Protected Securities Fund Institutional Service Class	63868C532	NWXN X				0.55000		SELL ONLY
Nationwide	Nationwide International Index Fund Class A	63867T700	GIIAX	5.75		.25	0.72000		SELL ONLY
Nationwide	Nationwide International Index Fund Class R	63867T874	GIIRX			.50	1.07000		SELL ONLY
Nationwide	Nationwide International Index Fund Class R6	63867T866	GIXIX				0.32000		SELL ONLY
Nationwide	Nationwide International Index Fund Institutional Service	63868C516	NWXPX				0.57000		SELL ONLY
Nationwide	Nationwide International Small Cap Fund Class A	63868C474	NWXSX	5.75		.25	1.55000		SELL ONLY
Nationwide	Nationwide International Small Cap Fund Class R6	63868C458	NWXU X				1.05000		SELL ONLY
Nationwide	Nationwide International Small Cap Fund Institutional Service Class	63868C441	NWXVX				1.17000		SELL ONLY
Nationwide	Nationwide Investor Destinations Aggressive Fund Class A	63867T858	NDAAX	5.75		.25	0.84000		SELL ONLY
Nationwide	Nationwide Investor Destinations Aggressive Fund Class R	63867T825	GAFRX			.50	1.14000		SELL ONLY
Nationwide	Nationwide Investor Destinations Aggressive Fund Class R6	63867T817	GAIDX				0.50000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nationwide	Nationwide Investor Destinations Aggressive Fund Institutional Service Class	63868B245	NWWH X				0.63000		SELL ONLY
Nationwide	Nationwide Investor Destinations Conservative Fund Class A	63867T783	NDCAX	5.75		.25	0.83000		SELL ONLY
Nationwide	Nationwide Investor Destinations Conservative Fund Class R	63867T759	GCFRX			.50	1.18000		SELL ONLY
Nationwide	Nationwide Investor Destinations Conservative Fund Class R6	63867T742	GIMCX				0.53000		SELL ONLY
Nationwide	Nationwide Investor Destinations Conservative Fund Institutional Service Class	63868B195	NWWL X				0.59000		SELL ONLY
Nationwide	Nationwide Investor Destinations Moderate Fund Class A	63867T726	NADMX	5.75		.25	0.83000		SELL ONLY
Nationwide	Nationwide Investor Destinations Moderate Fund Class R	63867T684	GMDRX			.50	1.15000		SELL ONLY
Nationwide	Nationwide Investor Destinations Moderate Fund Class R6	63867T676	GMDIX				0.50000		SELL ONLY
Nationwide	Nationwide Investor Destinations Moderate Fund Institutional Service Class	63868B229	NWWJ X				0.59000		SELL ONLY
Nationwide	Nationwide Investor Destinations Moderately Aggressive Fund Class A	63867T650	NDMAX	5.75		.25	0.84000		SELL ONLY
Nationwide	Nationwide Investor Destinations Moderately Aggressive Fund Class R	63867T627	GMARX			.50	1.14000		SELL ONLY
Nationwide	Nationwide Investor Destinations Moderately Aggressive Fund Class R6	63867T619	GMIAX				0.50000		SELL ONLY
Nationwide	Nationwide Investor Destinations Moderately Aggressive Fund Institutional Service Class	63868B237	NWWIX				0.61000		SELL ONLY
Nationwide	Nationwide Investor Destinations Moderately Conservative Fund Class A	63867T585	NADCX	5.75		.25	0.83000		SELL ONLY
Nationwide	Nationwide Investor Destinations Moderately Conservative Fund Class R	63867T551	GMMR X			.50	1.16000		SELL ONLY
Nationwide	Nationwide Investor Destinations Moderately Conservative Fund Class R6	63867T544	GMIMX				0.52000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nationwide	Nationwide Investor Destinations Moderately Conservative Fund Institutional Service Class	63868B211	NWWK X				0.60000		SELL ONLY
Nationwide	Nationwide Janus Henderson Overseas Fund Class A	63868D852	NWAG X	5.75		.25	1.52000		SELL ONLY
Nationwide	Nationwide Janus Henderson Overseas Fund Class R6	63868D845	NWAH X				1.16000		SELL ONLY
Nationwide	Nationwide Janus Henderson Overseas Fund Institutional Service Class	63868D837	NWAKX				1.35000		SELL ONLY
Nationwide	Nationwide Loomis All Cap Growth Fund Class A	63868D100	NWZLX	5.75		.25	1.36000		SELL ONLY
Nationwide	Nationwide Loomis All Cap Growth Fund Class R6	63868D209	NWZM X				0.91000		SELL ONLY
Nationwide	Nationwide Loomis All Cap Growth Fund Institutional Service Class	63868D308	NWZNX				1.16000		SELL ONLY
Nationwide	Nationwide Loomis Core Bond Fund Class A	63868C102	NWJGX	2.25		.25	0.78000		SELL ONLY
Nationwide	Nationwide Loomis Core Bond Fund Class R6	63868C300	NWJIX				0.46000		SELL ONLY
Nationwide	Nationwide Loomis Core Bond Fund Eagle Class	63868D621	NWAZX				0.56000		SELL ONLY
Nationwide	Nationwide Loomis Core Bond Fund Institutional Service Class	63868C409	NWJJX				0.71000		SELL ONLY
Nationwide	Nationwide Loomis Short Term Bond Fund Class A	63868C847	NWJSX	2.25		.25	0.89000		SELL ONLY
Nationwide	Nationwide Loomis Short Term Bond Fund Class R6	63868C821	NWJUX				0.54000		SELL ONLY
Nationwide	Nationwide Loomis Short Term Bond Fund Institutional Service Class	63868C813	NWJVX				0.61000		SELL ONLY
Nationwide	Nationwide Mid Cap Market Index Fund Class A	63867U830	GMXAX	5.75		.25	0.68000		SELL ONLY
Nationwide	Nationwide Mid Cap Market Index Fund Class R	63867U798	GMXRX			.50	1.01000		SELL ONLY
Nationwide	Nationwide Mid Cap Market Index Fund Class R6	63867U780	GMXIX				0.26000		SELL ONLY
Nationwide	Nationwide Mid Cap Market Index Fund Institutional Service Class	63868C490	NWXQ X				0.49000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nationwide	Nationwide NYSE Arca Tech 100 Index Fund Class A	63868B377	NWJCX	5.75		.25	0.63000		SELL ONLY
Nationwide	Nationwide NYSE Arca Tech 100 Index Fund Class R6	63868B351	NWJEX				0.29000		SELL ONLY
Nationwide	Nationwide NYSE Arca Tech 100 Index Fund Institutional Service Class	63868B344	NWJFX				0.42000		SELL ONLY
Nationwide	Nationwide S&P 500 Index Fund Class A	63867U376	GRMAX	5.75		.25	0.60000		SELL ONLY
Nationwide	Nationwide S&P 500 Index Fund Class R	63867U343	GRMRX			.50	0.93000		SELL ONLY
Nationwide	Nationwide S&P 500 Index Fund Class R6	63867U335	GRMIX				0.18000		SELL ONLY
Nationwide	Nationwide S&P 500 Index Fund Institutional Service Class	63867U327	GRISX				0.43000		SELL ONLY
Nationwide	Nationwide Small Cap Index Fund Class A	63867V705	GMRAX	5.75		.25	0.72000		SELL ONLY
Nationwide	Nationwide Small Cap Index Fund Class R	63867V879	GMSRX			.50	1.05000		SELL ONLY
Nationwide	Nationwide Small Cap Index Fund Class R6	63867V861	GMRIX				0.30000		SELL ONLY
Nationwide	Nationwide Small Cap Index Fund Institutional Service Class	63868C482	NWXR X				0.55000		SELL ONLY
Nationwide	Nationwide Small Company Growth Fund Class A	63867V226	NWSAX	5.75		.25	1.36000		SELL ONLY
Nationwide	Nationwide Small Company Growth Fund Institutional Service Class	63867V218	NWSIX				1.20000		SELL ONLY
Nationwide	Nationwide WCM Focused Small Cap Fund Class A	63868B518	NWGP X	5.75		.25	1.22000		SELL ONLY
Nationwide	Nationwide WCM Focused Small Cap Fund Class R6	63868B492	NWKEX				0.86000		SELL ONLY
Nationwide	Nationwide WCM Focused Small Cap Fund Institutional Service Class	63868B484	NWGS X				0.94000		SELL ONLY
Natixis Funds	Gateway Equity Call Premium Fund Class A	367829843	GCPAX	5.75		.25	1.09000		SELL ONLY
Natixis Funds	Gateway Equity Call Premium Fund Class C	367829835	GCPCX		1.00	1.00	1.84000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Natixis Funds	Gateway Equity Call Premium Fund Class N	367829785	GCPNX			.00	1.28000		SELL ONLY
Natixis Funds	Gateway Equity Call Premium Fund Class Y	367829827	GCPYX			.00	0.84000		SELL ONLY
Natixis Funds	Gateway Fund Class A Shares	367829207	GATEX	5.75		.25	0.98000		SELL ONLY
Natixis Funds	Gateway Fund Class C Shares	367829702	GTECX		1.00	1.00	1.73000		SELL ONLY
Natixis Funds	Gateway Fund Class Y Shares	367829884	GTEYX			.00	0.73000		SELL ONLY
Natixis Funds	Mirova Global Green Bond Fund Class A	63872R525	MGGAX	4.25		.25	1.41000		SELL ONLY
Natixis Funds	Mirova Global Green Bond Fund Class N	63872R517	MGGNX			.00	1.08000		SELL ONLY
Natixis Funds	Mirova Global Green Bond Fund Class Y	63872R491	MGGYX			.00	1.16000		SELL ONLY
Natixis Funds	Mirova Global Sustainable Equity Fund Class A	63872R558	ESGMX	5.75		.25	1.24000		SELL ONLY
Natixis Funds	Mirova Global Sustainable Equity Fund Class C	63872R541	ESGCX		1.00	1.00	1.99000		SELL ONLY
Natixis Funds	Mirova Global Sustainable Equity Fund Class N	63872R392	ESGNX			.00	0.89000		SELL ONLY
Natixis Funds	Mirova Global Sustainable Equity Fund Class Y	63872R533	ESGYX			.00	0.99000		SELL ONLY
Natixis Funds	Mirova International Sustainable Equity Fund Class A	63872R368	MRVAX	5.75		.25	2.64000		SELL ONLY
Natixis Funds	Mirova International Sustainable Equity Fund Class N	63872R350	MRVNX			.00	2.28000		SELL ONLY
Natixis Funds	Mirova International Sustainable Equity Fund Class Y	63872R343	MRVYX			.00	2.39000		SELL ONLY
Natixis Funds	Natixis Funds Trust I Oakmark International Fund Class A	63872R624	NOIAX	5.75		.25	1.38000		BUY,SELL
Natixis Funds	Natixis Funds Trust I Oakmark International Fund Class C	63872R616	NOICX		1.00	1.00	2.13000		SELL ONLY
Natixis Funds	Natixis Funds Trust I Oakmark International Fund Class N	63872R418	NIONX			.00	1.44000		SELL ONLY
Natixis Funds	Natixis Funds Trust I Oakmark International Fund Class Y	63872R426	NOIYX			.00	1.13000		SELL ONLY
Natixis Funds	Natixis Funds Trust I U.S. Equity Opportunities Fund Class A	63872R871	NEFSX	5.75		.25	1.09000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Natixis Funds	Natixis Funds Trust I U.S. Equity Opportunities Fund Class C	63872R855	NECCX		1.00	1.00	1.84000		SELL ONLY
Natixis Funds	Natixis Funds Trust I U.S. Equity Opportunities Fund Class N	63872R384	NESNX			.00	1.38000		SELL ONLY
Natixis Funds	Natixis Funds Trust I U.S. Equity Opportunities Fund Class Y	63872R848	NESYX			.00	0.84000		SELL ONLY
Natixis Funds	Natixis Funds Trust I Vaughan Nelson Small Cap Value Fund Class A	63872R756	NEFJX	5.75		.25	1.37000		SELL ONLY
Natixis Funds	Natixis Funds Trust I Vaughan Nelson Small Cap Value Fund Class C	63872R731	NEJCX		1.00	1.00	2.12000		SELL ONLY
Natixis Funds	Natixis Funds Trust I Vaughan Nelson Small Cap Value Fund Class N	63872R376	VSCNX			.00	1.08000		SELL ONLY
Natixis Funds	Natixis Funds Trust I Vaughan Nelson Small Cap Value Fund Class Y	63872R723	NEJYX			.00	1.12000		SELL ONLY
Natixis Funds	Natixis Funds Trust II Oakmark Fund Class A	63872T109	NEFOX	5.75		.25	1.15000		SELL ONLY
Natixis Funds	Natixis Funds Trust II Oakmark Fund Class C	63872T307	NECOX		1.00	1.00	1.90000		SELL ONLY
Natixis Funds	Natixis Funds Trust II Oakmark Fund Class N	63873P791	NOANX			.00	1.03000		SELL ONLY
Natixis Funds	Natixis Funds Trust II Oakmark Fund Class Y	63872T406	NEOYX			.00	0.90000		SELL ONLY
Natixis Funds	Natixis Funds Trust II Vaughan Nelson Mid Cap Fund Class A	63872T844	VNVAX	5.75		.25	1.25000		SELL ONLY
Natixis Funds	Natixis Funds Trust II Vaughan Nelson Mid Cap Fund Class C	63872T836	VNVCX		1.00	1.00	2.00000		SELL ONLY
Natixis Funds	Natixis Funds Trust II Vaughan Nelson Mid Cap Fund Class N	63872T430	VNVNX			.00	0.90000		SELL ONLY
Natixis Funds	Natixis Funds Trust II Vaughan Nelson Mid Cap Fund Class Y	63872T828	VNVYX			.00	1.00000		SELL ONLY
Natixis Funds	Natixis Funds Trust IV AEW Global Focused Real Estate Fund Class A	63872W102	NRFAX	5.75		.25	1.58000		SELL ONLY
Natixis Funds	Natixis Funds Trust IV AEW Global Focused Real Estate Fund Class C	63872W300	NRCFX		1.00	1.00	2.33000		SELL ONLY
Natixis Funds	Natixis Funds Trust IV AEW Global Focused Real Estate Fund Class N	63872W508	NRFNX			.00	1.24000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Natixis Funds	Natixis Funds Trust IV AEW Global Focused Real Estate Fund Class Y	63872W409	NRFYX			.00	1.33000		SELL ONLY
Natixis Funds	Natixis Target Retirement 2015 Fund Class N	63872W607	NSFBX			.00	3.43000		SELL ONLY
Natixis Funds	Natixis Target Retirement 2020 Fund Class N	63872W706	NSFDX			.00	4.30000		SELL ONLY
Natixis Funds	Natixis Target Retirement 2025 Fund Class N	63872W805	NSFEX			.00	2.29000		SELL ONLY
Natixis Funds	Natixis Target Retirement 2030 Fund Class N	63872W888	NSFFX			.00	1.56000		SELL ONLY
Natixis Funds	Natixis Target Retirement 2035 Fund Class N	63872W870	NSFGX			.00	1.52000		SELL ONLY
Natixis Funds	Natixis Target Retirement 2040 Fund Class N	63872W862	NSFHX			.00	1.50000		SELL ONLY
Natixis Funds	Natixis Target Retirement 2045 Fund Class N	63872W854	NSFJX			.00	1.63000		SELL ONLY
Natixis Funds	Natixis Target Retirement 2050 Fund Class N	63872W847	NSFKX			.00	1.67000		SELL ONLY
Natixis Funds	Natixis Target Retirement 2055 Fund Class N	63872W839	NSFLX			.00	1.90000		SELL ONLY
Natixis Funds	Natixis Target Retirement 2060 Fund Class N	63872W821	NSFMX			.00	2.35000		SELL ONLY
Natixis Funds	Natixis Vaughan Nelson Select Fund Class A	63872T513	VNSAX	5.75		.25	1.15000		SELL ONLY
Natixis Funds	Natixis Vaughan Nelson Select Fund Class C	63872T497	VNSCX		1.00	1.00	1.90000		SELL ONLY
Natixis Funds	Natixis Vaughan Nelson Select Fund Class N	63873P841	VNSNX			.00	1.15000		SELL ONLY
Natixis Funds	Natixis Vaughan Nelson Select Fund Class Y	63872T489	VNSYX			.00	0.90000		SELL ONLY
Neuberger Berman	Neuberger Berman Core Bond Fund A Class	64128K207	NCRAX	4.25		.25	0.79000		BUY,SELL
Neuberger Berman	Neuberger Berman Core Bond Fund C Class	64128K306	NCRCX		1.00	1.00	1.55000		SELL ONLY
Neuberger Berman	Neuberger Berman Core Bond Fund I Class	64128K405	NCRLX				0.41000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Neuberger Berman	Neuberger Berman Core Bond Fund Investor Class	64128K504	NCRIX			.25	0.94000		SELL ONLY
Neuberger Berman	Neuberger Berman Core Bond Fund R6 Class	64128K470	NRCRX				0.31000		SELL ONLY
Neuberger Berman	Neuberger Berman Dividend Growth Fund A Class	64122Q325	NDGAX	5.75		.25	1.41000		SELL ONLY
Neuberger Berman	Neuberger Berman Dividend Growth Fund C Class	64122Q317	NDGCX		1.00	1.00	2.11000		SELL ONLY
Neuberger Berman	Neuberger Berman Dividend Growth Fund I Class	64122Q291	NDGIX				0.99000		SELL ONLY
Neuberger Berman	Neuberger Berman Dividend Growth Fund R6 class	64122Q283	NRDGX				1.08000		SELL ONLY
Neuberger Berman	Neuberger Berman Emerging Markets Debt Fund A Class	64128K553	NERAX	4.25		.25	1.45000		SELL ONLY
Neuberger Berman	Neuberger Berman Emerging Markets Debt Fund C Class	64128K546	NERCX		1.00	1.00	2.15000		SELL ONLY
Neuberger Berman	Neuberger Berman Emerging Markets Debt Fund I Class	64128K538	NERIX				1.01000		SELL ONLY
Neuberger Berman	Neuberger Berman Emerging Markets Equity Fund A Class	641224431	NEMAX	5.75		.25	1.88000		SELL ONLY
Neuberger Berman	Neuberger Berman Emerging Markets Equity Fund C Class	641224423	NEMCX		1.00	1.00	2.62000		SELL ONLY
Neuberger Berman	Neuberger Berman Emerging Markets Equity Fund I Class	641224415	NEMIX				1.50000		SELL ONLY
Neuberger Berman	Neuberger Berman Emerging Markets Equity Fund R3 Class	64122Q804	NEMRX			.50	2.25000		SELL ONLY
Neuberger Berman	Neuberger Berman Emerging Markets Equity Fund R6 Class	64122Q549	NREMX				1.34000		SELL ONLY
Neuberger Berman	Neuberger Berman Equity Income Fund A Class	641224522	NBHAX	5.75		.25	1.09000		BUY,SELL
Neuberger Berman	Neuberger Berman Equity Income Fund C Class	641224514	NBHCX		1.00	1.00	1.84000		SELL ONLY
Neuberger Berman	Neuberger Berman Equity Income Fund I Class	641224498	NBHIX				0.73000		SELL ONLY
Neuberger Berman	Neuberger Berman Equity Income Fund R3 Class	64122Q887	NBHRX			.50	1.37000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Neuberger Berman	Neuberger Berman Floating Rate Income Fund A Class	64128K728	NFIAX	4.25		.25	1.11000		SELL ONLY
Neuberger Berman	Neuberger Berman Floating Rate Income Fund C Class	64128K710	NFICX		1.00	1.00	1.84000		SELL ONLY
Neuberger Berman	Neuberger Berman Floating Rate Income Fund I Class	64128K694	NFIIX				0.71000		SELL ONLY
Neuberger Berman	Neuberger Berman Focus Fund A Class	64122Q879	NFAAX	5.75		.25	1.14000		SELL ONLY
Neuberger Berman	Neuberger Berman Focus Fund Advisor Class	64122M209	NBFAX			.25	1.35000		SELL ONLY
Neuberger Berman	Neuberger Berman Focus Fund C Class	64122Q861	NFACX		1.00	1.00	1.96000		SELL ONLY
Neuberger Berman	Neuberger Berman Focus Fund I Class	64122Q853	NFALX				0.76000		SELL ONLY
Neuberger Berman	Neuberger Berman Focus Fund Investor Class	641224506	NBSSX				0.91000		SELL ONLY
Neuberger Berman	Neuberger Berman Focus Fund Trust Class	640917506	NBFCX			.10	1.11000		SELL ONLY
Neuberger Berman	Neuberger Berman Genesis Fund Advisor Class	64122M605	NBGAX			.25	1.34000		SELL ONLY
Neuberger Berman	Neuberger Berman Genesis Fund Institutional Class	641233200	NBGIX				0.84000		SELL ONLY
Neuberger Berman	Neuberger Berman Genesis Fund Investor Class	641224100	NBGNX				0.99000		SELL ONLY
Neuberger Berman	Neuberger Berman Genesis Fund R6 Class	64122Q531	NRGSX				0.74000		SELL ONLY
Neuberger Berman	Neuberger Berman Genesis Fund Trust Class	640917100	NBGEX				1.09000		SELL ONLY
Neuberger Berman	Neuberger Berman High Income Bond Fund A Class	64128K702	NHIAX	4.25		.25	1.10000		BUY,SELL
Neuberger Berman	Neuberger Berman High Income Bond Fund C Class	64128K801	NHICX		1.00	1.00	1.87000		SELL ONLY
Neuberger Berman	Neuberger Berman High Income Bond Fund I Class	64128K868	NHILX				0.71000		SELL ONLY
Neuberger Berman	Neuberger Berman High Income Bond Fund Investor Class	64128K850	NHINX				0.89000		SELL ONLY
Neuberger Berman	Neuberger Berman High Income Bond Fund R3 Class	64128K876	NHIRX			.50	1.36000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Neuberger Berman	Neuberger Berman High Income Bond Fund R6 Class	64128K579	NRHIX				0.61000		SELL ONLY
Neuberger Berman	Neuberger Berman International Equity Fund A Class	64122Q598	NIQAX	5.75		.25	1.45000		SELL ONLY
Neuberger Berman	Neuberger Berman International Equity Fund C Class	64122Q580	NIQCX		1.00	1.00	2.19000		SELL ONLY
Neuberger Berman	Neuberger Berman International Equity Fund I Class	641224811	NBIIX				1.06000		SELL ONLY
Neuberger Berman	Neuberger Berman International Equity Fund Investor Class	64122Q564	NIQVX				1.26000		SELL ONLY
Neuberger Berman	Neuberger Berman International Equity Fund R6 Class	64122Q457	NRIQX				0.97000		SELL ONLY
Neuberger Berman	Neuberger Berman International Equity Fund Trust Class	64122Q556	NIQTX				1.32000		SELL ONLY
Neuberger Berman	Neuberger Berman International Select Fund A Class	641224621	NBNAX	5.75		.25	1.34000		SELL ONLY
Neuberger Berman	Neuberger Berman International Select Fund C Class	641224613	NBNCX		1.00	1.00	2.13000		SELL ONLY
Neuberger Berman	Neuberger Berman International Select Fund I Class	641224761	NILIX				0.96000		SELL ONLY
Neuberger Berman	Neuberger Berman International Select Fund R3 Class	641224274	NBNRX			.50	1.61000		SELL ONLY
Neuberger Berman	Neuberger Berman International Select Fund R6 class	64122Q333	NRILX				0.95000		SELL ONLY
Neuberger Berman	Neuberger Berman International Select Fund Trust Class	641224753	NILTX			.10	1.38000		SELL ONLY
Neuberger Berman	Neuberger Berman International Small Cap Fund A Class	64122Q275	NIOAX	5.75		.25	10.13000		SELL ONLY
Neuberger Berman	Neuberger Berman International Small Cap Fund C Class	64122Q267	NIOCX		1.00	1.00	10.83000		SELL ONLY
Neuberger Berman	Neuberger Berman International Small Cap Fund I Class	64122Q259	NIOIX				9.65000		SELL ONLY
Neuberger Berman	Neuberger Berman International Small Cap Fund R6 class	64122Q242	NIORX				9.59000		SELL ONLY
Neuberger Berman	Neuberger Berman Intrinsic Value Fund A Class	64122Q507	NINAX	5.75		.25	1.31000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Neuberger Berman	Neuberger Berman Intrinsic Value Fund C Class	64122Q606	NINCX		1.00	1.00	2.07000		SELL ONLY
Neuberger Berman	Neuberger Berman Intrinsic Value Fund I Class	64122Q705	NINLX				0.95000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Growth Fund A Class	641224340	NGDAX	5.75		.25	1.02000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Growth Fund Advisor Class	64122M308	NBGUX			.25	1.44000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Growth Fund C class	641224332	NGDCX		1.00	1.00	1.77000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Growth Fund I Class	641224290	NGDLX				0.66000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Growth Fund Investor Class	641224209	NGUAX				0.81000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Growth Fund R3 Class	641224316	NGDRX			.50	1.29000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Growth Fund R6 Class	64122Q226	NGRDX				0.60000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Growth Fund Trust Class	640917209	NBGTX			.10	1.01000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Value Fund A Class	64122Q796	NPNAX	5.75		.25	0.98000		BUY,SELL
Neuberger Berman	Neuberger Berman Large Cap Value Fund A Class	64122M506	NBPBX			.25	1.10000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Value Fund C Class	64122Q788	NPNCX		1.00	1.00	1.72000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Value Fund I Class	641224787	NBPIX				0.60000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Value Fund Investor Class	641224407	NPRTX				0.76000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Value Fund R3 Class	64122Q770	NPNRX			.50	1.24000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Value Fund R6 Class	64122Q390	NRLCX				0.51000		SELL ONLY
Neuberger Berman	Neuberger Berman Large Cap Value Fund Trust Class	640917407	NBPTX			.10	0.95000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Neuberger Berman	Neuberger Berman Long Short Fund A Class	64128R400	NLSAX	5.75		.25	1.68000		SELL ONLY
Neuberger Berman	Neuberger Berman Long Short Fund C Class	64128R509	NLSCX		1.00	1.00	2.43000		SELL ONLY
Neuberger Berman	Neuberger Berman Long Short Fund Institutional Class	64128R608	NLSIX				1.32000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Growth Fund A Class	641224266	NMGAX	5.75		.25	1.06000		BUY,SELL
Neuberger Berman	Neuberger Berman Mid Cap Growth Fund A Class	64122M407	NBMBX			.25	1.20000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Growth Fund C Class	641224258	NMGCX		1.00	1.00	1.81000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Growth Fund I Class	641224662	NBMLX				0.69000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Growth Fund Investor Class	641224308	NMANX				0.85000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Growth Fund R3 Class	641224233	NMGRX			.50	1.32000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Growth Fund R6 Class	64122Q523	NRMGX				0.59000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Growth Fund Trust Class	640917308	NBMTX				0.94000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Intrinsic Value Fund A Class	64122Q739	NBRAX	5.75		.25	1.78000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Intrinsic Value Fund C Class	64122Q721	NBRCX		1.00	1.00	2.51000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Intrinsic Value Fund I Class	641224779	NBRTX				1.36000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Intrinsic Value Fund Investor Class	641224886	NBRVX				1.53000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Intrinsic Value Fund R3 Class	64122Q713	NBRRX			.50	2.06000		SELL ONLY
Neuberger Berman	Neuberger Berman Mid Cap Intrinsic Value Fund Trust Class	640917886	NBREX			.10	1.73000		SELL ONLY
Neuberger Berman	Neuberger Berman Multi-Cap Opportunities Fund A Class	64122Q101	NMUAX	5.75		.25	1.25000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Neuberger Berman	Neuberger Berman Multi-Cap Opportunities Fund C Class	64122Q200	NMUCX		1.00	1.00	2.00000		SELL ONLY
Neuberger Berman	Neuberger Berman Multi-Cap Opportunities Fund I Class	64122Q309	NMULX				0.88000		SELL ONLY
Neuberger Berman	Neuberger Berman Municipal High Income Fund A Class	64128K454	NMHAX	4.25		.25	1.70000		SELL ONLY
Neuberger Berman	Neuberger Berman Municipal High Income Fund C Class	64128K447	NMHCX		1.00	1.00	3.65000		SELL ONLY
Neuberger Berman	Neuberger Berman Municipal High Income Fund I Class	64128K439	NMHIX				1.04000		SELL ONLY
Neuberger Berman	Neuberger Berman Municipal Impact Fund I Class	64128K587	NMIIX				0.86000		SELL ONLY
Neuberger Berman	Neuberger Berman Municipal Intermediate Bond Fund A Class	64128K686	NMNAX	4.25		.25	0.90000		SELL ONLY
Neuberger Berman	Neuberger Berman Municipal Intermediate Bond Fund C Class	64128K678	NMN CX		1.00	1.00	1.80000		SELL ONLY
Neuberger Berman	Neuberger Berman Municipal Intermediate Bond Fund I Class	64128K660	NMNLX				0.49000		SELL ONLY
Neuberger Berman	Neuberger Berman Municipal Intermediate Bond Fund Investor Class	64128K843	NMUIX				0.70000		SELL ONLY
Neuberger Berman	Neuberger Berman Real Estate Fund A Class	64122Q762	NREAX	5.75		.25	1.40000		SELL ONLY
Neuberger Berman	Neuberger Berman Real Estate Fund C Class	64122Q754	NRE CX		1.00	1.00	2.16000		SELL ONLY
Neuberger Berman	Neuberger Berman Real Estate Fund I Class	641224795	NBR IX				1.03000		SELL ONLY
Neuberger Berman	Neuberger Berman Real Estate Fund R3 Class	64122Q747	NRERX			.50	1.66000		SELL ONLY
Neuberger Berman	Neuberger Berman Real Estate Fund R6 Class	64122Q515	NRREX				0.94000		SELL ONLY
Neuberger Berman	Neuberger Berman Real Estate Fund Trust Class	641224845	NBRFX			.10	1.39000		SELL ONLY
Neuberger Berman	Neuberger Berman Small Cap Growth Fund A Class	641224225	NSNAX	5.75		.25	1.53000		BUY,SELL
Neuberger Berman	Neuberger Berman Small Cap Growth Fund A Class	641224829	NBMVX			.25	1.68000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Neuberger Berman	Neuberger Berman Small Cap Growth Fund C class	641224217	NSNCX		1.00	1.00	2.25000		SELL ONLY
Neuberger Berman	Neuberger Berman Small Cap Growth Fund I Class	641224530	NBSMX				1.13000		SELL ONLY
Neuberger Berman	Neuberger Berman Small Cap Growth Fund Investor Class	641224803	NBMIX				1.34000		SELL ONLY
Neuberger Berman	Neuberger Berman Small Cap Growth Fund R3 Class	641224183	NSNRX			.50	1.77000		SELL ONLY
Neuberger Berman	Neuberger Berman Small Cap Growth Fund Trust Class	640917803	NBMOX			.10	1.51000		SELL ONLY
Neuberger Berman	Neuberger Berman Strategic Income Fund A Class	64128K777	NSTAX	2.50		.25	0.98000		BUY,SELL
Neuberger Berman	Neuberger Berman Strategic Income Fund C Class	64128K769	NSTCX		1.00	1.00	1.72000		SELL ONLY
Neuberger Berman	Neuberger Berman Strategic Income Fund I Class	64128K751	NSTLX				0.60000		SELL ONLY
Neuberger Berman	Neuberger Berman Strategic Income Fund R6 Class	64128K561	NRSIX				0.50000		SELL ONLY
Neuberger Berman	Neuberger Berman Strategic Income Fund Trust Class	64128K744	NSTTX			.10	1.03000		SELL ONLY
Neuberger Berman	Neuberger Berman Sustainable Equity Fund A Class	641224175	NRAAX	5.75		.25	1.07000		BUY,SELL
Neuberger Berman	Neuberger Berman Sustainable Equity Fund C Class	641224167	NRACX		1.00	1.00	1.82000		SELL ONLY
Neuberger Berman	Neuberger Berman Sustainable Equity Fund I Class	641224654	NBSLX				0.70000		SELL ONLY
Neuberger Berman	Neuberger Berman Sustainable Equity Fund Investor Class	641224605	NBSRX				0.88000		SELL ONLY
Neuberger Berman	Neuberger Berman Sustainable Equity Fund R3 Class	641224142	NRARX			.50	1.32000		SELL ONLY
Neuberger Berman	Neuberger Berman Sustainable Equity Fund R6 Class	64122Q499	NRSRX				0.61000		SELL ONLY
Neuberger Berman	Neuberger Berman Sustainable Equity Fund Trust Class	640917860	NBSTX			.10	1.06000		SELL ONLY
New Age Alpha Advisors LLC	NAA Allocation Fund A	64157H109	TVRAX	4.75		.25	1.47000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New Age Alpha Advisors LLC	NAA Allocation Fund C	64157H208	TVRCX		1.00	1.00	2.18000		SELL ONLY
New Age Alpha Advisors LLC	NAA Allocation Fund Institutional	64157H307	TVRIX				1.18000		SELL ONLY
New Age Alpha Advisors LLC	NAA Allocation Fund P	64157H406	TVFRX			.25	1.43000		SELL ONLY
New Age Alpha Advisors LLC	NAA Large Cap Value A	64157H505	SECIX	4.75		.25	1.37000		SELL ONLY
New Age Alpha Advisors LLC	NAA Large Cap Value C	64157H604	SEGIX		1.00	1.00	2.11000		SELL ONLY
New Age Alpha Advisors LLC	NAA Large Cap Value Institutional	64157H703	GILCX				1.06000		SELL ONLY
New Age Alpha Advisors LLC	NAA Large Cap Value P	64157H802	SEGPX			.25	1.40000		SELL ONLY
New Age Alpha Advisors LLC	NAA Large Core Fund Class A	64157H885	SECEX	4.75		.25	1.25000		SELL ONLY
New Age Alpha Advisors LLC	NAA Large Core Fund Class C	64157H877	SFECX		1.00	1.00	2.00000		SELL ONLY
New Age Alpha Advisors LLC	NAA Large Core Fund Class Institutional	64157H869	GILIX				1.01000		SELL ONLY
New Age Alpha Advisors LLC	NAA Large Core Fund Class P	64157H851	SFEPX			.25	1.34000		SELL ONLY
New Age Alpha Advisors LLC	NAA Mid Growth A	64157H794	SECUX	4.75		.25	1.44000		SELL ONLY
New Age Alpha Advisors LLC	NAA Mid Growth C	64157H786	SUFCX		1.00	1.00	2.20000		SELL ONLY
New Age Alpha Advisors LLC	NAA Mid Growth Fund Institutional	64157H778	GIUIX				1.26000		SELL ONLY
New Age Alpha Advisors LLC	NAA Mid Growth P	64157H760	SEUPX			.25	1.50000		SELL ONLY
New Age Alpha Advisors LLC	NAA Opportunity Fund A	64157H752	SAOAX	4.75		.25	1.81000		SELL ONLY
New Age Alpha Advisors LLC	NAA Opportunity Fund C	64157H745	SAOCX		1.00	1.00	2.60000		SELL ONLY
New Age Alpha Advisors LLC	NAA Opportunity Fund Institutional	64157H737	SAOIX				1.47000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New Age Alpha Advisors LLC	NAA Opportunity Fund P	64157H729	SAOSX			.25	1.80000		SELL ONLY
New Age Alpha Advisors LLC	NAA Risk Managed Real Estate A	64157H711	GURAX	4.75		.25	1.61000		SELL ONLY
New Age Alpha Advisors LLC	NAA Risk Managed Real Estate C	64157H695	GURCX		1.00	1.00	2.30000		SELL ONLY
New Age Alpha Advisors LLC	NAA Risk Managed Real Estate P	64157H679	GURPX			.25	1.65000		SELL ONLY
New Age Alpha Advisors LLC	NAA Risk Managed RI Estt Inst	64157H687	GURIX				1.30000		SELL ONLY
New Age Alpha Advisors LLC	NAA SMid Cap Value A	64157H661	SEVAX	4.75		.25	1.19000		SELL ONLY
New Age Alpha Advisors LLC	NAA SMid Cap Value C	64157H653	SEVSX		1.00	1.00	1.98000		SELL ONLY
New Age Alpha Advisors LLC	NAA SMid Cap Value Institutional	64157H646	SVUIX				0.97000		SELL ONLY
New Age Alpha Advisors LLC	NAA SMid Cap Value P	64157H638	SEVPX			.25	1.27000		SELL ONLY
New Age Alpha Advisors LLC	NAA World Equity Income Fund Class A	64157H620	SEQAX	4.75		.25	1.56000		SELL ONLY
New Age Alpha Advisors LLC	NAA World Equity Income Fund Class C	64157H612	SFGCX		1.00	1.00	2.28000		SELL ONLY
New Age Alpha Advisors LLC	NAA World Equity Income Fund Class P	64157H588	SEQPX			.25	1.54000		SELL ONLY
New Age Alpha Advisors LLC	NAA World Equity Income Fund Institutional Class	64157H596	SEWIX				1.24000		SELL ONLY
New York Life Investment Management LLC	NYLI Balanced Class A	56063U190	MBNAX	3.00		.25	1.08000		SELL ONLY
New York Life Investment Management LLC	NYLI Balanced Class C	56063U174	MBACX		1.00	1.00	2.20000		SELL ONLY
New York Life Investment Management LLC	NYLI Balanced Class I	56063U166	MBAIX				0.83000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI Balanced Class R6	56064L496	MBERX				0.74000		SELL ONLY
New York Life Investment Management LLC	NYLI Balanced Investor Class	56063U117	MBINX	2.50		.25	1.45000		SELL ONLY
New York Life Investment Management LLC	NYLI Candriam Emerging Markets Debt Class A	56062F475	MGHAX	4.50		.25	1.40000		SELL ONLY
New York Life Investment Management LLC	NYLI Candriam Emerging Markets Debt Class C	56062F244	MHYCX		1.00	1.00	2.62000		SELL ONLY
New York Life Investment Management LLC	NYLI Candriam Emerging Markets Debt Class I	56062X559	MGHIX				1.15000		SELL ONLY
New York Life Investment Management LLC	NYLI Candriam Emerging Markets Debt Investor Class	56062X492	MGHHX	4.00		.25	1.87000		SELL ONLY
New York Life Investment Management LLC	NYLI Candriam Emerging Markets Equity Class A	56064L561	MCYAX	5.50		.25	1.58000		SELL ONLY
New York Life Investment Management LLC	NYLI Candriam Emerging Markets Equity Class C	56064L546	MCYCX		1.00	1.00	2.46000		SELL ONLY
New York Life Investment Management LLC	NYLI Candriam Emerging Markets Equity Class I	56064L538	MCYIX				1.32000		SELL ONLY
New York Life Investment Management LLC	NYLI Candriam Emerging Markets Equity Class R6	56064L520	MCYSX				1.25000		SELL ONLY
New York Life Investment Management LLC	NYLI Candriam Emerging Markets Equity Investor Class	56064L553	MCYVX	5.00		.25	1.71000		SELL ONLY
New York Life Investment Management LLC	NYLI CBRE Global Infrastructure Class A	56064L330	VCRAx	5.50		.25	1.28000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI CBRE Global Infrastructure Class C	56064L314	VCRCX			1.00	1.00	2.10000	SELL ONLY
New York Life Investment Management LLC	NYLI CBRE Global Infrastructure Class I	56064L298	VCRIX				1.03000		SELL ONLY
New York Life Investment Management LLC	NYLI CBRE Global Infrastructure Class R6	56064L280	VCRQX				0.92000		SELL ONLY
New York Life Investment Management LLC	NYLI CBRE Global Infrastructure Investor Class	56064L322	VCRVX	5.50		.25	1.35000		SELL ONLY
New York Life Investment Management LLC	NYLI CBRE Real Estate Class A	56064L272	CLARX	5.50		.25	1.43000		SELL ONLY
New York Life Investment Management LLC	NYLI CBRE Real Estate Class C	56064L256	CRCRX			1.00	2.09000		SELL ONLY
New York Life Investment Management LLC	NYLI CBRE Real Estate Class I	56064L249	CRARX				1.18000		SELL ONLY
New York Life Investment Management LLC	NYLI CBRE Real Estate Class R6	56064L223	VREQX				0.85000		SELL ONLY
New York Life Investment Management LLC	NYLI CBRE Real Estate Fund Investor Class	56064L264	CRVRX	5.00		.25	1.34000		SELL ONLY
New York Life Investment Management LLC	NYLI Conservative Allocation Class A	56063U208	MCKAX	3.00		.25	0.86000		SELL ONLY
New York Life Investment Management LLC	NYLI Conservative Allocation Class C	56063U406	MCKCX			1.00	1.00	1.93000	SELL ONLY
New York Life Investment Management LLC	NYLI Conservative Allocation Class I	56063U505	MCKIX				0.61000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI Conservative Allocation Investor Class	56063U109	MCKNX	2.50		.25	1.17000		SELL ONLY
New York Life Investment Management LLC	NYLI Conservative ETF Allocation Class A	56065F605	MNEAX	3.00		.25	1.03000		SELL ONLY
New York Life Investment Management LLC	NYLI Conservative ETF Allocation Class C	56065F803	MNEKX		1.00	1.00	1.83000		SELL ONLY
New York Life Investment Management LLC	NYLI Conservative ETF Allocation Class I	56065F886	MNELX				0.78000		SELL ONLY
New York Life Investment Management LLC	NYLI Conservative ETF Allocation Class R3	56065F878	MNERX			.50	1.38000		SELL ONLY
New York Life Investment Management LLC	NYLI Cushing? MLP Premier Class A	56064B563	CSHAX	5.50		.25	1.50000		SELL ONLY
New York Life Investment Management LLC	NYLI Cushing? MLP Premier Class C	56064B548	CSHCX		1.00	1.00	2.24000		SELL ONLY
New York Life Investment Management LLC	NYLI Cushing? MLP Premier Class I	56064B530	CSHZX				1.25000		SELL ONLY
New York Life Investment Management LLC	NYLI Cushing? MLP Premier Investor Class	56064B555	CSHNX	5.00		.25	1.49000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch Capital Growth Class A	56063N824	MECDX	5.50		.25	1.23000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch Capital Growth Class C	56063N790	MECEX		1.00	1.00	2.15000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch Capital Growth Class I	56063N782	MECFX				0.98000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI Epoch Capital Growth Investor Class	56063N816	MECVX	5.00		.25	1.41000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch Global Equity Yield Class A	56063J880	EPSPX	5.50		.25	1.14000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch Global Equity Yield Class C	56063J872	EPSKX		1.00	1.00	1.93000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch Global Equity Yield Class I	56063J864	EPSYX				0.89000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch Global Equity Yield Class R6	56064B647	EPSRX				0.75000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch Global Equity Yield Investor Class	56063J856	EPSIX	5.00		.25	1.18000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch International Choice Class A	56063J732	ICEVX	5.50		.25	1.23000		BUY,SELL
New York Life Investment Management LLC	NYLI Epoch International Choice Class C	56063J724	ICEWX		1.00	1.00	2.46000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch International Choice Class I	56063J716	ICEUX				0.98000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch International Choice Investor Class	56063J740	ICELX	5.00		.25	1.71000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch U.S. Equity Yield Class A	56063J104	EPLPX	5.50		.25	1.05000		BUY,SELL
New York Life Investment Management LLC	NYLI Epoch U.S. Equity Yield Class C	56063J203	EPLKX		1.00	1.00	2.13000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI Epoch U.S. Equity Yield Class I	56063J302	EPLCX				0.80000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch U.S. Equity Yield Class R6	56064L702	EPLDX				0.74000		SELL ONLY
New York Life Investment Management LLC	NYLI Epoch U.S. Equity Yield Investor Class	56063J401	EPLIX	5.00		.25	1.38000		SELL ONLY
New York Life Investment Management LLC	NYLI Equity Allocation Class A	56063U703	MGXAX	3.00		.25	0.95000		SELL ONLY
New York Life Investment Management LLC	NYLI Equity Allocation Class C	56063U885	MGXCX		1.00	1.00	2.03000		SELL ONLY
New York Life Investment Management LLC	NYLI Equity Allocation Class I	56063U877	MGXIX				0.70000		SELL ONLY
New York Life Investment Management LLC	NYLI Equity Allocation Investor Class	56063U604	MGXNX	2.50		.25	1.28000		SELL ONLY
New York Life Investment Management LLC	NYLI Equity ETF Allocation Class A	56065F753	MWFA X	3.00		.25	0.90000		SELL ONLY
New York Life Investment Management LLC	NYLI Equity ETF Allocation Class C	56065F738	MWFC X		1.00	1.00	1.67000		SELL ONLY
New York Life Investment Management LLC	NYLI Equity ETF Allocation Class I	56065F720	MWFIX				0.65000		SELL ONLY
New York Life Investment Management LLC	NYLI Equity ETF Allocation Class R3	56065F712	MWFQ X			.50	1.25000		SELL ONLY
New York Life Investment Management LLC	NYLI Fiera SMID Growth Class A	56065F472	APSRX	5.50		.25	1.23000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI Fiera SMID Growth Class C	56065F464	APSLX			1.00	1.00	2.06000	SELL ONLY
New York Life Investment Management LLC	NYLI Fiera SMID Growth Class I	56065F456	APSGX				0.98000		SELL ONLY
New York Life Investment Management LLC	NYLI Fiera SMID Growth Class R6	56065F449	APSDX				0.83000		SELL ONLY
New York Life Investment Management LLC	NYLI Floating Rate Class A	56063J377	MXFAX	3.00		.25	0.96000		SELL ONLY
New York Life Investment Management LLC	NYLI Floating Rate Class C	56063J351	MXFCX		1.00	1.00	1.85000		SELL ONLY
New York Life Investment Management LLC	NYLI Floating Rate Class I	56063J344	MXFIX				0.71000		SELL ONLY
New York Life Investment Management LLC	NYLI Floating Rate Class R6	56064L793	MXFEX				0.64000		SELL ONLY
New York Life Investment Management LLC	NYLI Floating Rate Investor Class	56063J385	MXFNX	2.50		.25	1.10000		SELL ONLY
New York Life Investment Management LLC	NYLI Growth Allocation Class A	56063U794	MGDAX	3.00		.25	0.89000		SELL ONLY
New York Life Investment Management LLC	NYLI Growth Allocation Class C	56063U778	MGDCX		1.00	1.00	1.98000		SELL ONLY
New York Life Investment Management LLC	NYLI Growth Allocation Class I	56063U760	MGDIX				0.64000		SELL ONLY
New York Life Investment Management LLC	NYLI Growth Allocation Investor Class	56063U810	MGDNX	2.50		.25	1.23000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI Growth ETF Allocation Class A	56065F811	MOEAX	3.00		.25	0.87000		SELL ONLY
New York Life Investment Management LLC	NYLI Growth ETF Allocation Class C	56065F787	MOECX		1.00	1.00	1.64000		SELL ONLY
New York Life Investment Management LLC	NYLI Growth ETF Allocation Class I	56065F779	MOEIX				0.62000		SELL ONLY
New York Life Investment Management LLC	NYLI Growth ETF Allocation Class R3	56065F761	MOERX			.50	1.22000		SELL ONLY
New York Life Investment Management LLC	NYLI Income Builder Class A	56062F798	MTRAX	3.00		.25	1.02000		BUY,SELL
New York Life Investment Management LLC	NYLI Income Builder Class C	56062F426	MCTRX		1.00	1.00	2.06000		SELL ONLY
New York Life Investment Management LLC	NYLI Income Builder Class I	56062X849	MTOIX				0.77000		SELL ONLY
New York Life Investment Management LLC	NYLI Income Builder Class R6	56063N683	MTODX				0.68000		SELL ONLY
New York Life Investment Management LLC	NYLI Income Builder Investor Class	56062X369	MTINX	2.50		.25	1.30000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Arizona Muni Class A	56064R105	AZTAX	3.00		.25	1.01000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Arizona Muni Class C	56064R204	AZTCX		1.00	.50	1.56000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Arizona Muni Class I	56064R303	AZTYX				0.74000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI MacKay Arizona Muni Class Z	56064R402	AZTFX	3.00		.15	0.89000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay California Muni Class C	56064B688	MSCCX		1.00	.50	1.04000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay California Muni Class I	56064B670	MCOIX				0.51000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay California Muni Class R6	56064L769	MSODX				0.48000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay California Muni Investor Class	56064B712	MSCVX	2.50		.25	0.79000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Colorado Muni Class A	56064R501	COTAX	3.00		.25	1.02000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Colorado Muni Class C	56064R600	COTCX		1.00	.50	1.66000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Colorado Muni Class I	56064R709	COTYX				0.84000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Colorado Muni Class Z	56064R808	COTFX	3.00		.08	0.91500		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Convertible Class A	56062F780	MCOAX	5.50		.25	0.94000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Convertible Class C	56062F434	MCCVX		1.00	1.00	1.93000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Convertible Class I	56063N600	MCNVX				0.69000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI MacKay Convertible Investor Class	56062X526	MCINX	5.00		.25	1.18000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay High Yield Corporate Bond Class A	56062F772	MHCAX	4.50		.25	0.96000		BUY,SELL
New York Life Investment Management LLC	NYLI MacKay High Yield Corporate Bond Class C	56062F376	MYHCX		1.00	1.00	1.89000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay High Yield Corporate Bond Class I	56062X708	MHYIX				0.71000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay High Yield Corporate Bond Class R2	56063N501	MHYRX			.25	1.06000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay High Yield Corporate Bond Class R3	56063N857	MHYTX			.50	1.31000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay High Yield Corporate Bond Class R6	56063N881	MHYSX				0.56000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay High Yield Corporate Bond Investor Class	56062X476	MHHIX	4.00		.25	1.14000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay High Yield Muni Bond Class C	56063U539	MMHD X		1.00	1.00	1.65000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay High Yield Muni Bond Class I	56063U521	MMHIX				0.63000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay High Yield Muni Bond Class R6	56064L751	MMHEX				0.57000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay High Yield Muni Bond Investor Class	56063U554	MMHVX	2.50		.25	0.90000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI MacKay New York Muni Class C	56063U232	MNOCX			.50	1.04000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay New York Muni Class I	56063U224	MNOIX				0.52000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay New York Muni Class R6	56064L744	MNODX				0.49000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay New York Muni Investor Class	56063U216	MNOVX	2.50		.25	0.79000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Oregon Muni Class C	56064R873	ORTCX		1.00	.50	1.10000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Oregon Muni Class I	56064R865	ORTYX				0.55000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Oregon Muni Class Z	56064R857	ORTFX	3.00		.15	0.71000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Short Duration High Income Class A	56064B753	MDHAX	3.00		.25	1.05000		BUY,SELL
New York Life Investment Management LLC	NYLI MacKay Short Duration High Income Class C	56064B746	MDHCX		1.00	1.00	1.82000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Short Duration High Income Class I	56064B738	MDHIX				0.80000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Short Duration High Income Investor Class	56064B761	MDHVX	2.50		.25	1.07000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Short Term Muni Class A	56063J146	MSTAX	1.00		.25	0.68000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI MacKay Short Term Muni Class I	56063J138	MSTIX				0.43000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Short Term Muni Class R6	56064L736	MSTEX				0.39000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Short Term Muni Investor Class	56063J153	MYTBX	0.50		.25	1.30000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Strategic Bond Class A	56062F657	MASAX	4.50		.25	1.02000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Strategic Bond Class C	56062F350	MSICX		1.00	1.00	2.01000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Strategic Bond Class I	56062X500	MSDIX				0.76000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Strategic Bond Class R6	56063N725	MSYEX				0.65000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Strategic Bond Investor Class	56062X518	MSYDX	4.00		.25	1.26000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Strategic Muni Allocation Class C	56064L363	MTFFX		1.00	.50	1.03000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Strategic Muni Allocation Class I	56064L355	MTFGX				0.50000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Strategic Muni Allocation Class R6	56064L348	MTFHX				0.45000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Strategic Muni Allocation Class Z	56064R790	MTFZX	3.00		.15	0.66000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI MacKay Strategic Muni Allocation Investor Class	56064L371	MTFEX	2.50		.25	0.79000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Tax Free Bond Class C	56062F327	MTFCX		1.00	.50	1.03000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Tax Free Bond Class I	56063N709	MTBIX				0.49000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Tax Free Bond Class R6	56063N717	MTBDX				0.43000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Tax Free Bond Investor Class	56062X377	MKINX	2.50		.25	0.77000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Total Return Bond Class A	56063J237	MTMAX	4.50		.25	0.84000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Total Return Bond Class C	56063J211	MTMCX		1.00	1.00	1.85000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Total Return Bond Class I	56063J195	MTMIX				0.35000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Total Return Bond Class R6	56064B431	MTRDX				0.35000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Total Return Bond Investor Class	56063J245	MTMNX	4.00		.25	1.11000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay U.S. Infrastructure Bond Class A	56062F764	MGVAX	3.00		.25	0.93000		BUY,SELL
New York Life Investment Management LLC	NYLI MacKay U.S. Infrastructure Bond Class C	56062F384	MGVCX		1.00	1.00	2.06000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI MacKay U.S. Infrastructure Bond Class I	56062X609	MGOIX				0.68000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay U.S. Infrastructure Bond Class R6	56063N733	MGVDX				0.53000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay U.S. Infrastructure Bond Investor Class	56062X484	MGVNX	2.50		.25	1.31000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Utah Muni Class C	56064R832	UTACX		1.00	.50	1.54000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Utah Muni Class I	56064R824	UTAYX				0.75000		SELL ONLY
New York Life Investment Management LLC	NYLI MacKay Utah Muni Class Z	56064R816	UTAHX	3.00		.20	0.96000		SELL ONLY
New York Life Investment Management LLC	NYLI Moderate Allocation Class A	56063U851	MMRAX	3.00		.25	0.86000		SELL ONLY
New York Life Investment Management LLC	NYLI Moderate Allocation Class C	56063U836	MMRC X		1.00	1.00	1.95000		SELL ONLY
New York Life Investment Management LLC	NYLI Moderate Allocation Class I	56063U828	MMRIX				0.61000		SELL ONLY
New York Life Investment Management LLC	NYLI Moderate Allocation Investor Class	56063U869	MMRD X	2.50		.25	1.20000		SELL ONLY
New York Life Investment Management LLC	NYLI Moderate ETF Allocation Class A	56065F860	MDAAX	3.00		.25	0.81000		SELL ONLY
New York Life Investment Management LLC	NYLI Moderate ETF Allocation Class C	56065F845	MDAKX		1.00	1.00	1.62000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI Moderate ETF Allocation Class I	56065F837	MDAIX				0.56000		SELL ONLY
New York Life Investment Management LLC	NYLI Moderate ETF Allocation Class R3	56065F829	MDARX			.50	1.16000		SELL ONLY
New York Life Investment Management LLC	NYLI PineStone Global Equity Class A	56065F274	FCGEX	5.50		.25	1.71000		SELL ONLY
New York Life Investment Management LLC	NYLI PineStone Global Equity Class C	56065F266	FCGYX		1.00	1.00	2.73000		SELL ONLY
New York Life Investment Management LLC	NYLI PineStone Global Equity Class I	56065F258	FCGIX				1.49000		SELL ONLY
New York Life Investment Management LLC	NYLI PineStone Global Equity Class R6	56065F241	FCGMX				1.45000		SELL ONLY
New York Life Investment Management LLC	NYLI PineStone International Equity Class A	56065F431	FCIRX	5.50		.25	1.19000		SELL ONLY
New York Life Investment Management LLC	NYLI PineStone International Equity Class C	56065F423	FCICX		1.00	1.00	2.47000		SELL ONLY
New York Life Investment Management LLC	NYLI PineStone International Equity Class I	56065F415	FCIUX				0.93000		SELL ONLY
New York Life Investment Management LLC	NYLI PineStone International Equity Class R6	56065F357	FCIWX				0.89000		SELL ONLY
New York Life Investment Management LLC	NYLI PineStone International Equity Investor Class	56065F399	FCIKX	5.00		.25	1.72000		SELL ONLY
New York Life Investment Management LLC	NYLI PineStone U.S. Equity Class A	56065F332	FCUEX	5.50		.25	0.90000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI PineStone U.S. Equity Class C	56065F324	FCUCX			1.00	1.00	1.85000	SELL ONLY
New York Life Investment Management LLC	NYLI PineStone U.S. Equity Class I	56065F316	FCUIX				0.65000		SELL ONLY
New York Life Investment Management LLC	NYLI PineStone U.S. Equity Class R6	56065F290	FCUDX				0.61000		SELL ONLY
New York Life Investment Management LLC	NYLI S&P 500 Index Class A	56063J179	MSXAX	1.50		.25	0.51000		SELL ONLY
New York Life Investment Management LLC	NYLI S&P 500 Index Class I	56063J161	MSPIX				0.26000		SELL ONLY
New York Life Investment Management LLC	NYLI S&P 500 Index Investor Class	56063J187	MYS PX	1.00		.25	0.80000		SELL ONLY
New York Life Investment Management LLC	NYLI Short Term Bond Class A	56063J260	MIXAX	1.00		.25	0.71000		SELL ONLY
New York Life Investment Management LLC	NYLI Short Term Bond Class I	56063J252	MIXIX				0.46000		SELL ONLY
New York Life Investment Management LLC	NYLI Short Term Bond Investor Class	56063J278	MIXNX	0.50		.25	1.26000		SELL ONLY
New York Life Investment Management LLC	NYLI Winslow Large Cap Growth Class A	56062X674	MLAAX	5.50		.25	0.95000		SELL ONLY
New York Life Investment Management LLC	NYLI Winslow Large Cap Growth Class C	56062X658	MLACX		1.00	1.00	1.89000		SELL ONLY
New York Life Investment Management LLC	NYLI Winslow Large Cap Growth Class I	56062X641	MLAIX				0.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI Winslow Large Cap Growth Class R1	56062X633	MLRRX				0.80000		SELL ONLY
New York Life Investment Management LLC	NYLI Winslow Large Cap Growth Class R2	56062X625	MLRTX			.25	1.05000		SELL ONLY
New York Life Investment Management LLC	NYLI Winslow Large Cap Growth Class R3	56062X617	MLGRX			.50	1.30000		SELL ONLY
New York Life Investment Management LLC	NYLI Winslow Large Cap Growth Class R6	56063N808	MLRSX				0.63000		SELL ONLY
New York Life Investment Management LLC	NYLI Winslow Large Cap Growth Investor Class	56062X450	MLINX	5.00		.25	1.14000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Enduring Capital Class A	56062F541	MSOAX	5.50		.25	0.93000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Enduring Capital Class C	56062F269	MGOC X		1.00	1.00	1.90000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Enduring Capital Class I	56062X716	MSOIX				0.68000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Enduring Capital Class R6	56063N774	MCSDX				0.61000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Enduring Capital Investor Class	56062X534	MCSSX	5.00		.25	1.15000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Growth Class A	56064B829	KLGA	5.50		.25	1.03000		BUY,SELL
New York Life Investment Management LLC	NYLI WMC Growth Class C	56064B795	KLGCX		1.00	1.00	2.16000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI WMC Growth Class I	56064B787	KLGIX				0.78000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Growth Class R6	56064L504	KLGDY				0.72000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Growth Investor Class	56064B837	KLGNX	5.00		.25	1.41000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC International Research Equity Class A	56063U653	MYITX	5.50		.25	1.22000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC International Research Equity Class C	56063U646	MYICX		1.00	1.00	2.39000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC International Research Equity Class I	56063U638	MYIIX				0.97000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC International Research Equity Investor Class	56063U661	MYINX	5.00		.25	1.64000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Small Companies Class A	56064B100	MOPAX	5.50		.25	1.21000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Small Companies Class C	56064B308	MOPCX		1.00	1.00	2.41000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Small Companies Class I	56064B407	MOPIX				0.96000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Small Companies Investor Class	56063U125	MOINX	5.00		.25	1.66000		SELL ONLY
New York Life Investment Management LLC	NYLI WMC Value Class A	56062F210	MAPAX	5.50		.25	1.02000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
New York Life Investment Management LLC	NYLI WMC Value Class C	56062F186	MMPCX			1.00	1.00	2.02000	SELL ONLY
New York Life Investment Management LLC	NYLI WMC Value Class I	56062F228	MUBFX					0.77000	SELL ONLY
New York Life Investment Management LLC	NYLI WMC Value Class R6	56063N766	MMPDX					0.70000	SELL ONLY
New York Life Investment Management LLC	NYLI WMC Value Investor Class	56062X443	MSMIX	5.00		.25	1.27000		SELL ONLY
Nicholas	Nicholas Equity Income Fund Class I	653734103	NSEIX				0.70000		SELL ONLY
Nicholas	Nicholas Fund	653735100	NICSX				0.70000		SELL ONLY
Nicholas	Nicholas II Fund Class I	653740100	NCTWX				0.59000		SELL ONLY
Nicholas	Nicholas II Fund Class N	653740209	NNTWX			.22	1.15000		SELL ONLY
Nicholas	Nicholas Limited Edition Fund Class Institutional	653738104	NCLEX				0.85000		SELL ONLY
Nicholas	Nicholas Limited Edition Fund Class N	653738203	NNLEX			.23	0.90000		SELL ONLY
North Square	North Square Altrinsic International Equity Fund Class I	66263L767	NSIVX				1.14000		SELL ONLY
North Square	North Square Core Plus Bond Fund Class I	66263L676	STTIX				1.23000		SELL ONLY
North Square	North Square Dynamic Small Cap Fund A	66263L502	ORSAX	5.75		.25	1.54000		SELL ONLY
North Square	North Square Dynamic Small Cap Fund Class I	66263L825	ORSIX				1.18000		SELL ONLY
North Square	North Square Kennedy MicroCap Fund Class I	66263L593	NKMCX				1.68000		SELL ONLY
North Square	North Square McKee Bond Fund Class I	66263L643	NMKYX				0.58000		SELL ONLY
North Square	North Square Multi Strategy Fund Class A	66263L700	ORILX	5.75		.25	1.56000		SELL ONLY
North Square	North Square Multi Strategy Fund Class I	66263L833	PORYX				1.32000		SELL ONLY
North Square	North Square Preferred and Income Securities Fund Class I	66263L882	ORDNX				1.04000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
North Square	North Square Select Small Cap Fund Class I	66263L775	ADVGX				1.14000		SELL ONLY
North Square	North Square Spectrum Alpha Fund Class A	66263L304	ORIGX	5.75		.25	1.88000		SELL ONLY
North Square	North Square Spectrum Alpha Fund Class I	66263L858	ORIYX				1.67000		SELL ONLY
North Square	North Square Strategic Income Fund I	66263L791	ADVNX				0.89000		SELL ONLY
North Square	North Square Tactical Defensive Fund Class A	66263L718	ETFRX	5.75		.25	2.07000		SELL ONLY
North Square	North Square Tactical Defensive Fund Class C	66263L692	ETFZX		1.00	1.00	2.85000		SELL ONLY
North Square	North Square Tactical Defensive Fund Class I	66263L684	ETFWX				1.87000		SELL ONLY
North Square	North Square Tactical Growth Fund Class A Shares	66263L734	ETFAX	5.75		.25	1.77000		SELL ONLY
North Square	North Square Tactical Growth Fund Class C Shares	66263L742	ETFCX		1.00	1.00	2.52000		SELL ONLY
North Square	North Square Tactical Growth Fund Class I Shares	66263L726	ETFOX				1.52000		SELL ONLY
Northern Funds	Northern Active M Emerging Market Equity Fund	665162483	NMMEX				1.42000	2.00	SELL ONLY
Northern Funds	Northern Active M International Equity Fund	665162558	NMIEX				0.94000	2.00	SELL ONLY
Northern Funds	Northern Arizona Tax-Exempt Fund	665162764	NOAZX				0.67000		SELL ONLY
Northern Funds	Northern Bond Index Fund	665162533	NOBOX				0.12000		SELL ONLY
Northern Funds	Northern California Intermediate Tax-Exempt Fund	665162749	NCITX				0.53000		SELL ONLY
Northern Funds	Northern California Tax-Exempt Fund	665162731	NCATX				0.56000		SELL ONLY
Northern Funds	Northern Core Bond Fund	665162376	NOCBX				0.52000		SELL ONLY
Northern Funds	Northern Emerging Markets Equity Index Fund	665162582	NOEMX				0.30000	2.00	SELL ONLY
Northern Funds	Northern Fixed Income Fund	665162806	NOFIX				0.51000		SELL ONLY
Northern Funds	Northern Global Real Estate Index Fund	665162541	NGREX				0.48000	2.00	SELL ONLY
Northern Funds	Northern Global Tactical Asset Allocation Fund	665162392	BBALX				0.68000		SELL ONLY
Northern Funds	Northern High Yield Fixed Income Fund	665162699	NHFIX				0.65000	2.00	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Northern Funds	Northern Income Equity Fund	665162202	NOIEX				0.63000		SELL ONLY
Northern Funds	Northern Intermediate Tax-Exempt Fund	665162871	NOITX				0.51000		SELL ONLY
Northern Funds	Northern International Equity Fund	665162509	NOIGX				0.65000	2.00	SELL ONLY
Northern Funds	Northern International Equity Index Fund	665130209	NOINX				0.16000	2.00	SELL ONLY
Northern Funds	Northern Large Cap Core Fund	665162590	NOLCX				0.55000		SELL ONLY
Northern Funds	Northern Large Cap Value Fund	665162632	NOLVX				0.76000		SELL ONLY
Northern Funds	Northern Limited Term Tax-Exempt Fund	665162525	NSITX				0.51000		SELL ONLY
Northern Funds	Northern Limited Term U.S. Government Fund	665162756	NSIUX				0.64000		SELL ONLY
Northern Funds	Northern Mid Cap Index Fund	665130100	NOMIX				0.16000		SELL ONLY
Northern Funds	Northern Multi-Manager Global Listed Infrastructure Fund	665162384	NMFX				1.04000	2.00	SELL ONLY
Northern Funds	Northern Multi-Manager Global Real Estate Fund	665162475	NMMGX				1.09000	2.00	SELL ONLY
Northern Funds	Northern Multi-Manager High Yield Opportunity Fund	665162442	NMHYX				0.77000	2.00	SELL ONLY
Northern Funds	Northern Short Bond Fund	665162368	BSBAX				0.45000		SELL ONLY
Northern Funds	Northern Small Cap Core Fund Class K	665162293	NSCKX				0.49000		SELL ONLY
Northern Funds	Northern Small Cap Index Fund	665162723	NSIDX				0.16000		SELL ONLY
Northern Funds	Northern Small Cap Value Fund	665162400	NOSGX				0.66000		SELL ONLY
Northern Funds	Northern Stock Index Fund	665162772	NOSIX				0.09000		SELL ONLY
Northern Funds	Northern Tax-Advantaged Ultra-Short Fixed Income Fund	665162459	NTAUX				0.29000		SELL ONLY
Northern Funds	Northern Tax-Exempt Fund	665162863	NOTEX				0.50000		SELL ONLY
Northern Funds	Northern U.S. Government Fund	665162889	NOUGX				0.63000		SELL ONLY
Northern Funds	Northern Ultra-Short Fixed Income Fund	665162467	NUSFX				0.30000		SELL ONLY
Northern Funds	Northern World Selection Index Fund Class K	665162277	NSRKX				0.25000	2.00	SELL ONLY
Nuveen	Nuveen 5-15 Year Laddered Tax Exempt Bond Fund A Class	886315878	TIXRX	3.00		.25	0.62000		SELL ONLY
Nuveen	Nuveen 5-15 Year Laddered Tax Exempt Bond Fund I Class	87245P627	TIXHX				0.44000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen 5-15 Year Laddered Tax Exempt Bond Fund R6 Class	886315860	TITIX				0.34000		SELL ONLY
Nuveen	Nuveen All-American Municipal Bond Fund Class C	67065Q665	FACCX		1.00	1.00	1.56000		SELL ONLY
Nuveen	Nuveen All-American Municipal Bond Fund Class I	67065Q855	FAARX			.00	0.56000		SELL ONLY
Nuveen	Nuveen All-American Municipal Bond Fund Class R6	67065Q566	FAAWX			.00	0.53000		SELL ONLY
Nuveen	Nuveen Arizona Municipal Bond Fund Class C	67065L633	FZCCX		1.00	1.00	1.63000		SELL ONLY
Nuveen	Nuveen Arizona Municipal Bond Fund Class I	67065L401	NMARX			.00	0.63000		SELL ONLY
Nuveen	Nuveen Bond Index Fund A Class	87245M616	TBILX	3.75		.25	0.39000		SELL ONLY
Nuveen	Nuveen Bond Index Fund I Class	87245P692	TBIAX				0.17000		SELL ONLY
Nuveen	Nuveen Bond Index Fund Premier Class	87245M590	TBIPX			.15	0.22000		SELL ONLY
Nuveen	Nuveen Bond Index Fund R6 Class	87245M848	TBIIX				0.07000		SELL ONLY
Nuveen	Nuveen Bond Index Fund Retirement Class	87245M855	TBIRX				0.32000		SELL ONLY
Nuveen	Nuveen California High Yield Municipal Bond Fund Class A	67065N589	NCHAX	4.20		.20	1.83000		SELL ONLY
Nuveen	Nuveen California High Yield Municipal Bond Fund Class C	67065N480	NAWSX		1.00	1.00	2.63000		SELL ONLY
Nuveen	Nuveen California High Yield Municipal Bond Fund Class I	67065N555	NCHRX			.00	1.63000		SELL ONLY
Nuveen	Nuveen California Municipal Bond Fund Class C	67065N548	NAKFX		1.00	1.00	1.54000		SELL ONLY
Nuveen	Nuveen California Municipal Bond Fund Class I	67065N407	NCSPX			.00	0.54000		SELL ONLY
Nuveen	Nuveen Colorado Municipal Bond Fund Class C	67065L625	FAFKX		1.00	1.00	1.59000		SELL ONLY
Nuveen	Nuveen Colorado Municipal Bond Fund Class I	67065L880	FCORX			.00	0.59000		SELL ONLY
Nuveen	Nuveen Connecticut Municipal Bond Fund Class C	67065N530	FDCDX		1.00	1.00	1.60000		SELL ONLY
Nuveen	Nuveen Connecticut Municipal Bond Fund Class I	67065N852	FCTRX			.00	0.60000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Core Bond Fund A Class	886315712	TIORX	3.75		.25	0.59000		SELL ONLY
Nuveen	Nuveen Core Bond Fund I Class	87245P718	TIBHX				0.43000		SELL ONLY
Nuveen	Nuveen Core Bond Fund Premier Class	87245M491	TIDPX			.15	0.44000		SELL ONLY
Nuveen	Nuveen Core Bond Fund R6 Class	87244W607	TIBDX				0.29000		SELL ONLY
Nuveen	Nuveen Core Bond Fund Retirement Class	886315720	TIDRX				0.54000		SELL ONLY
Nuveen	Nuveen Core Equity Fund A Class	886315761	TIIRX	5.75		.25	0.73000		SELL ONLY
Nuveen	Nuveen Core Equity Fund I Class	87245R185	TGIHX				0.50000		SELL ONLY
Nuveen	Nuveen Core Equity Fund Premier Class	87245M103	TRPGX			.15	0.56000		SELL ONLY
Nuveen	Nuveen Core Equity Fund R6 Class	87244W409	TIGRX				0.40000		SELL ONLY
Nuveen	Nuveen Core Equity Fund Retirement Class	87244W763	TRGIX				0.65000		SELL ONLY
Nuveen	Nuveen Core Impact Bond Fund A Class	87245R714	TSBRX	3.75		.25	0.62000		BUY,SELL
Nuveen	Nuveen Core Impact Bond Fund I Class	87245P635	TSBHX				0.43000		SELL ONLY
Nuveen	Nuveen Core Impact Bond Fund Premier Class	87245R680	TSBPX			.15	0.62000		SELL ONLY
Nuveen	Nuveen Core Impact Bond Fund R6 Class	87245R672	TSBIX				0.36000		SELL ONLY
Nuveen	Nuveen Core Impact Bond Fund Retirement Class	87245R698	TSBBX				0.61000		SELL ONLY
Nuveen	Nuveen Core Plus Bond Fund A Class	886315407	TCBPX	3.75		.25	0.63000		BUY,SELL
Nuveen	Nuveen Core Plus Bond Fund I Class	87245P684	TCBHX				0.39000		SELL ONLY
Nuveen	Nuveen Core Plus Bond Fund Premier Class	87245M483	TBPPX			.15	0.45000		SELL ONLY
Nuveen	Nuveen Core Plus Bond Fund R6 Class	886315506	TIBFX				0.30000		SELL ONLY
Nuveen	Nuveen Core Plus Bond Fund Retirement Class	886315605	TCBRX				0.55000		SELL ONLY
Nuveen	Nuveen Credit Income Fund Class A	670678218	FJSIX	4.75		.25	1.21000		BUY,SELL
Nuveen	Nuveen Credit Income Fund Class C	670678267	FCSIX		1.00	1.00	1.96000		SELL ONLY
Nuveen	Nuveen Credit Income Fund Class I	670678234	FJSYX			.00	0.96000		SELL ONLY
Nuveen	Nuveen Dividend Growth Fund Class A	67065W662	NSBAX	5.75		.25	0.92000		BUY,SELL

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Dividend Growth Fund Class C	67065W647	NSBCX			1.00	1.00	1.67000	SELL ONLY
Nuveen	Nuveen Dividend Growth Fund Class I	67065W639	NSBRX				.00	0.67000	SELL ONLY
Nuveen	Nuveen Dividend Growth Fund Class R6	670725365	NSBFX				.00	0.62000	SELL ONLY
Nuveen	Nuveen Dividend Value Fund Class A	670678887	FFEIX	5.75			.25	0.98000	BUY,SELL
Nuveen	Nuveen Dividend Value Fund Class C	670678317	FFECX		1.00	1.00	1.00	1.73000	SELL ONLY
Nuveen	Nuveen Dividend Value Fund Class I	670678879	FAQIX				.00	0.73000	SELL ONLY
Nuveen	Nuveen Dividend Value Fund Class R6	670690130	FFEFX				.00	0.64000	SELL ONLY
Nuveen	Nuveen Emerging Markets Debt Fund A Class	87245R623	TEDLX	4.00			.25	0.97000	SELL ONLY
Nuveen	Nuveen Emerging Markets Debt Fund I Class	87245R318	TEDHX					0.72000	SELL ONLY
Nuveen	Nuveen Emerging Markets Debt Fund Premier Class	87245R599	TEDPX				.15	0.81000	SELL ONLY
Nuveen	Nuveen Emerging Markets Debt Fund R6 Class	87245R581	TEDNX					0.63000	SELL ONLY
Nuveen	Nuveen Emerging Markets Debt Fund Retirement Class	87245R615	TEDTX					0.88000	SELL ONLY
Nuveen	Nuveen Emerging Markets Equity Fund A Class	87245M293	TEMRX	5.75			.25	1.31000	SELL ONLY
Nuveen	Nuveen Emerging Markets Equity Fund I Class	87245R375	TEMHX					0.99000	SELL ONLY
Nuveen	Nuveen Emerging Markets Equity Fund Premier Class	87245M277	TEMPX				.15	1.05000	SELL ONLY
Nuveen	Nuveen Emerging Markets Equity Fund R6 Class	87245M269	TEMLX					0.90000	SELL ONLY
Nuveen	Nuveen Emerging Markets Equity Fund Retirement Class	87245M285	TEMSX					1.15000	SELL ONLY
Nuveen	Nuveen Emerging Markets Equity Index Fund A Class	87245M251	TEQKX	5.75			.25	0.54000	SELL ONLY
Nuveen	Nuveen Emerging Markets Equity Index Fund I Class	87245M129	TEQHX					0.29000	SELL ONLY
Nuveen	Nuveen Emerging Markets Equity Index Fund Premier Class	87245M236	TEQPX				.15	0.31000	SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Emerging Markets Equity Index Fund R6 Class	87245M228	TEQLX				0.16000		SELL ONLY
Nuveen	Nuveen Emerging Markets Equity Index Fund Retirement Class	87245M244	TEQSX				0.41000		SELL ONLY
Nuveen	Nuveen Equity Index Fund A Class	886315746	TINRX	5.75		.25	0.37000		SELL ONLY
Nuveen	Nuveen Equity Index Fund I Class	87245R276	TEIHX				0.15000		SELL ONLY
Nuveen	Nuveen Equity Index Fund Premier Class	87245M525	TCEPX			.15	0.20000		SELL ONLY
Nuveen	Nuveen Equity Index Fund R6 Class	87244W508	TIEIX				0.05000		SELL ONLY
Nuveen	Nuveen Equity Index Fund Retirement Class	886315753	TIQRX				0.30000		SELL ONLY
Nuveen	Nuveen Equity Long/Short Fund Class A	67065W183	NELAX	5.75		.25	2.37000		SELL ONLY
Nuveen	Nuveen Equity Long/Short Fund Class C	67065W175	NELCX		1.00	1.00	3.12000		SELL ONLY
Nuveen	Nuveen Equity Long/Short Fund Class I	67065W167	NELIX			.00	2.12000		SELL ONLY
Nuveen	Nuveen Flexible Income Fund Class A	670700608	NWQA X	4.75		.25	1.04000		BUY,SELL
Nuveen	Nuveen Flexible Income Fund Class C	670700707	NWQC X		1.00	1.00	1.79000		SELL ONLY
Nuveen	Nuveen Flexible Income Fund Class I	670700806	NWQIX			.00	0.79000		SELL ONLY
Nuveen	Nuveen Flexible Income Fund Class R6	670700798	NQWF X			.00	0.73000		SELL ONLY
Nuveen	Nuveen Floating Rate Income Fund Class A	67066D713	NFRAX	3.00		.25	0.99000		SELL ONLY
Nuveen	Nuveen Floating Rate Income Fund Class C	67066D697	NFFCX		1.00	1.00	1.74000		SELL ONLY
Nuveen	Nuveen Floating Rate Income Fund Class I	67066D689	NFRIX			.00	0.74000		SELL ONLY
Nuveen	Nuveen Floating Rate Income Fund Class R6	67066D556	NFRFX			.00	0.67000		SELL ONLY
Nuveen	Nuveen Georgia Municipal Bond Fund Class C	67065P717	FGCCX		1.00	1.00	1.65000		SELL ONLY
Nuveen	Nuveen Georgia Municipal Bond Fund Class I	67065P808	FGARX			.00	0.65000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Global Dividend Growth Fund Class A	670725464	NUGAX	5.75		.25	1.83000		BUY,SELL
Nuveen	Nuveen Global Dividend Growth Fund Class C	670725456	NUGCX		1.00	1.00	2.58000		SELL ONLY
Nuveen	Nuveen Global Dividend Growth Fund Class I	670725449	NUGIX			.00	1.58000		SELL ONLY
Nuveen	Nuveen Global Equity Income Fund Class A	67064Y321	NQGAX	5.75		.25	1.27000		SELL ONLY
Nuveen	Nuveen Global Equity Income Fund Class C	67064Y313	NQGCX		1.00	1.00	2.02000		SELL ONLY
Nuveen	Nuveen Global Equity Income Fund Class I	67064Y297	NQGIX			.00	1.02000		SELL ONLY
Nuveen	Nuveen Global Infrastructure Fund Class A	670690494	FGIAX	5.75		.25	1.30000		SELL ONLY
Nuveen	Nuveen Global Infrastructure Fund Class C	670690528	FGNCX		1.00	1.00	2.05000		SELL ONLY
Nuveen	Nuveen Global Infrastructure Fund Class I	670690510	FGIYX			.00	1.05000		SELL ONLY
Nuveen	Nuveen Global Infrastructure Fund Class R6	670693852	FGIWX			.00	0.98000		SELL ONLY
Nuveen	Nuveen Global Real Estate Securities Fund Class A	670700665	NGJAX	5.75		.25	1.83000		SELL ONLY
Nuveen	Nuveen Global Real Estate Securities Fund Class C	670700657	NGJCX		1.00	1.00	2.58000		SELL ONLY
Nuveen	Nuveen Global Real Estate Securities Fund Class I	670700640	NGJIX			.00	1.58000		SELL ONLY
Nuveen	Nuveen Global Real Estate Securities Fund Class R6	670700632	NGJFX			.00	1.49000		SELL ONLY
Nuveen	Nuveen Green Bond Fund A Class	87249N842	TGROX	3.75		.25	0.97000		SELL ONLY
Nuveen	Nuveen Green Bond Fund I Class	87249N875	TGRKX				0.75000		SELL ONLY
Nuveen	Nuveen Green Bond Fund Premier Class	87249N867	TGRLX			.15	0.81000		SELL ONLY
Nuveen	Nuveen Green Bond Fund R6 Class	87249N883	TGRNX				0.66000		SELL ONLY
Nuveen	Nuveen Green Bond Fund Retirement Class	87249N859	TGRMX				0.91000		SELL ONLY
Nuveen	Nuveen High Yield Fund A Class	886315811	TIYRX	4.75		.25	0.67000		SELL ONLY
Nuveen	Nuveen High Yield Fund I Class	87245P676	TIHHX				0.48000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen High Yield Fund Premier Class	87245M467	TIHPX			.15	0.52000		SELL ONLY
Nuveen	Nuveen High Yield Fund R6 Class	886315795	TIHYX				0.37000		SELL ONLY
Nuveen	Nuveen High Yield Fund Retirement Class	886315787	TIHRX				0.62000		SELL ONLY
Nuveen	Nuveen High Yield Income Fund Class A	67066D754	NCOAX	4.75		.25	1.08000		BUY,SELL
Nuveen	Nuveen High Yield Income Fund Class C	67066D747	NCFCX		1.00	1.00	1.83000		SELL ONLY
Nuveen	Nuveen High Yield Income Fund Class I	67066D739	NCOIX			.00	0.83000		SELL ONLY
Nuveen	Nuveen High Yield Income Fund Class R6	67066D564	NCSRX			.00	0.69000		SELL ONLY
Nuveen	Nuveen High Yield Municipal Bond Fund Class A	67065Q749	NHMAX	4.20		.20	2.11000		SELL ONLY
Nuveen	Nuveen High Yield Municipal Bond Fund Class C	67065Q624	NHCCX		1.00	1.00	2.91000		SELL ONLY
Nuveen	Nuveen High Yield Municipal Bond Fund Class I	67065Q772	NHMRX			.00	1.91000		SELL ONLY
Nuveen	Nuveen High Yield Municipal Bond Fund Class R6	67065Q558	NHMFY			.00	1.87000		SELL ONLY
Nuveen	Nuveen Inflation Linked Bond Fund A Class	87244W474	TCILX	3.75		.25	0.56000		SELL ONLY
Nuveen	Nuveen Inflation Linked Bond Fund I Class	87245P668	TIHIX				0.36000		SELL ONLY
Nuveen	Nuveen Inflation Linked Bond Fund Premier Class	87245M459	TIKPX			.15	0.41000		SELL ONLY
Nuveen	Nuveen Inflation Linked Bond Fund R6 Class	87244W482	TIILX				0.26000		SELL ONLY
Nuveen	Nuveen Inflation Linked Bond Fund Retirement Class	886315696	TIKRX				0.51000		SELL ONLY
Nuveen	Nuveen Intermediate Duration Municipal Bond Fund Class C	67065Q640	NNCCX		1.00	1.00	1.45000		SELL ONLY
Nuveen	Nuveen Intermediate Duration Municipal Bond Fund Class I	67065Q400	NUVBX			.00	0.45000		SELL ONLY
Nuveen	Nuveen International Bond Fund A Class	87245P486	TIBEX	4.00		.25	1.01000		SELL ONLY
Nuveen	Nuveen International Bond Fund I Class	87245P528	TIBNX				0.73000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen International Bond Fund Premier Class	87245P510	TIBLX			.15	0.83000		SELL ONLY
Nuveen	Nuveen International Bond Fund R6 Class	87245P536	TIBWX				0.63000		SELL ONLY
Nuveen	Nuveen International Bond Fund Retirement Class	87245P494	TIBVX				0.87000		SELL ONLY
Nuveen	Nuveen International Dividend Growth Fund Class A	670725423	NUIAX	5.75		.25	3.96000		SELL ONLY
Nuveen	Nuveen International Dividend Growth Fund Class C	670725415	NUICX		1.00	1.00	4.71000		SELL ONLY
Nuveen	Nuveen International Dividend Growth Fund Class I	670725399	NUIIX			.00	3.71000		SELL ONLY
Nuveen	Nuveen International Equity Fund A Class	886315779	TIERX	5.75		.25	0.83000		BUY,SELL
Nuveen	Nuveen International Equity Fund I Class	87245R342	TIEHX				0.58000		SELL ONLY
Nuveen	Nuveen International Equity Fund Premier Class	87245M582	TREPX			.15	0.61000		SELL ONLY
Nuveen	Nuveen International Equity Fund R6 Class	87244W102	TIIEX				0.46000		SELL ONLY
Nuveen	Nuveen International Equity Fund Retirement Class	87244W748	TRERX				0.71000		SELL ONLY
Nuveen	Nuveen International Equity Index Fund I Class	87245M111	TCIHX				0.18000		SELL ONLY
Nuveen	Nuveen International Equity Index Fund Premier Class	87245M319	TRIPX			.15	0.20000		SELL ONLY
Nuveen	Nuveen International Equity Index Fund R6 Class	87244W516	TCIEX				0.05000		SELL ONLY
Nuveen	Nuveen International Equity Index Fund Retirement Class	87244W490	TRIEX				0.30000		SELL ONLY
Nuveen	Nuveen International Opportunities Fund A Class	87245R664	TIOSX	5.75		.25	0.95000		BUY,SELL
Nuveen	Nuveen International Opportunities Fund I Class	87245R334	TIOHX				0.69000		SELL ONLY
Nuveen	Nuveen International Opportunities Fund Premier Class	87245R649	TIOPX			.15	0.76000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen International Opportunities Fund R6 Class	87245R631	TIOIX				0.60000		SELL ONLY
Nuveen	Nuveen International Opportunities Fund Retirement Class	87245R656	TIOTX				0.85000		SELL ONLY
Nuveen	Nuveen International Responsible Equity Fund A Class	87245R516	TSORX	5.75		.25	0.66000		SELL ONLY
Nuveen	Nuveen International Responsible Equity Fund I Class	87245R326	TSOHX				0.45000		SELL ONLY
Nuveen	Nuveen International Responsible Equity Fund Premier Class	87245R482	TSOPX			.15	0.50000		SELL ONLY
Nuveen	Nuveen International Responsible Equity Fund R6 Class	87245R474	TSONX				0.34000		SELL ONLY
Nuveen	Nuveen International Responsible Equity Fund Retirement Class	87245R490	TSOEX				0.59000		SELL ONLY
Nuveen	Nuveen International Value Fund Class A	67065W506	NAIGX	5.75		.25	1.31000		SELL ONLY
Nuveen	Nuveen International Value Fund Class C	67065W704	NCIGX		1.00	1.00	2.06000		SELL ONLY
Nuveen	Nuveen International Value Fund Class I	67065W803	NGRRX			.00	1.06000		SELL ONLY
Nuveen	Nuveen Kansas Municipal Bond Fund Class C	67065R671	FAFOX		1.00	1.00	1.64000		SELL ONLY
Nuveen	Nuveen Kansas Municipal Bond Fund Class I	67065R408	FRKSX			.00	0.64000		SELL ONLY
Nuveen	Nuveen Kentucky Municipal Bond Fund Class C	67065R663	FKCCX		1.00	1.00	1.93000		SELL ONLY
Nuveen	Nuveen Kentucky Municipal Bond Fund Class I	67065R804	FKYRX			.00	0.93000		SELL ONLY
Nuveen	Nuveen Large Cap Growth Fund A Class	87244W342	TIRTX	5.75		.25	0.74000		SELL ONLY
Nuveen	Nuveen Large Cap Growth Fund I Class	87245R177	TILHX				0.50000		SELL ONLY
Nuveen	Nuveen Large Cap Growth Fund Premier Class	87245M574	TILPX			.15	0.56000		SELL ONLY
Nuveen	Nuveen Large Cap Growth Fund R6 Class	87244W334	TILGX				0.41000		SELL ONLY
Nuveen	Nuveen Large Cap Growth Fund Retirement Class	87244W326	TILRX				0.66000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Large Cap Growth Index Fund I Class	87245R292	TRIHX				0.16000		SELL ONLY
Nuveen	Nuveen Large Cap Growth Index Fund R6 Class	87244W680	TILIX				0.05000		SELL ONLY
Nuveen	Nuveen Large Cap Growth Index Fund Retirement Class	87244W672	TRIRX				0.30000		SELL ONLY
Nuveen	Nuveen Large Cap Responsible Equity Fund A Class	886315738	TICRX	5.75		.25	0.49000		BUY,SELL
Nuveen	Nuveen Large Cap Responsible Equity Fund I Class	87245R128	TICHX				0.26000		SELL ONLY
Nuveen	Nuveen Large Cap Responsible Equity Fund Premier Class	87245M517	TRPSX			.15	0.32000		SELL ONLY
Nuveen	Nuveen Large Cap Responsible Equity Fund R6 Class	87244W300	TISCX				0.17000		SELL ONLY
Nuveen	Nuveen Large Cap Responsible Equity Fund Retirement Class	87244W755	TRSCX				0.42000		SELL ONLY
Nuveen	Nuveen Large Cap Select Fund Class A	670690296	FLRAX	5.75		.25	1.27000		SELL ONLY
Nuveen	Nuveen Large Cap Select Fund Class C	670690312	FLYCX		1.00	1.00	2.02000		SELL ONLY
Nuveen	Nuveen Large Cap Select Fund Class I	670690338	FLRYX			.00	1.02000		SELL ONLY
Nuveen	Nuveen Large Cap Value Fund A Class	87244W466	TCLCX	5.75		.25	0.75000		SELL ONLY
Nuveen	Nuveen Large Cap Value Fund I Class	87245R169	TRLHX				0.50000		SELL ONLY
Nuveen	Nuveen Large Cap Value Fund Premier Class	87245M566	TRCPX			.15	0.56000		SELL ONLY
Nuveen	Nuveen Large Cap Value Fund R6 Class	87244W730	TRLIX				0.41000		SELL ONLY
Nuveen	Nuveen Large Cap Value Fund Retirement Class	87244W722	TRLCX				0.66000		SELL ONLY
Nuveen	Nuveen Large Cap Value Index Fund I Class	87245R284	THCVX				0.17000		SELL ONLY
Nuveen	Nuveen Large Cap Value Index Fund R6 Class	87244W664	TILVX				0.05000		SELL ONLY
Nuveen	Nuveen Large Cap Value Index Fund Retirement Class	87244W656	TRCVX				0.30000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Large Cap Value Opportunities Fund Class A	67064Y628	NQCAX	5.75		.25	1.61000		SELL ONLY
Nuveen	Nuveen Large Cap Value Opportunities Fund Class C	67064Y594	NQCCX		1.00	1.00	2.36000		SELL ONLY
Nuveen	Nuveen Large Cap Value Opportunities Fund Class I	67064Y586	NQCRX			.00	1.36000		SELL ONLY
Nuveen	Nuveen Lifecycle 2010 Fund I Class	87245P205	TCLHX				0.60000		SELL ONLY
Nuveen	Nuveen Lifecycle 2010 Fund Premier Class	87245M434	TCTPX			.15	0.67000		SELL ONLY
Nuveen	Nuveen Lifecycle 2010 Fund R6 Class	886315662	TCTIX				0.52000		SELL ONLY
Nuveen	Nuveen Lifecycle 2010 Fund Retirement Class	87244W458	TCLEX				0.77000		SELL ONLY
Nuveen	Nuveen Lifecycle 2015 Fund I Class	87245P304	TCNHX				0.61000		SELL ONLY
Nuveen	Nuveen Lifecycle 2015 Fund Premier Class	87245M426	TCFPX			.15	0.68000		SELL ONLY
Nuveen	Nuveen Lifecycle 2015 Fund R6 Class	886315654	TCNIX				0.53000		SELL ONLY
Nuveen	Nuveen Lifecycle 2015 Fund Retirement Class	87244W441	TCLIX				0.78000		SELL ONLY
Nuveen	Nuveen Lifecycle 2020 Fund I Class	87245P403	TCWHX				0.62000		SELL ONLY
Nuveen	Nuveen Lifecycle 2020 Fund Premier Class	87245M418	TCWPX			.15	0.68000		SELL ONLY
Nuveen	Nuveen Lifecycle 2020 Fund R6 Class	886315647	TCWIX				0.53000		SELL ONLY
Nuveen	Nuveen Lifecycle 2020 Fund Retirement Class	87244W433	TCLTX				0.78000		SELL ONLY
Nuveen	Nuveen Lifecycle 2025 Fund I Class	87245P502	TCQHX				0.63000		SELL ONLY
Nuveen	Nuveen Lifecycle 2025 Fund Premier Class	87245M392	TCQPX			.15	0.70000		SELL ONLY
Nuveen	Nuveen Lifecycle 2025 Fund R6 Class	886315639	TCYIX				0.55000		SELL ONLY
Nuveen	Nuveen Lifecycle 2025 Fund Retirement Class	87244W425	TCLFX				0.80000		SELL ONLY
Nuveen	Nuveen Lifecycle 2030 Fund I Class	87245P601	TCHHX				0.65000		SELL ONLY
Nuveen	Nuveen Lifecycle 2030 Fund Premier Class	87245M384	TCHPX			.15	0.72000		SELL ONLY
Nuveen	Nuveen Lifecycle 2030 Fund R6 Class	886315621	TCRIX				0.57000		SELL ONLY
Nuveen	Nuveen Lifecycle 2030 Fund Retirement Class	87244W417	TCLNX				0.82000		SELL ONLY
Nuveen	Nuveen Lifecycle 2035 Fund I Class	87245P700	TCYHX				0.70000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Lifecycle 2035 Fund Premier Class	87245M376	TCYPX			.15	0.75000		SELL ONLY
Nuveen	Nuveen Lifecycle 2035 Fund R6 Class	886315613	TCIIX				0.60000		SELL ONLY
Nuveen	Nuveen Lifecycle 2035 Fund Retirement Class	87244W391	TCLRX				0.85000		SELL ONLY
Nuveen	Nuveen Lifecycle 2040 Fund I Class	87245P809	TCZHX				0.72000		SELL ONLY
Nuveen	Nuveen Lifecycle 2040 Fund Premier Class	87245M368	TCZPX			.15	0.78000		SELL ONLY
Nuveen	Nuveen Lifecycle 2040 Fund R6 Class	886315597	TCOIX				0.63000		SELL ONLY
Nuveen	Nuveen Lifecycle 2040 Fund Retirement Class	87244W383	TCLOX				0.88000		SELL ONLY
Nuveen	Nuveen Lifecycle 2045 Fund I Class	87245P882	TTFHX				0.74000		SELL ONLY
Nuveen	Nuveen Lifecycle 2045 Fund Premier Class	87245M350	TTFPX			.15	0.80000		SELL ONLY
Nuveen	Nuveen Lifecycle 2045 Fund R6 Class	886315589	TTFIX				0.65000		SELL ONLY
Nuveen	Nuveen Lifecycle 2045 Fund Retirement Class	886315522	TTFRX				0.90000		SELL ONLY
Nuveen	Nuveen Lifecycle 2050 Fund I Class	87245P874	TFTHX				0.74000		SELL ONLY
Nuveen	Nuveen Lifecycle 2050 Fund Premier Class	87245M343	TCLPX			.15	0.81000		SELL ONLY
Nuveen	Nuveen Lifecycle 2050 Fund R6 Class	886315571	TFTIX				0.66000		SELL ONLY
Nuveen	Nuveen Lifecycle 2050 Fund Retirement Class	886315514	TLFRX				0.91000		SELL ONLY
Nuveen	Nuveen Lifecycle 2055 Fund I Class	87245P866	TTRHX				0.75000		SELL ONLY
Nuveen	Nuveen Lifecycle 2055 Fund Premier Class	87245M186	TTRPX			.15	0.82000		SELL ONLY
Nuveen	Nuveen Lifecycle 2055 Fund R6 Class	87245M210	TTRIX				0.67000		SELL ONLY
Nuveen	Nuveen Lifecycle 2055 Fund Retirement Class	87245M194	TTRLX				0.92000		SELL ONLY
Nuveen	Nuveen Lifecycle 2060 Fund I Class	87245P858	TLXHX				0.77000		SELL ONLY
Nuveen	Nuveen Lifecycle 2060 Fund Premier Class	87245R524	TLXPX			.15	0.84000		SELL ONLY
Nuveen	Nuveen Lifecycle 2060 Fund R6 Class	87245R573	TLXNX				0.69000		SELL ONLY
Nuveen	Nuveen Lifecycle 2060 Fund Retirement Class	87245R532	TLXRX				0.94000		SELL ONLY
Nuveen	Nuveen Lifecycle 2065 Fund I Class	87249N776	TSFHX				1.04000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Lifecycle 2065 Fund Premier Class	87249N750	TSFPX			.15	1.11000		SELL ONLY
Nuveen	Nuveen Lifecycle 2065 Fund R6 Class	87249N768	TSFTX				0.96000		SELL ONLY
Nuveen	Nuveen Lifecycle 2065 Fund Retirement Class	87249N743	TSFRX				1.21000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2010 Fund I Class	87245P833	TLTHX				0.32000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2010 Fund Premier Class	87245M723	TLTPX			.15	0.37000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2010 Fund R6 Class	87245M830	TLTIX				0.22000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2010 Fund Retirement Class	87245M202	TLTRX				0.47000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2015 Fund I Class	87245P825	TLFAX				0.31000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2015 Fund Premier Class	87245M715	TLFPX			.15	0.36000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2015 Fund R6 Class	87245M822	TLFIX				0.21000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2015 Fund Retirement Class	87245M301	TLGRX				0.46000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2020 Fund I Class	87245P817	TLWHX				0.29000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2020 Fund Premier Class	87245M699	TLWPX			.15	0.34000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2020 Fund R6 Class	87245M814	TLWIX				0.19000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2020 Fund Retirement Class	87245M400	TLWRX				0.44000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2025 Fund I Class	87245P791	TLQHX				0.28000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2025 Fund Premier Class	87245M681	TLVPX			.15	0.33000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2025 Fund R6 Class	87245M798	TLQIX				0.18000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2025 Fund Retirement Class	87245M509	TLQRX				0.43000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Lifecycle Index 2030 Fund I Class	87245P783	TLHHX				0.28000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2030 Fund Premier Class	87245M673	TLHPX			.15	0.33000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2030 Fund R6 Class	87245M780	TLHIX				0.18000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2030 Fund Retirement Class	87245M608	TLHRX				0.43000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2035 Fund I Class	87245P775	TLYHX				0.27000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2035 Fund Premier Class	87245M665	TLYPX			.15	0.32000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2035 Fund R6 Class	87245M772	TLYIX				0.17000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2035 Fund Retirement Class	87245M707	TLYRX				0.42000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2040 Fund I Class	87245P767	TLZHX				0.27000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2040 Fund Premier Class	87245M657	TLPRX			.15	0.32000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2040 Fund R6 Class	87245M764	TLZIX				0.17000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2040 Fund Retirement Class	87245M806	TLZRX				0.42000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2045 Fund I Class	87245P759	TLMHX				0.27000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2045 Fund Premier Class	87245M640	TLMPX			.15	0.32000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2045 Fund R6 Class	87245M756	TLXIX				0.17000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2045 Fund Retirement Class	87245M863	TLMRX				0.42000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2050 Fund I Class	87245P742	TLLHX				0.28000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2050 Fund Premier Class	87245M632	TLLPX			.15	0.33000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Lifecycle Index 2050 Fund R6 Class	87245M749	TLLIX				0.18000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2050 Fund Retirement Class	87245M871	TLLRX				0.43000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2055 Fund I Class	87245P734	TTIHX				0.28000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2055 Fund Premier Class	87245M160	TTIPX			.15	0.33000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2055 Fund R6 Class	87245M178	TTIIX				0.18000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2055 Fund Retirement Class	87245M152	TTIRX				0.43000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2060 Fund I Class	87245P726	TVIHX				0.29000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2060 Fund Premier Class	87245R540	TVIPX			.15	0.34000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2060 Fund R6 Class	87245R565	TVIIX				0.19000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2060 Fund Retirement Class	87245R557	TVITX				0.44000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2065 Fund I Class	87249N735	TFIHX				0.35000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2065 Fund Premier Class	87249N719	TFIPX			.15	0.41000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2065 Fund R6 Class	87249N727	TFITX				0.26000		SELL ONLY
Nuveen	Nuveen Lifecycle Index 2065 Fund Retirement Class	87249N693	TFIRX				0.51000		SELL ONLY
Nuveen	Nuveen Lifecycle Index Retirement Income Fund I Class	87245P841	TLIHX				0.32000		SELL ONLY
Nuveen	Nuveen Lifecycle Index Retirement Income Fund Premier Class	87245M624	TLIPX			.15	0.37000		SELL ONLY
Nuveen	Nuveen Lifecycle Index Retirement Income Fund R6 Class	87245M731	TRILX				0.22000		SELL ONLY
Nuveen	Nuveen Lifecycle Index Retirement Income Fund Retirement Class	87245M889	TRCIX				0.47000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Lifecycle Retirement Income Fund A Class	886315498	TLRRX	5.75		.25	0.84000		SELL ONLY
Nuveen	Nuveen Lifecycle Retirement Income Fund I Class	87245P106	TLRHX				0.64000		SELL ONLY
Nuveen	Nuveen Lifecycle Retirement Income Fund Premier Class	87245M335	TPILX			.15	0.71000		SELL ONLY
Nuveen	Nuveen Lifecycle Retirement Income Fund R6 Class	886315563	TLRIX				0.56000		SELL ONLY
Nuveen	Nuveen Lifecycle Retirement Income Fund Retirement Class	886315480	TLIRX				0.81000		SELL ONLY
Nuveen	Nuveen Lifestyle Aggressive Growth Fund A Class	87245R755	TSALX	5.75		.25	1.05000		SELL ONLY
Nuveen	Nuveen Lifestyle Aggressive Growth Fund I Class	87245R227	TSAHX				0.86000		SELL ONLY
Nuveen	Nuveen Lifestyle Aggressive Growth Fund Premier Class	87245R730	TSAPX			.15	0.92000		SELL ONLY
Nuveen	Nuveen Lifestyle Aggressive Growth Fund R6 Class	87245R748	TSAIX				0.76000		SELL ONLY
Nuveen	Nuveen Lifestyle Aggressive Growth Fund Retirement Class	87245R722	TSARX				1.01000		SELL ONLY
Nuveen	Nuveen Lifestyle Conservative Fund A Class	87245R888	TSCLX	5.75		.25	0.84000		SELL ONLY
Nuveen	Nuveen Lifestyle Conservative Fund I Class	87245R250	TLSHX				0.67000		SELL ONLY
Nuveen	Nuveen Lifestyle Conservative Fund Premier Class	87245R862	TLSPX			.15	0.75000		SELL ONLY
Nuveen	Nuveen Lifestyle Conservative Fund R6 Class	87245R870	TCSIX				0.57000		SELL ONLY
Nuveen	Nuveen Lifestyle Conservative Fund Retirement Class	87245R854	TSCTX				0.82000		SELL ONLY
Nuveen	Nuveen Lifestyle Growth Fund A Class	87245R797	TSGLX	5.75		.25	0.90000		SELL ONLY
Nuveen	Nuveen Lifestyle Growth Fund I Class	87245R235	TSGHX				0.72000		SELL ONLY
Nuveen	Nuveen Lifestyle Growth Fund Premier Class	87245R771	TSGPX			.15	0.78000		SELL ONLY
Nuveen	Nuveen Lifestyle Growth Fund R6 Class	87245R789	TSGGX				0.62000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Lifestyle Growth Fund Retirement Class	87245R763	TSGRX				0.87000		SELL ONLY
Nuveen	Nuveen Lifestyle Income Fund A Class	87245R508	TSILX	5.75		.25	0.99000		SELL ONLY
Nuveen	Nuveen Lifestyle Income Fund I Class	87245R268	TSIHX				0.80000		SELL ONLY
Nuveen	Nuveen Lifestyle Income Fund Premier Class	87245R706	TSIPX			.15	0.88000		SELL ONLY
Nuveen	Nuveen Lifestyle Income Fund R6 Class	87245R607	TSITX				0.72000		SELL ONLY
Nuveen	Nuveen Lifestyle Income Fund Retirement Class	87245R805	TLSRX				0.97000		SELL ONLY
Nuveen	Nuveen Lifestyle Moderate Fund A Class	87245R847	TSMLX	5.75		.25	0.87000		SELL ONLY
Nuveen	Nuveen Lifestyle Moderate Fund I Class	87245R243	TSMHX				0.68000		SELL ONLY
Nuveen	Nuveen Lifestyle Moderate Fund Premier Class	87245R821	TSMPX			.15	0.76000		SELL ONLY
Nuveen	Nuveen Lifestyle Moderate Fund R6 Class	87245R839	TSIMX				0.59000		SELL ONLY
Nuveen	Nuveen Lifestyle Moderate Fund Retirement Class	87245R813	TSMTX				0.84000		SELL ONLY
Nuveen	Nuveen Limited Term Municipal Bond Fund Class C	67065Q632	FAFJX		1.00	1.00	1.43000		SELL ONLY
Nuveen	Nuveen Limited Term Municipal Bond Fund Class I	67065Q822	FLTRX			.00	0.43000		SELL ONLY
Nuveen	Nuveen Louisiana Municipal Bond Fund Class C	67065P691	FAFLX		1.00	1.00	1.63000		SELL ONLY
Nuveen	Nuveen Louisiana Municipal Bond Fund Class I	67065P857	FTLRX			.00	0.63000		SELL ONLY
Nuveen	Nuveen Managed Allocation Fund A Class	886315845	TIMRX	5.75		.25	0.77000		SELL ONLY
Nuveen	Nuveen Managed Allocation Fund R6 Class	886315837	TIMIX				0.48000		SELL ONLY
Nuveen	Nuveen Managed Allocation Fund Retirement Class	886315829	TITRX				0.73000		SELL ONLY
Nuveen	Nuveen Maryland Municipal Bond Fund Class A	67065L831	NMDAX	4.20		.20	0.83000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Maryland Municipal Bond Fund Class C	67065L617	NACCX		1.00	1.00	1.63000		SELL ONLY
Nuveen	Nuveen Maryland Municipal Bond Fund Class I	67065L799	NMMDX			.00	0.63000		SELL ONLY
Nuveen	Nuveen Massachusetts Municipal Bond Fund Class C	67065N522	NAAGX		1.00	1.00	1.59000		SELL ONLY
Nuveen	Nuveen Massachusetts Municipal Bond Fund Class I	67065N811	NBMAX			.00	0.59000		SELL ONLY
Nuveen	Nuveen Michigan Municipal Bond Fund Class C	67065R655	FAFNX		1.00	1.00	1.65000		SELL ONLY
Nuveen	Nuveen Michigan Municipal Bond Fund Class I	67065R820	NMMIX			.00	0.65000		SELL ONLY
Nuveen	Nuveen Mid Cap Value 1 Fund Class A	670678853	FASEX	5.75		.25	1.22000		BUY,SELL
Nuveen	Nuveen Mid Cap Value 1 Fund Class C	670678333	FACSX		1.00	1.00	1.97000		SELL ONLY
Nuveen	Nuveen Mid Cap Value 1 Fund Class I	670678663	FSEIX			.00	0.97000		SELL ONLY
Nuveen	Nuveen Mid Cap Value 1 Fund Class R6	670693555	FMVQX			.00	0.84000		SELL ONLY
Nuveen	Nuveen Mid Cap Value Fund A Class	87244W847	TCMVX	5.75		.25	0.81000		BUY,SELL
Nuveen	Nuveen Mid Cap Value Fund I Class	87245R144	TRVHX				0.58000		SELL ONLY
Nuveen	Nuveen Mid Cap Value Fund Premier Class	87245M541	TRVPX			.15	0.61000		SELL ONLY
Nuveen	Nuveen Mid Cap Value Fund R6 Class	87244W862	TIMVX				0.46000		SELL ONLY
Nuveen	Nuveen Mid Cap Value Fund Retirement Class	87244W854	TRVRX				0.71000		SELL ONLY
Nuveen	Nuveen Minnesota Intermediate Municipal Bond Fund Class C	670693100	NIBCX		1.00	1.00	1.61000		SELL ONLY
Nuveen	Nuveen Minnesota Intermediate Municipal Bond Fund Class I	670678689	FAMTX			.00	0.61000		SELL ONLY
Nuveen	Nuveen Minnesota Municipal Bond Fund Class C	670693209	NTCCX		1.00	1.00	1.59000		SELL ONLY
Nuveen	Nuveen Minnesota Municipal Bond Fund Class I	670678192	FYMNX			.00	0.59000		SELL ONLY
Nuveen	Nuveen Missouri Municipal Bond Fund Class C	67065R648	FAFPX		1.00	1.00	1.59000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Missouri Municipal Bond Fund Class I	67065R770	FMMRX			.00	0.59000		SELL ONLY
Nuveen	Nuveen Multi Cap Value Fund Class A	67064Y792	NQVAX	5.75		.25	1.17000		SELL ONLY
Nuveen	Nuveen Multi Cap Value Fund Class C	67064Y776	NQVCX		1.00	1.00	1.92000		SELL ONLY
Nuveen	Nuveen Multi Cap Value Fund Class I	67064Y768	NQVRX			.00	0.92000		SELL ONLY
Nuveen	Nuveen Nebraska Municipal Bond Fund Class C	670693308	NAAFX		1.00	1.00	1.72000		SELL ONLY
Nuveen	Nuveen Nebraska Municipal Bond Fund Class I	670678523	FNTYX			.00	0.72000		SELL ONLY
Nuveen	Nuveen New Jersey Municipal Bond Fund Class C	67065N514	NJCCX		1.00	1.00	1.62000		SELL ONLY
Nuveen	Nuveen New Jersey Municipal Bond Fund Class I	67065N720	NMNJX			.00	0.62000		SELL ONLY
Nuveen	Nuveen New Mexico Municipal Bond Fund Class C	67065L591	FNCCX		1.00	1.00	1.70000		SELL ONLY
Nuveen	Nuveen New Mexico Municipal Bond Fund Class I	67065L757	FNMRX			.00	0.70000		SELL ONLY
Nuveen	Nuveen New York Municipal Bond Fund Class C	67065N498	NAJPX		1.00	1.00	1.56000		SELL ONLY
Nuveen	Nuveen New York Municipal Bond Fund Class I	67065N647	NTNYX			.00	0.56000		SELL ONLY
Nuveen	Nuveen North Carolina Municipal Bond Fund Class C	67065P683	FDCCX		1.00	1.00	1.60000		SELL ONLY
Nuveen	Nuveen North Carolina Municipal Bond Fund Class I	67065P816	FCNRX			.00	0.60000		SELL ONLY
Nuveen	Nuveen Ohio Municipal Bond Fund Class C	67065R630	FAFMX		1.00	1.00	1.59000		SELL ONLY
Nuveen	Nuveen Ohio Municipal Bond Fund Class I	67065R739	NXOHX			.00	0.59000		SELL ONLY
Nuveen	Nuveen Oregon Intermediate Municipal Bond Fund Class C	670693407	NAFOX		1.00	1.00	1.63000		SELL ONLY
Nuveen	Nuveen Oregon Intermediate Municipal Bond Fund Class I	670678408	FORCX			.00	0.63000		SELL ONLY
Nuveen	Nuveen Pennsylvania Municipal Bond Fund Class C	67065L583	FPCCX		1.00	1.00	1.61000		SELL ONLY
Nuveen	Nuveen Pennsylvania Municipal Bond Fund Class I	67065L716	NBPAX			.00	0.61000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Preferred Securities & Income Fund Class A	670700103	NPSAX	4.75		.25	1.03000		BUY,SELL
Nuveen	Nuveen Preferred Securities & Income Fund Class C	670700301	NPSCX		1.00	1.00	1.78000		SELL ONLY
Nuveen	Nuveen Preferred Securities & Income Fund Class I	670700400	NPSRX			.00	0.78000		SELL ONLY
Nuveen	Nuveen Preferred Securities & Income Fund Class R6	670700814	NPSFX			.00	0.70000		SELL ONLY
Nuveen	Nuveen Quant International Small Cap Equity Fund A Class	87245P437	TLISX	5.75		.25	1.20000		SELL ONLY
Nuveen	Nuveen Quant International Small Cap Equity Fund I Class	87245P460	TAISX				0.81000		SELL ONLY
Nuveen	Nuveen Quant International Small Cap Equity Fund Premier Class	87245P452	TPISX			.15	0.93000		SELL ONLY
Nuveen	Nuveen Quant International Small Cap Equity Fund R6 Class	87245P478	TIISX				0.73000		SELL ONLY
Nuveen	Nuveen Quant International Small Cap Equity Fund Retirement Class	87245P445	TTISX				0.98000		SELL ONLY
Nuveen	Nuveen Quant Mid Cap Growth Fund A Class	87244W870	TCMGX	5.75		.25	0.85000		SELL ONLY
Nuveen	Nuveen Quant Mid Cap Growth Fund I Class	87245R151	TCMHX				0.60000		SELL ONLY
Nuveen	Nuveen Quant Mid Cap Growth Fund Premier Class	87245M558	TRGPX			.15	0.65000		SELL ONLY
Nuveen	Nuveen Quant Mid Cap Growth Fund R6 Class	87244W805	TRPWX				0.50000		SELL ONLY
Nuveen	Nuveen Quant Mid Cap Growth Fund Retirement Class	87244W888	TRGMX				0.75000		SELL ONLY
Nuveen	Nuveen Quant Small Cap Equity Fund A Class	87244W813	TCSEX	5.75		.25	0.76000		SELL ONLY
Nuveen	Nuveen Quant Small Cap Equity Fund I Class	87245R136	TSCHX				0.49000		SELL ONLY
Nuveen	Nuveen Quant Small Cap Equity Fund Premier Class	87245M533	TSRPX			.15	0.56000		SELL ONLY
Nuveen	Nuveen Quant Small Cap Equity Fund R6 Class	87244W839	TISEX				0.41000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Quant Small Cap Equity Fund Retirement Class	87244W821	TRSEX				0.66000		SELL ONLY
Nuveen	Nuveen Quant Small/Mid Cap Equity Fund A Class	87245P544	TSMEX	5.75		.25	0.82000		SELL ONLY
Nuveen	Nuveen Quant Small/Mid Cap Equity Fund I Class	87245P577	TSMNX				0.57000		SELL ONLY
Nuveen	Nuveen Quant Small/Mid Cap Equity Fund Premier Class	87245P569	TSMMX			.15	0.64000		SELL ONLY
Nuveen	Nuveen Quant Small/Mid Cap Equity Fund R6 Class	87245P585	TSMWX				0.47000		SELL ONLY
Nuveen	Nuveen Quant Small/Mid Cap Equity Fund Retirement Class	87245P551	TSMOX				0.71000		SELL ONLY
Nuveen	Nuveen Real Asset Income Fund Class A	670690171	NRIAX	5.75		.25	1.18000		BUY,SELL
Nuveen	Nuveen Real Asset Income Fund Class C	670690163	NRICX		1.00	1.00	1.93000		SELL ONLY
Nuveen	Nuveen Real Asset Income Fund Class I	670690155	NRIIX			.00	0.93000		SELL ONLY
Nuveen	Nuveen Real Asset Income Fund Class R6	670693860	NRIFX			.00	0.83000		SELL ONLY
Nuveen	Nuveen Real Estate Securities Fund Class A	670678705	FREAX	5.75		.25	1.22000		SELL ONLY
Nuveen	Nuveen Real Estate Securities Fund Class C	670678473	FRLCX		1.00	1.00	1.97000		SELL ONLY
Nuveen	Nuveen Real Estate Securities Fund Class I	670678507	FARCX			.00	0.97000		SELL ONLY
Nuveen	Nuveen Real Estate Securities Fund Class R6	670678119	FREGX			.00	0.84000		SELL ONLY
Nuveen	Nuveen Real Estate Securities Select Fund A Class	87244W771	TCREX	5.75		.25	0.79000		SELL ONLY
Nuveen	Nuveen Real Estate Securities Select Fund I Class	87245P593	TIRHX				0.61000		SELL ONLY
Nuveen	Nuveen Real Estate Securities Select Fund Premier Class	87245M327	TRRPX			.15	0.65000		SELL ONLY
Nuveen	Nuveen Real Estate Securities Select Fund R6 Class	87244W797	TIREX				0.50000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Real Estate Securities Select Fund Retirement Class	87244W789	TRRSX				0.75000		SELL ONLY
Nuveen	Nuveen S&P 500 Index Fund I Class	87245M145	TISAX				0.18000		SELL ONLY
Nuveen	Nuveen S&P 500 Index Fund R6 Class	87244W714	TISPX				0.05000		SELL ONLY
Nuveen	Nuveen S&P 500 Index Fund Retirement Class	87244W698	TRSPX				0.30000		SELL ONLY
Nuveen	Nuveen Short Duration High Yield Municipal Bond Fund Class C	67065Q616	NVCCX		1.00	1.00	1.87000		SELL ONLY
Nuveen	Nuveen Short Duration High Yield Municipal Bond Fund Class I	67065Q699	NVHIX			.00	0.87000		SELL ONLY
Nuveen	Nuveen Short Duration Impact Bond Fund A Class	87249N784	TSDBX	2.50		.25	0.93000		SELL ONLY
Nuveen	Nuveen Short Duration Impact Bond Fund I Class	87249N826	TSDHX				0.75000		SELL ONLY
Nuveen	Nuveen Short Duration Impact Bond Fund Premier Class	87249N818	TSDFX			.15	0.80000		SELL ONLY
Nuveen	Nuveen Short Duration Impact Bond Fund R6 Class	87249N834	TSDJX				0.65000		SELL ONLY
Nuveen	Nuveen Short Duration Impact Bond Fund Retirement Class	87249N792	TSDDX				0.90000		SELL ONLY
Nuveen	Nuveen Short Term Bond Fund A Class	886315704	TCTRX	2.50		.25	0.58000		BUY,SELL
Nuveen	Nuveen Short Term Bond Fund I Class	87245P650	TCTHX				0.36000		SELL ONLY
Nuveen	Nuveen Short Term Bond Fund Premier Class	87245M475	TSTPX			.15	0.42000		SELL ONLY
Nuveen	Nuveen Short Term Bond Fund R6 Class	886315803	TISIX				0.27000		SELL ONLY
Nuveen	Nuveen Short Term Bond Fund Retirement Class	886315886	TISRX				0.52000		SELL ONLY
Nuveen	Nuveen Short Term Bond Index Fund A Class	87245R466	TRSHX	2.50		.25	0.42000		SELL ONLY
Nuveen	Nuveen Short Term Bond Index Fund I Class	87245P643	TTBHX				0.20000		SELL ONLY
Nuveen	Nuveen Short Term Bond Index Fund Premier Class	87245R441	TPSHX			.15	0.24000		SELL ONLY
Nuveen	Nuveen Short Term Bond Index Fund R6 Class	87245R433	TNSHX				0.08000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Short Term Bond Index Fund Retirement Class	87245R458	TESHX				0.33000		SELL ONLY
Nuveen	Nuveen Short Term Municipal Bond Fund Class C	670693506	NAAEX		1.00	1.00	1.50000		SELL ONLY
Nuveen	Nuveen Short Term Municipal Bond Fund Class I	670690486	FSHYX			.00	0.50000		SELL ONLY
Nuveen	Nuveen Small Cap Blend Index Fund I Class	87245M137	TRHBX				0.46000		SELL ONLY
Nuveen	Nuveen Small Cap Blend Index Fund R6 Class	87244W573	TISBX				0.06000		SELL ONLY
Nuveen	Nuveen Small Cap Blend Index Fund Retirement Class	87244W565	TRBIX				0.31000		SELL ONLY
Nuveen	Nuveen Small Cap Growth Opportunities Fund Class A	670690767	FRMPX	5.75		.25	1.35000		SELL ONLY
Nuveen	Nuveen Small Cap Growth Opportunities Fund Class I	670690817	FIMPX			.00	1.10000		SELL ONLY
Nuveen	Nuveen Small Cap Growth Opportunities Fund Class R6	670693845	FMPFX			.00	0.96000		SELL ONLY
Nuveen	Nuveen Small Cap Select Fund Class A	670690833	EMGRX	5.75		.25	1.35000		SELL ONLY
Nuveen	Nuveen Small Cap Select Fund Class I	670690874	ARSTX			.00	1.10000		SELL ONLY
Nuveen	Nuveen Small Cap Select Fund Class R6	670693563	ASEFX			.00	0.99000		SELL ONLY
Nuveen	Nuveen Small Cap Value Fund Class A	670678309	FSCAX	5.75		.25	1.31000		BUY,SELL
Nuveen	Nuveen Small Cap Value Fund Class C	670678341	FSCVX		1.00	1.00	2.06000		SELL ONLY
Nuveen	Nuveen Small Cap Value Fund Class I	670678200	FSCCX			.00	1.06000		SELL ONLY
Nuveen	Nuveen Small Cap Value Fund Class R6	670693837	FSCWX			.00	0.90000		SELL ONLY
Nuveen	Nuveen Small/Mid Cap Growth Opportunities Fund Class A	670690718	FRSLX	5.75		.25	1.24000		SELL ONLY
Nuveen	Nuveen Small/Mid Cap Growth Opportunities Fund Class I	670690759	FISGX			.00	0.99000		SELL ONLY
Nuveen	Nuveen Small/Mid Cap Growth Opportunities Fund Class R6	670690114	FMEFX			.00	0.91000		SELL ONLY
Nuveen	Nuveen Small/Mid Cap Value Fund Class A	67064Y578	NSMAX	5.75		.25	1.42000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Small/Mid Cap Value Fund Class C	67064Y552	NSMCX		1.00	1.00	2.17000		SELL ONLY
Nuveen	Nuveen Small/Mid Cap Value Fund Class I	67064Y545	NSMRX			.00	1.17000		SELL ONLY
Nuveen	Nuveen Small/Mid Cap Value Fund Class R6	670729466	NWQFX			.00	0.97000		SELL ONLY
Nuveen	Nuveen Small-Cap Value Opportunities Fund Class A	67064Y750	NSCAX	5.75		.25	1.35000		SELL ONLY
Nuveen	Nuveen Small-Cap Value Opportunities Fund Class C	67064Y735	NSCCX		1.00	1.00	2.10000		SELL ONLY
Nuveen	Nuveen Small-Cap Value Opportunities Fund Class I	67064Y727	NSCRX			.00	1.10000		SELL ONLY
Nuveen	Nuveen Small-Cap Value Opportunities Fund Class R6	670729847	NSCFX			.00	0.96000		SELL ONLY
Nuveen	Nuveen Strategic Income Fund Class A	670678366	FCDDX	4.25		.25	0.91000		BUY,SELL
Nuveen	Nuveen Strategic Income Fund Class C	670678382	FCBCX		1.00	1.00	1.66000		SELL ONLY
Nuveen	Nuveen Strategic Income Fund Class I	670678390	FCBYX			.00	0.66000		SELL ONLY
Nuveen	Nuveen Strategic Income Fund Class R6	670693878	FSFRX			.00	0.57000		SELL ONLY
Nuveen	Nuveen Strategic Municipal Opportunities Fund Class A	67065Q590	NSAOX	3.00		.20	0.81000		SELL ONLY
Nuveen	Nuveen Strategic Municipal Opportunities Fund Class C	67065Q582	NSCOX		1.00	1.00	1.61000		SELL ONLY
Nuveen	Nuveen Strategic Municipal Opportunities Fund Class I	67065Q574	NSIOX			.00	0.61000		SELL ONLY
Nuveen	Nuveen Virginia Municipal Bond Fund Class C	67065L575	FVCCX		1.00	1.00	1.60000		SELL ONLY
Nuveen	Nuveen Virginia Municipal Bond Fund Class I	67065L666	NMVAX			.00	0.60000		SELL ONLY
Nuveen	Nuveen Winslow Large-Cap Growth ESG Fund Class A	670725688	NWCA X	5.75		.25	1.08000		BUY,SELL
Nuveen	Nuveen Winslow Large-Cap Growth ESG Fund Class C	670725670	NWCC X		1.00	1.00	1.83000		SELL ONLY
Nuveen	Nuveen Winslow Large-Cap Growth ESG Fund Class I	670725662	NVLIX			.00	0.83000		SELL ONLY
Nuveen	Nuveen Winslow Large-Cap Growth ESG Fund Class R6	670725373	NWCFX			.00	0.69000		SELL ONLY

Fund Family	Fund Name	CUSIP	Ticker	Front End Load	CDSC	12b-1 Fees	Gross Expense Ratio	Redemption Fee	Buy/Sell Eligible
Nuveen	Nuveen Wisconsin Municipal Bond Fund Class A	67065R721	FWIAX	4.20		.20	1.39000		SELL ONLY
Nuveen	Nuveen Wisconsin Municipal Bond Fund Class C	67065R622	FWCCX		1.00	1.00	2.19000		SELL ONLY
Nuveen	Nuveen Wisconsin Municipal Bond Fund Class I	67065R689	FWIRX			.00	1.19000		SELL ONLY